Transition Metal-Catalyzed Synthesis of Optically Active Amino Acids and Heteroatom-Containing Polycyclic Compounds

遷移金属触媒を用いた光学活性アミノ酸 および含ヘテロ元素多環式化合物の合成

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Abstract

Organic compounds consisting of main group elements, such as oxygen, nitrogen, sulfur and phosphorus atoms, in addition to carbon and hydrogen atoms, are diverse. They exhibit various biological and/or pharmaceutical activities in life science field or functional activities in material science field. For example, natural amino acids, which construct peptides and proteins, support life and vital functions. On the other hand, unnatural amino acids are used as intermediates of medicines and chiral building blocks, and sometimes show biological activities by themselves. A number of functional materials are heteroatom-containing polycyclic compounds, such as organic light emitting diodes (OLED), organic electronics and thin solar cells. A variety of organic compounds have been synthesized and disclosed their functions. Therefore, the synthesis of new compounds has an infinite of possibilities for the creation of unknown functionalities.

Transition metal complexes prepared from metals and ligands play a key role for the development of novel catalytic reactions. In this thesis, the author developed synthetic methods for optically active amino acids and heteroatom-containing polycyclic compounds by using iridium-catalyzed C-H bond activation and rhodium-catalyzed cycloaddition.

This thesis comprises 9 chapters.

In chapter 1, general introduction of amino acids was described.

In chapter 2 through 4, transition metal-catalyzed synthesis of optically active amino acids was described. In chapter 2, the synthesis of 4-substituted tryptophan derivatives via iridium catalyzed sp² C-H bond activation and the total synthesis of *cis*-clavicipitic acid by using a 4-substituted tryptophan derivative as a key intermediate were described. This strategy for the synthesis of *cis*-clavicipitic acid started from natural amino acid asparagine and realized high atom efficiency with minimum use of protecting groups.

In chapter 3, enantioselective synthesis of aminoindan carboxylic acid derivatives by rhodium-catalyzed intramolecular cycloaddition was described. The reaction using originally designed amino acid-tethered triynes proceeded, and various tethered aminoindan carboxylic acid derivatives were obtained in good to high ee. In addition, Cbz-protected, free aminoindan carboxylic acid, and its dimethyl ester were obtained by further synthetic transformations.

In chapter 4, enantioselective synthesis of 4-substituted γ -amino acids via iridium catalyzed sp³ C-H bond activation was described. Highly enantioselective iridium-catalyzed sp³ C-H alkylation of γ -butyrolactam proceeded, and the corresponding chiral γ -amino acids were synthesized by removal of directing group and hydrolysis. In addition, enantioselective formal total synthesis of pyrrolam A was achieved.

In chapter 5, general introduction of heteroatom-containing polycyclic compounds was described.

In chapter 6 through 8, transition metal-catalyzed synthesis of heteroatom-containing polycyclic compounds was described. In chapter 6, multi-substituted dibenzothiophene and dibenzophosphole oxide derivative synthesis by rhodium-catalyzed intermolecular cycloaddition was described. The reaction of sulfanyl and phosphoryl benzene-tethered diynes with monoalkynes proceeded to give multi-substituted dibenzothiophene and dibenzophosphole oxide derivatives in good to high yield. Enantioselective reaction could also be achieved, and an axial chiral compound possessing a bi-dibenzothiophene skeleton and a P-chiral dibenzophosphole oxide derivative were obtained in high ee.

In chapter 7, the synthesis of sulfur-containing condensed polycyclic compounds by rhodium-catalyzed intermolecular cycloaddition was described. Catalytic reaction of benzothiophene dioxide derivatives with diynes gave 4-11 ring condensed polycyclic compounds in one pot. This is the first catalytic example, where the 2,3-double bond of benzoheterole was used as an en moiety in [2+2+2] cycloaddition.

In chapter 8, enantioselective synthesis of multi-substituted tribenzothiepin derivatives by rhodium-catalyzed intermolecular cycloaddition was described. Chiral tribenzothiepin derivatives were synthesized by intermolecular reaction of diphenyl sulfide-tethered diynes and 2-phenyl sulfanylbenzene-tethered diynes with a monoalkyne. This is the first catalytic enantioselective synthesis of tribenzothiepin derivatives.

In chapter 9, summary of this thesis was described.

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List of Abbreviations

| Å | angstrom |
|-------|--|
| Ac | acetyl |
| Aic | aminoindan carboxylic acid |
| AcOH | acetic acid |
| Alloc | allyloxycarbonyl |
| aPC | activated protein C |
| Ar | aryl |
| Asn | asparagine |
| Asp | aspartic acid |
| BARF | tetrakis[3,5-bis(trifluoromethyl)phenyl]borate |
| BINAM | 2,2'-bis(diphenylphosphinoamino)-1,1'-binaphthyl |
| Bn | benzyl |
| Bpin | 4,4,5,5-tetramethyl-1,3,2-dioxaborolan-2-yl |
| br | broad |
| Boc | <i>tert</i> -butoxycarbonyl |
| Bu | butyl |
| °C | degree centigrade |
| ca. | circa |
| Cbz | benzyloxycarbonyl |
| CD | circular dichroism |
| С-Н | carbon-hydrogen |
| C-P | carbon-phosphorus |
| CNS | central nervous system |
| cod | 1,5-cyclooctadiene |
| conc | concentrated |
| Су | cyclohexyl group |
| d | doublet |
| DABCO | 1,4-diazabicyclo[2.2.2]octane |
| dba | dibenzylideneacetone |
| DBT | dibenzothiophene |
| DBP | dibenzophosphole |
| DBU | 1,8-diazabicyclo[5.4.0]-7-undecene |
| DCC | dicyclohexylcarbodiimide |
| DCE | 1,2-dichloroethane |
| DCM | dichloromethane |
| DCU | dicyclohexylurea |
| dd | doublet of doublets |
| ddd | doublet of doublet of doublets |
| DDQ | 2,3-dichloro-5,6-dicyano-p-benzoquinone |
| DG | directing group |
| DMA | N,N-dimethylacetamide |
| DMAD | dimethyl acetylenedicarboxylate |
| DMAP | N,N-dimethyl-4-aminopyridine |
| DMF | N,N-dimethylformamide |
| DMSO | dimethyl sulfoxide |
| | - |

| dppf | 1,1'-bis(diphenylphosphino)ferrocene | | | |
|------------------|--|--|--|--|
| dt | doublet of triplets | | | |
| ECD | electronic circular dichroism | | | |
| EDC | 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide | | | |
| ee | enantiomeric excess | | | |
| EP | Prostaglandin E receptor | | | |
| equiv | equivalent | | | |
| ESI | electro spray ionization | | | |
| Et | ethyl | | | |
| EtOAc | ethyl acetate | | | |
| FAB | fast atom bombardment | | | |
| GABA | γ-aminobutyric acid | | | |
| h | hour(s) | | | |
| HOBt | 1-hydroxybenzotriazole | | | |
| HPLC | high performance liquid chromatography | | | |
| HRMS | high resolution mass spectra | | | |
| Hz | hertz | | | |
| i | iso | | | |
| J | coupling constant | | | |
| LDA | lithium diisopropylamide | | | |
| LFA-1 | lymphocyte function-associated antigen 1 | | | |
| Μ | molar, mol/L | | | |
| m | multiplet | | | |
| т | meta | | | |
| <i>m</i> CPBA | <i>m</i> -chloroperoxybenzoic acid | | | |
| Me | methyl | | | |
| Mes | mesityl | | | |
| mp | melting point | | | |
| Ν | normality | | | |
| n | normal | | | |
| hNK ₂ | human neurokinin-2 | | | |
| NMR | nuclear magnetic resonance | | | |
| NOE | nuclear Overhauser effect | | | |
| NOESY | NOE correlated spectroscopy | | | |
| 0 | ortho | | | |
| OLED | organic light-emitting diodes | | | |
| р | para | | | |
| PG | protecting group | | | |
| Ph | phenyl | | | |
| Phe | phenylalanine | | | |
| PHMS | poly(methylhydrosiloxane) | | | |
| Pr | propyl | | | |
| PTC | phase transfer catalyst | | | |
| PTLC | preparative thin layer chromatography | | | |
| Ру | 2-pyridyl | | | |
| q | quartet | | | |
| rac | racemic | | | |
| ref | reference | | | |

| rt | room temperature | | | |
|-------|---|--|--|--|
| S | singlet | | | |
| t | triplet | | | |
| t | tertiary | | | |
| TACE | tumor necrosis factor-alpha converting enzyme | | | |
| TEA | triethylamine | | | |
| TMEDA | N,N,N',N'-tetramethylethylenediamine | | | |
| temp | temperature | | | |
| Tf | trifluoromethanesulfonyl | | | |
| TFA | trifluoroacetic acid | | | |
| THF | tetrahydrofuran | | | |
| TIPS | triisopropylsilyl | | | |
| TMS | trimethylsilyl (as a functional group), | | | |
| | tetramethylsilane (as a standard material) | | | |
| tol | tolyl | | | |
| Trp | tryptophan | | | |
| Ts | <i>p</i> -toluenesulfonyl | | | |
| UV | ultraviolet | | | |
| VCD | vibrational circular dichroism | | | |
| | | | | |

List of Ligands



General Introduction of Amino Acids

Backgrounds

Natural amino acids are essential for the construction of peptides and proteins, the control of vital function, and the basis of life-support. On the other hand, unnatural amino acids are used as intermediates of medicine and chiral building blocks,¹ and sometimes show biological activities by themselves.²

Various methods for the synthesis of unnatural amino acids have been reported. Strecker reaction is a conventional approach to the synthesis of α -amino acid derivatives.³ Vachal and Jacobsen reported an enantioselective Strecker reaction using a chiral urea catalyst, and quaternary amino acids were synthesized (eq. 1).⁴



As another approach to the synthesis of chiral amino acids, phase-transfer-catalyzed reaction was developed.⁵ Maruoka and co-workers reported enantioselective synthesis of α -alkyl- and α, α -dialkyl- α -amino acids by chiral phase-transfer-catalysts (eq. 2).⁶



Transition Metal-Catalyzed C-H Bond Activation

Transition metal-catalyzed C-H bond activation, where mainly sp^2 or sp^3 C-H bonds are cleaved and react with various coupling partners, has attracted synthetic chemists, because shorter and more atom-economical syntheses of complex and/or useful molecules can be realized (eq. 3).⁷

To date, direct C-H bond activation strategy has been used in key steps for the total synthesis of natural products and pharmaceuticals.⁸ In particular, enantioselective activation of sp³ C-H bond is an ideal transformation, but is still a challenging topic.⁹

In Shibata group, Rh- and Ir-catalyzed C-H bond activations have been comprehensively studied for

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the carbon-carbon bond forming reaction, and useful synthetic building blocks were synthesized via sp² C-H bond activation.^{10,11} Furthermore, activation of sp³ C-H bond was also achieved¹² including an enantioselective variant.¹³

Transition Metal-Catalyzed Cycloaddition

Transition metal-catalyzed [2+2+2] cycloaddition of alkynes and/or alkenes, is a reliable and atom economical method for the synthesis of multi-substituted six-membered ring derivatives, and various types of substrates have been subjected to the cycloaddition (eq. 4).¹⁴

In Shibata group, intra-^{15,16} and intermolecular^{17,18} [2+2+2] cycloadditions of various types of unsaturated compounds by Rh- and Ir-catalysts have been extensively studied, and diverse compounds possessing six-membered ring system were synthesized.

Purpose of This Thesis (Chapter 2 through 4)

Purpose of chapter 2 through 4 in this thesis is the synthesis of amino acid derivatives by using iridium-catalyzed C-H bond activation and rhodium-catalyzed cycloaddition. 4-Substituted tryptophan (Trp) derivatives and aminoindan carboxylic acid (Aic) derivatives were synthesized as α -amino acids, and 4-substituted aminobutyric acid derivatives were synthesized as γ -amino acids.

Chapter 2 describes the synthesis of 4-substituted tryptophan derivatives and the total synthesis of *cis*-clavicipitic acid via iridium-catalyzed sp² C-H bond activation. Shibata and co-workers reported intramolecular cyclodehydration via sp² C-H bond activation for the synthesis of 4-substituted benzofuran and indole derivatives (eq. 5).^{11b,11d}



This reaction was applied to the synthesis of 4-substituted Trp derivatives (Scheme 1). In addition, the total synthesis of *cis*-clavicipitic acid by using a 4-substituted Trp as a key intermediate and asparagine as a starting material was achieved.





Chapter 3 describes the enantioselective synthesis of Aic derivatives by rhodium-catalyzed intramolecular cycloaddition. Shibata and co-workers reported enantioselective synthesis of chiral tripodal cage compounds by intramolecular cycloaddition of branched triynes (eq. 6).^{15b,15c,15e}



This reaction gave inspiration to the enantioselective intramolecular cycloaddition of amino acid-tethered trives for the synthesis of Aic derivatives (Scheme 2). The enantioselective reaction proceeded, and chiral tethered Aic derivatives were obtained in good ee. Further synthetic transformation gave chiral Aic derivatives.





Chapter 4 describes enantioselective synthesis of 4-substituted γ -amino acids and formal total synthesis of pyrrolam A via iridium-catalyzed sp³ C-H bond activation. Shibata and co-workers reported iridium-catalyzed enantioselective secondary sp³ C-H bond activation of 2-(alkylamino)pyridines: the

General Introduction of Amino Acids





This reaction was used for the enantioselective sp³ C-H alkylation of γ -butyrolactam (Scheme 3). The obtained alkylated γ -lactams were transferred into 4-substituted aminobutyric acid derivatives. In addition, formal total synthesis of pyrrolam A was achieved using this reaction as a key step.





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Synthesis of 4-Substituted Tryptophan Derivatives and Total Synthesis of *cis*-Clavicipitic Acid via Iridium-Catalyzed sp² C-H Bond Activation

Backgrounds

Clavicipitic acid (1) is an ergot alkaloid that has been isolated from *Claviceps* Strain SD-58 and *Claviceps fusiformis* as a mixture of *cis*- and *trans*-isomers.¹ The unique tricyclic azepinoindole system has attracted synthetic chemists, and various strategies have been developed for its total syntheses.^{3,4} 4-Substituted tryptophan skeleton was used as the key intermediate for the total synthesis of clavicipitic acid (1)¹⁻³ and other alkaloids such as aurantioclavine⁴, lysergic acid⁵, indolactam V⁶ and rugulovasine A⁷ (Figure 1). Tryptophan (Trp) is a natural and proteinogenic amino acid, but substituted tryptophan derivatives are unnatural and synthesized by chemical or enzymatic methods. Various synthetic methods for substituted Trp derivatives were developed. In particular, the introduction of substituents to C4-position is strongly desired (Figure 2),⁸ because the introduction of substituents to C4-position is generally difficult compared with other positions.





Figure 2. Structures of Trp and 4-substituted Trp derivative



The author shows three typical approaches to chiral 4-substituted Trp derivatives: Jia and co-workers reported Pd-catalyzed indole synthesis by the coupling of 2-iodo-3-nitroaniline with an aldehyde having chiral amino acid moiety and obtained the chiral 4-nitro Trp derivative (eq. 1).⁴



Jew and co-workers developed asymmetric synthesis of 4-iodo Trp derivative by the enantioselective alkylation of *N*-(diphenylmethylene)glycine *tert*-butyl ester with 3-(bromomethyl)indole using a chiral

Synthesis of 4-Substituted Tryptophans and cis-Clavicipitic Acid phase-transfer-catalyst (eq. 2).^{3e}



Yu group and Jia group developed Pd-catalyzed C-H alkenylation at the C-4 position of Trp using trifluoromethylsulfonamide as a directing group (eq. 3).⁹



Results and Discussion

The author considered a new synthetic approach via intramolecular cyclodehydration initiated by the C-H bond activation of β -keto aniline possessing an α -amino acid moiety for the synthesis of chiral 4-substituted Trp derivatives (Scheme 1).¹⁰ In addition, this protocol can be applied to the total synthesis of clavicipitic acid. Here, synthesis of 4-substituted Trp derivatives and total synthesis of clavicipitic acid via iridium catalyzed C-H bond activation were described.





Retrosynthetic analysis of *cis*-clavicipitic acid **1** is shown in Scheme 2. The target compound would be accessible from the 4-substituted Trp derivative **10** by intramolecular reductive amination. Compound **10** would be converted from aniline derivative **8b** by originally developed intramolecular cyclodehydration. The substrate **8b** could be readily prepared from commercially available Cbz-L-Aspartic acid α -methyl ester **5** (Z-Asp-OMe), or cheaper asparagine **2** (Asn), which is a natural and protein amino acid.





This synthetic strategy was initiated by the transformation of commercially available Asn 2 to Z-Asp-OMe 5 (Scheme 3). The carbobenzoxylation of Asn 2 with benzyl chloroformate (CbzCl) and sodium carbonate gave Z-Asn-OH 3.^{11a} Subsequent methyl esterification proceeded in the presence of thionyl chloride in methanol.^{11b} Transformation from amide to carboxylic acid using *tert*-butyl nitrite afforded Z-Asp-OMe 5.^{11c,d}

Scheme 3. Synthesis of Z-Asp-OMe 5 from Asn 2



The synthesis of aniline derivative **8b**, which is a substrate of the key reaction, is depicted in Scheme 4. α -Ketobromide **6** was prepared by Arndt-Eistert synthesis from Z-Asp-OMe **5** using thionyl chloride, trimethylsilyldiazomethane, and aqueous hydrobromic acid in 87% yield. Subsequent nucleophilic substitution of α -ketobromide **6** with 1-(3-aminophenyl)-3-methylbut-2-en-1-one **7**¹² in the presence of potassium carbonate gave aniline derivative **8b** in 83% yield.





Next, the Ir-catalyzed cyclodehydration of β -keto aniline **8** via C-H bond activation for the synthesis of 4-substituted Trp derivatives **9** was examined (Table 1). First, the aniline derivative **8a** was chosen as a model substrate which has acetyl group as a directing group (DG), and submitted it to an intramolecular reaction in the presence of an Ir catalyst prepared from [Ir(cod)₂]BARF and *rac*-BINAP at 135 °C in chlorobenzene (PhCl).¹⁰ The desired 4-substituted Trp **9a** was obtained in moderate yield. When aniline derivative **8b**, which has 3,3-dimethyl acryloyl group as a directing group, was used, the corresponding 4-substituted Trp **9b** was obtained in 79% yield. The high yield was also achieved even by using less amount of the catalyst, albeit in longer reaction time. The reaction of aniline derivative **8c** derived from D-form of amino acid also proceeded smoothly to give 4-substituted D-tryptophan derivative **9c**, which is the opposite enantiomer of **9b**. Phenylether derivative could be also used in the present transformation, and the reaction of **8d** afforded 4-substituted (3-benzofuranyl) alanine derivative **9d** in 84% (Entry 4). These results mean that Ir-catalyzed cyclodehydration is a versatile protocol for the synthesis of 4-functionalized tryptophan derivatives including its oxygen analogue.





The construction of tricyclic azepinoindole skeleton was examined by an intramolecular reductive amination, where ketimine would be formed between the carbonyl moiety of 3,3-dimethyl acryloyl group and amino moiety of amino acid (Table 2).^{2f} First, Cbz group was deprotected in **9b** by using hydrogen bromide in acetic acid, and the obtained free amine 10 was submitted to the several conditions for the intramolecular reductive amination without isolation. When sodium triacetoxyborohydride was used as a reductant in dichloromethane, desired cyclic amine 11 could not be detected at all (Entry 1). In contrast, amine 11 was obtained by the addition of a stoichiometric amount of triethylamine, albeit in low yield because of low conversion of the ketamine, but it is noteworthy that the reductive amination proceeded with perfect diastereoselectivity (Entry 2). Next sodium cyanoborohydride was examined as a stronger reductant in the presence of triethylamine, and the formation of tricyclic azepinoindole could be ascertained. However, alkene reduction of isopropylidene moiety also proceeded and desired 11 was not detected (Entry 3). When the author increased the amount of sodium triacetoxyborohydride, moderate yield of 53% was achieved by using 4.2 equivalent amount of the reductant (Entries 4 and 5). However, significant decrease of ee of compound 11 was observed (13% ee). When the reduction was conducted at 0 °C, the ee of 11 was slightly improved to 29% ee, but the yield was decreased to 29%. The racemization proceeded even with other organic and inorganic bases, such as N,N-diisopropylethylamine, NaHCO₃, K₂CO₃, and Cs₂CO₃. In the absence of the bases, the formation of the cyclic imine could not be observed.

| CO ₂ Me NHCbz | HBr/AcOH (10 equiv) rt, overnight | CO ₂ Me NH ₂ HBr HBr | Reductant (X Additive (1.0 d DCM, rt, 2- | equiv) $4 h$ H CO_2Me N H N H H H H H |
|-----------------------------|---|---|--|--|
| Entry | Reductant | X / equiv | Additive | Yield / % |
| 1 | NaBH(OAc) ₃ | 1.4 | none | Not detected |
| 2 | NaBH(OAc) ₃ | 1.4 | Et ₃ N | 21 |
| 3 | NaBH ₃ CN | 1.4 | Et ₃ N | Not detected |
| 4 | NaBH(OAc) ₃ | 2.8 | Et ₃ N | 46 |
| 5 | NaBH(OAc) ₃ | 4.2 | Et ₃ N | 53 |

Table 2. Intramolecular reductive amination for the synthesis of *cis*-clavicipitic acid methyl ester 11

Finally, hydrolysis of **11** by potassium hydroxide was examined in a mixed solvent of methanol and water (2:1),^{9b} and the *cis*-clavicipitic acid **1** was obtained (Scheme 5).

Scheme 5. Synthesis of cis-clavicipitic acid



Conclusion

A new approach for the synthesis of 4-substituted Trp derivatives **9** by the cyclodehydration via Ir-catalyzed C-H bond activation was developed. In addition, the total synthesis of the *cis*-clavicipitic acid **1** from asparagine **2** by this original Ir-catalyzed reaction and intramolecular reductive amination was achieved in short steps. Racemization was observed in intramolecular reductive amination step, but this strategy started from commercially available and cheap compound, and realized higher atom efficiency with minimum use of protecting groups.

Experimental Section

General

¹H NMR spectra were recorded on JEOL AL-500 (500 MHz) spectrometers. The chemical shifts were reported in parts per million (δ) relative to internal standard TMS (0 ppm) for CDCl₃. The peak patterns are indicated as follows: s, singlet; d, doublet; dd, doublet of doublet; t, triplet; m, multiplet; q, quartet. The coupling constants, *J*, are reported in Hertz (Hz). ¹³C NMR spectra were obtained by JEOL AL-400 (125 MHz) spectrometers and referenced to the internal solvent signals (central peak is 77.16 ppm in CDCl₃). CDCl₃ was used as a NMR solvent. High-resolution mass spectra (HRMS) were measured on ESI (Electro Spray Ionization) – orbitrap mass spectrometer. Optical rotations were measured on a JASCO DIP-1000 polarimeter. Preparative thin-layer chromatography (PTLC) was performed with silica gel-precoated glass plates (Merck 60 GF254) prepared in the author's laboratory, Flash column chromatography was performed over silica gel 200-300. All reagents were weighed and handled in air and backfilled under argon at room temperature. Unless otherwise noted, all reactions were performed under an argon atmosphere. All reagents were purchased from Wako, Kanto, Aldrich and TCI and used without further purification. Compounds **S1~S3** were known. Compound **S1** was synthesized by the literature protocol.¹³ Compounds **S2** and **S3** were synthesized by the modified protocols.¹⁴

Characterization of new compounds



(S)-5-Bromo-2-(carbobenzoxyamino)-4-oxopentanoic acid methyl ester (6)

A 30 mL dry two-necked pear-shaped flask equipped with a rubber septum and argon balloon was charged with Z-Asp-OMe **5** (565.0 mg, 2.0 mmol) and SOCl₂ (2.0 mL). The solution was stirred at 40 °C for 30 min. After removal of SOCl₂ under reduced pressure, anhydrous CH_2Cl_2 (4.0 mL) was added, and the resulting solution was cooled to -78 °C. To the cooled solution, 0.6 M solution of trimethylsilyldiazomethane in hexane (9.6 mL) was added slowly. The reaction mixture was stirred at -78 °C for 12 h, and warmed to room temperature gradually. After removal of the solvent, 2.0 mL of $CHCl_3-Et_2O$ (1:1) was added, and the resulting solution was cooled to 0 °C. To the cooled solution, 48% aqueous solution of HBr (0.68 mL, 6.0 mmol) was added slowly. The reaction mixture was stirred at 0 °C for 3 h, and quenched with sat. NaHCO₃ solution. The solution was extracted with EtOAc, and the organic layer was washed with sat. NaHCO₃ solution. (× 2) and brine (× 2). The organic extract was dried over Na₂SO₄, filtered, and evaporated under reduced pressure to give the title compound **6** (626.2 mg,

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87%) as an off-white solid that was used without further purification. Mp 89 °C. ¹H NMR δ 7.37-7.31 (m, 5H), 5.72 (d, J = 7.8 Hz, 1H), 5.12 (s, 2H), 4.67-4.58 (m, 1H), 3.88 (s, 2H), 3.74 (s, 3H), 3.38 (dd, J = 4.3, 18.1 Hz, 1H), 3.26 (dd, J = 4.3, 18.1 Hz, 1H); ¹³C NMR δ 200.3, 171.2, 156.1, 136.2, 128.7, 128.4, 128.3, 67.3, 53.1, 50.2, 41.2, 33.7. HRMS(ESI) calcd for C₁₄H₁₆O₅NBrNa (M+Na): 380.0104; found: 380.0106. $[\alpha]^{23}_{D} = +22.0$ (c 1.0, CHCl₃).

Synthetic scheme of 7



4-(tert-Butoxycarbonylamino)benzoic acid (S1)¹³

To a stirred solution of 4-aminobenzoic acid (5.00 g, 36.5 mmol) and sodium hydroxide (1.56 g, 39.3 mmol) in 60 mL of water-dioxane (1:1) at 0 °C was added di*-tert*-butyl dicarbonate (14.3 g, 65.4 mmol). The resulting mixture was stirred for 3 h, then warmed to room temperature and stirred overnight. The aqueous mixture was then washed with EtOAc (60 mL) before further EtOAc (60 mL) was added and the resulting mixture neutralized with 1 M aqueous KHSO₄. The organic layer was separated, washed with water (60 mL), dried (Na₂SO₄) and the solvent removed in vacuo to give the title product **S1** (7.53 g, 87%) as a white solid, which was used without further purification.



tert-Butyl N-(3-(methoxy-methylcarbamoyl)phenyl)carbamate (S2)¹⁴

A 100 mL dry two-necked pear-shaped flask equipped with a rubber septum was charged with S1 (2135.9 mg, 9.0 mmol), 1-hydroxybenzotriazole monohydrate (1404.5 mg, 9.0 mmol), and DMF (45 mL) under argon atmosphere. To the resulting solution were added *N*,*O*-dimethylhydroxylamine hydrochloride (2054.3 mg, 20 mmol), 1-(3-dimethylaminopropyl)-3-ethylcarbodiimide hydrochloride (3180.9 mg, 16 mmol), and triethylamine (7.1 mL, 51 mmol). The reaction mixture was stirred overnight at room temperature. To the reaction mixture was added EtOAc. The organic layer was washed with 5% citric acid

solution (× 2), 5% NaHCO₃ solution. (× 2), and brine (× 2). The organic layer was separated, dried over Na₂SO₄, filtered, and evaporated under reduced pressure to give **S2** (2362.1 mg, 94%) as a white solid that was used without further purification.



tert-Butyl *N*-(3-(3-methyl-1-oxo-2-buten-1-yl)phenyl)carbamate (S3)¹⁴

To a solution of **S2** (2327.5 mg, 8.3 mmol) in THF (13.3 mL) at 0 °C under argon atmosphere was dropwised 2-methyl-1-propenylmagnesium bromide (0.5 M in THF, 41.5 mL, 20.8 mmol). The reaction mixture was stirred at 0 °C for 30 min. The cooling bath was removed and the reaction mixture was stirred at room temperature for 2 h. The reaction mixture was cooled to 0 °C and quenched with 5% citric acid solution. The aqueous layer was extracted with ether (\times 2) and the combined organic layers were washed with water and brine. The organic layer was separated, dried over Na₂SO₄, filtered, and evaporated under reduced pressure to give **S3** quantitatively as a light yellow solid that was used without further purification.



1-(3-Aminophenyl)-3-methylbut-2-en-1-one (7)¹⁴

To a solution of **S3** (2277.2 mg, 8.1 mmol) in CH₂Cl₂ (6.4 mL) at room temperature was added trifluoroacetic acid (19.3 mL, 252.2 mmol). The reaction mixture was stirred at room temperature for 15 minutes. The solvents were removed under reduced pressure. The residue was redissolved in CH₂Cl₂ and re-evaporated three times. To the residue were added CH₂Cl₂, the solution was washed with sat. NaHCO₃ solution. The organic layer was separated, dried over Na₂SO₄, filtered, and evaporated under reduced pressure. The crude product was purified by flash column chromatography (gradient elution: hexane/EtOAc = 100/0 to 60/40) to give 7 (902.0 mg, 63%) as a yellow solid.



(S)-5-(3-Acetylphenylamino)-2-(carbobenzoxyamino)-4-oxopentanoic acid methyl ester (8a)

A dry Schlenk tube equipped with a rubber septum was charged with 3'-aminocetophenone (202.7 mg,

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1.5 mmol), K₂CO₃ (137.7 mg, 1.0 mmol), and DMF (0.7 mL) under argon atmosphere. The resulting solution was stirred at room temperature for 30 min. To the reaction mixture was added **6** (179.1 mg, 0.50 mmol), and the solution was stirred for 2.5 h. To the reaction mixture was added water, and the aqueous layer was extracted with EtOAc. The organic layer was washed with brine, dried over Na₂SO₄, filtered, and evaporated under reduced pressure. The crude product was purified by preparative TLC (elution: hexane/EtOAc = 3/2) to give the title compound **8a** (142.3 mg, 69%) as a yellow oil. ¹H NMR δ 7.36-7.24 (m, 7H), 7.12 (s, 1H), 6.78 (d, *J* = 7.7 Hz, 1H), 5.76 (d, *J* = 8.0 Hz, 1H), 5.11 (s, 2H), 4.70-4.53 (m, 2H), 4.06 (dd, *J* = 20.1, 25.0 Hz, 2H), 3.74 (s, 3H), 3.24 (dd, *J* = 4.0, 17.5 Hz, 1H), 3.11 (dd, *J* = 4.0, 17.5 Hz, 1H), 2.56 (s, 3H); ¹³C NMR δ 204.4, 198.6, 171.3, 156.1, 147.0, 138.4, 136.2, 129.6, 128.7, 128.4, 128.3, 118.8, 118.2, 111.2, 67.3, 53.6, 53.1, 50.0, 42.2, 26.8. HRMS(ESI) calcd for C₂₂H₂₄O₆N₂Na (M+Na): 435.1527; found: 435.1532. [α]²⁴_D = +16.7 (*c* 2.0, CHCl₃).



(S)-2-(Carbobenzoxyamino)-4-oxo-5-(3-(1-oxo-3-methylbut-2-en-1-yl)phenylamino)pentanoic acid methyl ester (8b)

A dry Schlenk tube equipped with a rubber septum was charged with **7** (314.8 mg, 1.8 mmol), K₂CO₃ (166.0 mg, 1.2 mmol), and DMF (0.84 mL) under argon atmosphere. The resulting solution was stirred at room temperature for 30 min. To the reaction mixture was added **6** (215.0 mg, 0.60 mmol), and the solution was stirred for 3 h. To the reaction mixture was added water, and the aqueous layer was extracted with EtOAc. The organic layer was washed with brine, dried over Na₂SO₄, filtered, and evaporated under reduced pressure. The crude product was purified by flash column chromatography (gradient elution: hexane/EtOAc = 3/2 to 2/1) to give the title compound **8b** (226.7 mg, 83%) as a yellow oil. ¹H NMR δ 7.37-7.21 (m, 7H), 7.11 (s, 1H), 6.74 (d, *J* = 7.5 Hz, 1H), 6.71 (s, 1H), 5.77 (d, *J* = 7.9 Hz, 1H), 5.11 (s, 2H), 4.71-4.54 (m, 2H), 4.06 (dd, *J* = 19.8, 25.2 Hz, 2H), 3.74 (s, 3H), 3.24 (dd, *J* = 4.3, 17.9 Hz, 1H), 2.19 (s, 3H), 2.01 (s, 3H); ¹³C NMR δ 204.6, 191.8, 171.3, 156.5, 156.1, 146.9, 140.5, 136.2, 129.4, 128.7, 128.4, 128.3, 121.5, 118.4, 117.4, 111.6, 67.3, 53.7, 53.0, 50.0, 42.2, 28.1, 21.3. HRMS(ESI) calcd for C₂₅H₂₈O₆N₂Na (M+Na): 475.1840; found: 475.1840. [α]²³_D = +16.2 (*c* 1.3, CHCl₃).

Synthetic scheme of 8d



(S)-5-Bromo-2-(carbobenzoxyamino)-4-oxopentanoic acid benzyl ester (S4)

A 30 mL dry two-necked pear-shaped flask equipped with a rubber septum and argon balloon was charged with N-(benzyloxycarbonyl)-L-aspartic acid benzyl ester¹⁵ (714.6 mg, 2.0 mmol) and SOCl₂ (2.0 mL). The solution was stirred at 40 °C for 30 min. After removal of SOCl₂ under reduced pressure, anhydrous Et₂O (4.0 mL) was added, and the resulting solution was cooled to -78 °C. To the cooled solution, 2.0 M solution of trimethylsilyldiazomethane in Et₂O (2.8 mL) was added slowly. The reaction mixture was stirred at -78 °C for 12 h, and warmed to room temperature gradually. After removal of the solvent, 2.0 mL of CHCl₃-Et₂O (1:1) was added, and the resulting solution was cooled to 0 °C. To the cooled solution, 48% aqueous solution of HBr (0.68 mL, 6.0 mmol) was added slowly. The reaction mixture was stirred at 0 °C for 3 h, and quenched with sat. NaHCO₃ solution. The solution was extracted with CH₂Cl₂, and the organic layer was washed with brine. The organic extract was dried over Na₂SO₄, filtered, and evaporated under reduced pressure. The crude product was purified by flash column chromatography (elution: hexane/EtOAc = 3/1) to give S4 (463.4 mg, 53%) as an off-white solid. Mp 94 °C. ¹H NMR δ 7.36-7.29 (m, 10H), 5.74 (d, J = 7.8 Hz, 1H), 5.16 (s, 2H), 5.10 (s, 2H), 4.71-4.62 (m, 1H), 3.81 (s, 2H), 3.38 (dd, J = 4.3, 18.2 Hz, 1H), 3.25 (dd, J = 4.3, 18.2 Hz, 1H); ¹³C NMR δ 200.2, 170.5, 156.1, 136.2, 135.2, 128.8, 128.7, 128.5, 128.4, 128.3, 67.9, 67.3, 50.3, 41.9, 33.7 (A signal is overlapped with an aromatic peak). HRMS(ESI) calcd for C₂₀H₂₀O₅NBrNa (M+Na): 456.0417; found: 456.0420. $[\alpha]^{20}_{D} = +11.6$ (*c* 1.0, CHCl₃).



(S)-5-(3-Acetylphenoxy)-2-(carbobenzoxyamino)-4-oxopentanoic acid benzyl ester (8d)

A dry Schlenk tube equipped with a rubber septum was charged with 3'-hydroxyacetophenone (68.2 mg, 0.50 mmol), K_2CO_3 (76.3 mg, 0.55 mmol), and **S4** (260.9 mg, 0.60 mmol) under argon atmosphere. To

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the reaction vessel was added MeCN (0.70 mL), and the resulting solution was stirred at room temperature for 5.5 h. To the reaction mixture was added water, and the aqueous layer was extracted with CH₂Cl₂. The organic layer was washed with brine, dried over Na₂SO₄, filtered, and evaporated under reduced pressure. The crude product was purified by flash column chromatography (elution: hexane/EtOAc = 3/1) to give the title compound **8d** (234.2 mg, 96%) as a white solid. Mp 88 °C. ¹H NMR δ 7.59 (d, *J* = 7.9 Hz, 1H), 7.44-7.27 (m, 12H), 7.06 (d, *J* = 7.9 Hz, 1H), 5.78 (d, *J* = 8.0 Hz, 1H), 5.17 (s, 2H), 5.10 (s, 2H), 4.78-4.66 (m, 1H), 4.56 (dd, *J* = 16.7, 22.5 Hz, 2H), 3.35 (dd, *J* = 4.5, 18.4 Hz, 1H), 3.22 (dd, *J* = 4.5, 18.4 Hz, 1H), 2.58 (s, 3H); ¹³C NMR δ 205.1, 197.6, 170.7, 157.9, 156.1, 138.8, 136.2, 135.3, 130.1, 128.8, 128.7, 128.6, 128.4, 128.4, 128.2, 122.4, 119.9, 113.4, 72.7, 67.8, 67.3, 49.9, 41.6, 26.8. HRMS(ESI) calcd for C₂₈H₂₇O₇NNa (M+Na): 512.1680; found: 512.1680. [α]²²_D = +6.01 (*c* 1.1, CHCl₃).



(S)-3-(4-Acetylindol-3-yl)-2-(carbobenzoxyamino)propanoic acid methyl ester (9a)

A dry Schlenk tube equipped with a rubber septum was charged with $[Ir(cod)_2]BARF$ (57.4 mg, 0.045 mmol), *rac*-BINAP (27.9 mg, 0.045 mmol), and **8a** (186.3 mg, 0.45 mmol) under argon atmosphere. To the reaction vessel was added PhCl (0.90 mL), and the vessel was capped with a glass stopper. The reaction mixture was stirred at 135 °C for 20 h, and cooled to room temperature. The solvent was removed, and the crude product was purified by flash column chromatography (elution: hexane/EtOAc = 3/2) to give the title compound **9a** (96.7 mg, 54%) as a yellow oil. ¹H NMR δ 8.67 (s, 1H), 7.56 (d, *J* = 7.3 Hz, 1H), 7.51 (d, *J* = 7.9 Hz, 1H), 7.35-7.05 (m, 7H), 5.98 (d, *J* = 8.0 Hz, 1H), 4.98 (s, 2H), 4.55-4.46 (m, 1H), 3.71 (s, 3H), 3.41 (dd, *J* = 5.2, 14.7 Hz, 1H), 3.29 (dd, *J* = 9.3, 14.7 Hz, 1H), 2.70 (s, 3H); ¹³C NMR δ 202.5, 173.3, 156.4, 137.9, 136.6, 132.6, 128.5, 128.1, 128.0, 126.8, 123.9, 123.1, 120.9, 116.3, 112.2, 66.8, 56.2, 52.4, 29.5, 29.2. HRMS(ESI) calcd for C₂₂H₂₂O₅N₂Na (M+Na): 417.1421; found: 417.1419. [α]²⁵_D = -23.4 (*c* 1.2, CHCl₃)



(S)-2-(Carbobenzoxyamino)-3-(4-(1-oxo-3-methylbut-2-en-1-yl)indol-3-yl)propanoic acid methyl ester (9b)

A dry Schlenk tube equipped with a rubber septum was charged with [Ir(cod)₂]BARF (112.4 mg, 0.089

mmol), *rac*-BINAP (55.0 mg, 0.089 mmol), and **8b** (400.7 mg, 0.89 mmol) under argon atmosphere. To the reaction vessel was added PhCl (1.8 mL), and the vessel was capped with a glass stopper. The reaction mixture was stirred at 135 °C for 24 h, and cooled to room temperature. The solvent was removed, and the crude product was purified by flash column chromatography (gradient elution: hexane/EtOAc = 100/0 to 55/45) to give the title compound **9b** (303.6 mg, 79%) as a yellow solid. Mp 55 °C. ¹H NMR δ 8.51 (s, 1H), 7.45 (d, J = 8.1 Hz, 1H), 7.39 (d, J = 7.1 Hz, 1H), 7.28-7.07 (m, 7H), 6.62 (s, 1H), 6.13 (d, J = 7.8 Hz, 1H), 4.97 (s, 2H), 4.55-4.44 (m, 1H), 3.71 (s, 3H), 3.36 (dd, J = 4.9, 14.8 Hz, 1H), 3.22 (dd, J = 9.5, 14.8 Hz, 1H), 2.21 (s, 3H), 1.98 (s, 3H); ¹³C NMR δ 195.7, 173.2, 156.8, 156.4, 137.6, 136.7, 134.6, 128.5, 128.0, 127.9, 125.8, 124.9, 124.1, 121.8, 121.0, 114.9, 111.9, 66.7, 56.0, 52.4, 29.0, 28.1, 21.3. HRMS(ESI) calcd for C₂₅H₂₆O₅N₂Na (M+Na): 457.1734; found: 457.1737. [α]²⁴_D = -21.1 (*c* 1.0, CHCl₃).



(S)-3-(4-Acetylbenzofuran-3-yl)-2-(carbobenzoxyamino)propanoic acid benzyl ester (9d)

A dry Schlenk tube equipped with a rubber septum was charged with $[Ir(cod)_2]BARF$ (6.8 mg, 5.0 µmol), *rac*-BINAP (3.2 mg, 5.0 µmol), and **8d** (24.5 mg, 0.050 mmol) under argon atmosphere. To the reaction vessel was added PhCl (0.10 mL), and the vessel was capped with a glass stopper. The reaction mixture was stirred at 135 °C for 24 h, and cooled to room temperature. The solvent was removed, and the crude product was purified directly by preparative TLC (elution: hexane/EtOAc = 3/1) to give the title compound **9d** (18.6 mg, 79%) as an off-white solid. Mp 112 °C. ¹H NMR δ 7.67 (d, *J* = 7.5 Hz, 1H), 7.63 (d, *J* = 8.3 Hz, 1H), 7.49 (s, 1H), 7.36-7.20 (m, 11H), 5.62 (d, *J* = 8.4 Hz, 1H), 5.13 (s, 2H), 5.00 (s, 2H), 4.66-4.58 (m, 1H), 3.43 (dd, *J* = 5.7, 14.7 Hz, 1H), 3.28 (dd, *J* = 8.5, 14.7 Hz, 1H), 2.66 (s, 3H); ¹³C NMR δ 200.6, 172.1, 156.7, 156.1, 146.0, 136.4, 135.5, 133.4, 128.7, 128.6, 128.4, 128.3, 128.2, 128.1, 125.6, 125.3, 123.7, 116.8, 116.2, 67.2, 66.9, 55.2, 28.8, 28.5. HRMS(ESI) calcd for C₂₈H₂₅O₆NNa (M+Na): 494.1574; found: 494.1574. [α]²²_D = -8.04 (*c* 1.2, CHCl₃).



cis-Clavicipitic acid methyl ester (11)

A dry Schlenk tube equipped with a rubber septum was charged with **9b** (21.7 mg, 0.050 mmol) under argon atmosphere. To the reaction vessel were added AcOH (0.13 mL) and HBr (30% in AcOH, 0.10 mL). The reaction mixture was stirred at room temperature overnight. The solvent was removed *in vacuo* for 2

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h. To the reaction vessel were added CH₂Cl₂ and triethylamine (7.0 μ L, 0.050 mmol). To the resulting mixture was added NaBH(OAc)₃ (45.1 mg, 0.21 mmol), then the reaction mixture was stirred at room temperature for 24 h. The reaction mixture was quenched with sat. NaHCO₃ solution. The aqueous layer was extracted with ether (× 3). The combined organic layers were dried over Na₂SO₄, filtered, and evaporated under reduced pressure. The crude product was purified by preparative TLC (elution: hexane/EtOAc = 2/1) to give *cis*-clavicipitic acid methyl ester **11** (7.5 mg, 53%) as a light yellow solid. Ee was determined by HPLC analysis using a chiral column (Daicel Chiralcel OD-3: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 0.1% diethylamine in MeOH, flow rate: 0.8 mL/min, retention time: 5.61 min for major isomer and 6.63 min for minor isomer).¹⁵



cis-Clavicipitic acid $(1)^{15}$

A solution of *cis*-clavicipitic acid methyl ester (16.2 mg, 0.055 mmol) in 1.8 ml of 4% KOH in MeOH-H₂O (2:1) was stirred for 15 min at room temperature. Ion-exchange resin (Amberlist 15 hydrogen form) was added to the reaction mixture until the pH of the solution became neutral. The resin was filtered and washed with MeOH. The filtrate was evaporated to give *cis*-clavicipitic acid (6.5 mg, 42%).

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Synthesis of 4-Substituted Tryptophans and cis-Clavicipitic Acid

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Enantioselective Synthesis of Aminoindan Carboxylic Acid Derivatives

by Rhodium-Catalyzed Intramolecular Cycloaddition

Backgrounds

Aminoindan carboxylic acid (Aic) is a phenylalanine (Phe) analogue, which has conformationally constrained bicyclic skeleton, and an achiral amino acid. When a substituent (R) is introduced on the benzene ring, it becomes a chiral amino acid (Figure 1).

Figure 1. Structures of Phe, Aic, and chiral Aic



Aic skeleton is found in biologically active molecules, for example, activated protein C (aPC) inhibitors,¹ neurokinin NK₂ receptor antagonists,² and lymphocyte function-associated antigen 1 (LFA-1) antagonists were recently reported (Figure 2).³ In addition, over the past few years, Aic-containing molecules have attracted much attention as amino acids for the photoaffinity labelling.⁴

Figure 2. Aic-containing functional molecules



Against this background, various synthetic methods of Aic derivatives have been developed. For example, as the synthesis of achiral Aic derivatives, Ru-catalyzed cascade reaction,⁵ phase-transfer-catalyzed alkylation,⁶ and alkyne trimerization⁷ have been reported. With regard to asymmetric synthesis of Aic derivatives, resolution of diastereomers had been only one protocol, where

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Aic derivatives were condensed with other chiral amino acid derivatives.⁸ Quite recently, Toste and co-workers reported an enantioselective amination of 2-alkoxycarbonyl-1-indanones and transformed one of them into a chiral Aic (eq. 1).⁹ This is only an example of enantioselective approach to Aic derivative synthesis. To the best of my knowledge, there has been no report of widely applicable enantioselective synthesis of Aic derivatives.



Results and Discussion

First, the author considered that enantioselective synthesis of Aic derivatives by intermolecular cycloaddition: when the reaction of amino acid-tethered diyne **1** with methyl propiolate was examined in the presence of a chiral Rh catalyst using (*S*)-BINAP as a chiral ligand, the desired Aic derivative **2** was obtained in moderate NMR yield (Scheme 1). However, its enantiomeric excess was very low as expected, because the stereogenic center is far from chiral metal catalyst. Actually, Takeuchi and co-workers reported that the generation of chiral center at the C4-position of 1,6-diynes by the intermolecular cycloaddition with alkynes, but the enantiomeric excess of the cycloadduct was very low (eq. 2).¹⁰





To improve the enantioselectivity, the author considered that intramolecular cycloaddition of amino acid-tethered triynes could realize high enantioselectivity in the generation of chiral center on the tether and give chiral tethered Aic derivatives. Subsequent removal of the tether readily gives chiral Aic derivatives (Scheme 2).





As a model substrate, the author designed and synthesized amino acid-tethered trivne **3a** possessing a 1,6-divne moiety and propiolate, which are connected by ethylene glycol chain. Three advantages of the tethered trivne **3a** is anticipated: (1) selective oxidative coupling of 1.6-divne moiety with a metal catalyst, (2) high asymmetric induction because enantioselectivity is incidentally determined only by the orientation of alkyne insertion to metallacycle intermediate, and (3) easily removal of the ethylene glycol chain by hydrolysis. First, the intramolecular reaction using cationic rhodium complexes and various chiral ligands was examined in 1,2-dichloroethane (DCE) (Table 1). As the chiral ligand, (S,S)-Me-DUPHOS was used (Entries 1-3).¹¹ Although excellent enantioselectivity was achieved, the reaction proceeded sluggishly and the yields of desired tethered Aic derivative 4a were miserable. When the Rh catalyst consisting of BARF as a counter anion and (S)-BINAP as a chiral ligand was used, the reaction proceeded smoothly even at room temperature, and the yield was drastically improved with decreased ee (Entry 4). Various BINAP derivatives were further examined: while H₈-BINAP and xylBINAP did not give better results than BINAP (Entries 5 and 6), higher enantioselectivity was achieved by tolBINAP (Entry 7). When the reaction was performed at 40 °C, the yield was improved to 80%, and the desired Aic 4a was obtained without the decrease of ee (Entry 8). In addition, when the author examined recrystallization of cycloadduct 4a (82% ee), recovery yield from mother liquid following recrystallization of 4a was 87% and ee of 4a was increased to 97% ee.

Table 1. Screening of chiral rhodium catalysts for the synthesis of chiral tethered Aic derivative



| Entry ^a | Y | Chiral Ligand | Temp. / °C | Yield / % | Ee / % |
|--------------------|-----------------|---------------------------|------------|-----------|--------------|
| 1 | BF ₄ | (S,S)-Me-DUPHOS | 80 | trace | 96 |
| 2^b | OTf | (S,S)-Me-DUPHOS | 80 | trace | 93 |
| 3 | BARF | (S,S)-Me-DUPHOS | 40 | 17 | 90 |
| 4 | BARF | (S)-BINAP | rt | 76 | 75 |
| 5 | BARF | (S)-H ₈ -BINAP | rt | 62 | 72 |
| 6 | BARF | (S)-xylBINAP | rt | 55 | 47 |
| 7 | BARF | (S)-tolBINAP | rt | 38 | 82 |
| 8 | BARF | (S)-tolBINAP | 40 | 80 | $82(97)^{c}$ |

[a] Triyne **3a** was added dropwise to a DCE solution of the Rh catalyst over 1 h. [b] The reaction mixture was further stirred for 2.5 h after the dropwise addition. [c] Ee of the sample recovered from the mother liquid of recrystallization.

Under the optimum conditions (Table 1, Entry 8), various trivnes were subjected to the intramolecular [2+2+2] cycloaddition (Table 2). The reaction of trivnes **3b** and **3c** having 4- or 3-tolyl group on their respective divne termini proceeded to give desired tethered Aic derivatives 4b and 4c in good yields and ee. The reaction of trivne 3d possessing electron-rich aryl groups gave 4d in moderate yield with good ee, but those of trivnes 3e and 3f possessing electron-deficient aryl groups sluggishly proceeded, and the yields were low, but ee was still good. When trivnes 3g and 3h, which have halogenated phenyl groups on their respective diyne termini, were used, the corresponding cycloaducts 4g and 4h were obtained in moderate to good yield. A single crystal was obtained as a racemic mixture by recrystallization of tethered Aic 4h, and its structure was ascertained by X-ray crystallographic analysis (Figure 3). The reaction of trivne **3i** possessing a 2-naphthyl group on divne termini as a bulky substituent also proceeded smoothly to give the corresponding cycloadduct 4i in high yield and ee. The cycloaddition of trivne 3j with methyl group on its divne termini also proceeded, and desired product 4j was obtained in good yield, but significant decrease of ee was observed. The reaction of triyne 3k possessing but-2-ynoate as a monoalkyne moiety was examined. The reaction gave desired cycloadduct 4k in good yield, but ee decreased. Notably, the ee of all these cycloadducts 4b-4k was improved to more than 95% by recrystallization and recovery from mother liquid. These various chiral Aic derivatives have a terphenyl scaffold, and it is very attractive. Terphenyl scaffold mimics α -helix and interact with protein,¹² and a

fluorescent terphenyl unnatural amino acid was reported.¹³ Absolute configuration of **4** was determined by VCD/ECD analysis and their calculation in Scheme 3 and Figure 4 in experimental section, and that of other cycloadducts was relatively determined.

Table 2. Intramolecular cycloaddition of various triynes^[a]



[*a*] The trivne was added dropwise to a DCE solution of the Rh catalyst over 1 h. Total time of dropwise addition (1 h) and additional reaction time was described. Recovery yield and ee in the mother liquid following recrystallization is listed in the parentheses.

Figure 3. Ortep diagram of 4h



Next, the reaction of triyne **31** possessing *tert*-butyloxycarbonyl group as a protecting group on nitrogen was examined (Scheme 3). Boc group has little affect on present reaction, and cycloaddition of **31** proceeded enantioselectively in moderate yield (vs Table1, Entry 4). In addition, the enantiomers **41** were subjected to VCD/ECD analysis and their calculation to determine absolute configuration of tethered Aic **4**. VCD and ECD spectra and their calculations for elucidation of absolute configuration of **4** were shown in experimental section. As a result, measured and calculated spectra of the enantiomers **41** were quite similar to one another, and absolute configuration ((*R*)-form) of tethered Aic derivative **4** prepared by Rh-(*S*)-BINAP catalyst was elucidated by their analysis and calculation.

Scheme 3. Synthesis of Boc-protected tethered Aic 4I



A possible explanation for enantioselective induction of chiral tethered Aic derivatives **4** was shown in Scheme 4. The first step is oxidative coupling of 1,6-diyne moiety with the square planar metal catalyst, and metallacyclopentadiene **A** is generated. Next step is insertion of the remaining alkyne moiety to metallacyclopentadiene moiety. Metallacyclopentadiene **A** can go through **A1** or **A2**, but steric hindrance

is occurred carbonyl group on propiolate metallacyclopentadiene and aryl group on ligand in A2. As a result, A1 is preferred and (R)-4 is obtained mainly after reductive elimination of metal catalyst.

Scheme 4. Possible explanation for enantioselective induction of 4



Next, hydrolysis of chiral tethered Aic **4** for the synthesis of chiral Cbz-protected Aic derivatives was examined by removal of ethylene glycol moiety (Table 3). When tethered Aic derivatives **4a**, **b**, **g**, **h**, **i** selected from Table 2 were treated with aqueous sodium hydroxide at room temperature, chiral Aic derivatives **5a**, **b**, **g**, **h**, **i** were obtained in good to high yield.





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Finally, free Aic and its dimethyl ester was synthesized by deprotection of Cbz group and subsequent esterification (Scheme 5). First, the Cbz-protected Aic **5a** was treated with palladium on carbon under hydrogen atmosphere, and desired 5-carboxylated free Aic **6a** was obtained in good yield. Then, the reaction of **6a** with trimethylsilyldiazomethane gave dimethyl ester of Aic **7a** in moderate yield.

Scheme 5. Synthetic transformation to free Aic and its dimethyl ester.



Conclusion

The author developed enantioselective intramolecular [2+2+2] cycloaddition of amino acid-tethered triynes. The present reaction provides a new and widely applicable protocol for the synthesis of chiral Aic derivatives.

Experimental Section

General

¹H NMR spectra were recorded on JEOL JNM-ECX500 (500 MHz) spectrometers. The chemical shifts were reported in parts per million (δ) relative to internal standard TMS (0 ppm) for CDCl₃, or external standard TMS (0 ppm) for CD₃OD. The peak patterns are indicated as follows: s, singlet; d, doublet; dd, doublet of doublet; t, triplet; m, multiplet; q, quartet; br, broad. The coupling constants, J, are reported in Hertz (Hz). ¹³C NMR spectra were obtained by JEOL JNM-ECX500 (125 MHz) spectrometers and referenced to the internal solvent signals (central peaks are 77.0 ppm in CDCl₃ and 49.9 ppm in CD₃OD), or external standard TMS (0 ppm) for CD₃OD. CDCl₃ and CD₃OD were used as NMR solvents. High-resolution mass spectra (HRMS) were measured on ESI (Electro Spray Ionization) - orbitrap mass spectrometer. Optical rotations were measured on a JASCO DIP-1000 polarimeter. IR and VCD spectra were measured on a Jasco JV-2001M spectrophotometer at a resolution of ca. 4 cm⁻¹ under ambient temperature for 16 and 4000 scans, respectively. The samples were dissolved in CDCl₃ at a concentration of 0.05 M, and the solutions were placed in a 100-µm CaF₂ cell. UV and ECD spectra were measured on a JASCO J-820 spectropolarimeter using MeCN as solvent at 20 °C. A path length of 1 mm was used and the concentrations were set to 0.228 and 0.212 mM, respectively. All spectral data were corrected by a solvent spectrum obtained under identical experimental conditions. Both VCD and ECD data were corrected with ee values and also enantiomeric correction was performed for VCD. Preparative thin-layer chromatography (PTLC) was performed with silica gel-precoated glass plates (Merck 60 GF254) prepared in the author's laboratory, Flash column chromatography was performed over silica gel 200-300. All reagents were weighed and handled in air and backfilled under Argon at room temperature. Unless otherwise noted, all reactions were performed under an argon atmosphere. All reagents were purchased Aldrich and TCI and used without further purification. from Wako, Kanto, Ethyl 2-amino-2-propargyl-4-pentynoate was prepared using the method in the literature.¹⁴

Synthetic scheme of triyne 3a



Experimental procedure for the synthesis of ethyl

2-(((benzyloxy)carbonyl)amino)-2-(prop-2-yn-1-yl)pent-4-ynoate (S1)

Ethyl 2-amino-2-propargyl-4-pentynoate¹⁴ (2.6 g, 14.3 mmol), EtOAc (36.0 mL), and saturated aqueous sodium bicarbonate (36.0 mL) was added to a flask and it was cooled to 0-5 °C (ice bath). Benzyl chloroformate (2.3 mL, 15.7 mmol) was dropwisely added to the stirred mixture over 5 min. Stirring was continued for 18 h as the temperature rose to room temperature then the layers were separated. The aqueous phase was further extracted with EtOAc then combined extracts were washed with 1N HCl, dried by Na₂SO₄ and evaporated to dryness. The crude product was purified by column chromatography on silica gel (hexane/EtOAc = 4/1) to give diyne **S1** as pale yellow oil (3.7 g, 83%). ¹H NMR (CDCl₃) δ 7.44-7.26 (m, 5H), 5.93 (s, 1H), 5.11 (s, 2H), 4.28 (d, *J* = 6.9 Hz, 2H), 3.19 (d, *J* = 16.6 Hz, 2H), 2.80 (dd, *J* = 16.6, 2.6 Hz, 2H), 2.02 (t, *J* = 2.6 Hz, 2H), 1.29 (t, *J* = 6.9 Hz, 3H); ¹³C NMR (CDCl₃) δ 170.6, 154.6, 136.4, 128.6, 128.2, 128.0, 78.3, 71.8, 66.8, 62.6, 61.7, 25.7, 14.2; HRMS(ESI) calcd for C₁₈H₁₉NNaO₄ (M+Na): 336.1206; found: 336.1206.

Experimental procedure for the synthesis of

2-(((benzyloxy)carbonyl)amino)-2-(prop-2-yn-1-yl)pent-4-ynoic acid (S2)

To diyne S1 (2.8 g, 9.0 mmol) in a stirred mixture of MeOH (14.0 mL) and THF (7.0 mL), cooled to 0-5

 $^{\circ}$ C (ice bath), was dropwisely added 2N NaOH aq. (9.0 mL, 18.0 mmol) over 5 min. Stirring was continued for 12 h at room temperature. After the reaction was finished, 1N HCl was added and the organic layer was extracted with EtOAc. The organic extracts were washed with brine, dried with Na₂SO₄ and concentrated under reduced pressure to afford diyne **S2**, which was used in the next step without further purification.

Experimental procedure for the synthesis of 2-hydroxyethyl

2-(((benzyloxy)carbonyl)amino)-2-(prop-2-yn-1-yl)pent-4-ynoate (S3)¹⁵

Diyne **S2** (854.7 mg, 3.0 mmol), a catalytic amount of DMAP (56.3 mg, 0.45 mmol) and ethylene glycol (0.68 mL, 12.0 mmol) were dissolved in dry DCM (6.0 mL) in a dried two necked 30 mL flask, then *N*,*N*-dicyclohexylcarbodiimide (DCC) (804.3 mg, 3.9 mmol) in DCM (6.0 mL) was slowly added to the solution with stirring at 0-5 °C (ice bath). The reaction was conducted at room temperature for 1 h. The by-product dicyclohexylurea (DCU) precipitate was removed by filtration. Then, the filtrate was evaporated and the residue was diluted with EtOAc. The solution was washed with 1N HCl, saturated aqueous sodium bicarbonate and brine, dried with Na₂SO₄ and concentrated under reduced pressure. The crude product was purified by column chromatography on silica gel (hexane/EtOAc = 4/1) to give diyne **S3** as white solid (762.6 mg, 77%). Mp 72 °C; ¹H NMR (CDCl₃) δ 7.43-7.27 (m, 5H), 5.81 (s, 1H), 5.10 (s, 2H), 4.35 (s, 2H), 3.77 (s, 2H), 3.11 (d, *J* = 16.9 Hz, 2H), 2.89 (dd, *J* = 16.9, 2.6 Hz, 2H), 2.53 (s, 1H), 2.08 (t, *J* = 2.6 Hz, 2H); ¹³C NMR (CDCl₃) δ 170.7, 155.1, 136.0, 128.7, 128.4, 128.1, 78.2, 72.3, 67.9, 67.2, 61.3, 60.7, 25.8; HRMS(ESI) calcd for C₁₈H₁₉NNaO₅ (M+Na): 352.1153; found: 352.1155.

Experimental procedure for the synthesis of 2-hydroxyethyl

2-(((benzyloxy)carbonyl)amino)-5-phenyl-2-(3-phenylprop-2-yn-1-yl)pent-4-ynoate (S4)¹⁶

[PdCl₂(PPh₃)₂] (10 mol%), CuI (20 mol%), diyne **S3** (488.6 mg, 1.5 mmol) and iodobenzene (0.5 mL, 4.5 mmol) were dissolved in dry THF (17.0 mL) and NEt₃ (5.7 mL). The reaction mixture was stirred at room temperature for 1 h. When the completion of the reaction was judged by TLC, the solution was diluted with EtOAc and filtered. The solvents were removed in vacuo and the crude product was purified by column chromatography on silica gel (hexane/EtOAc = 5/1) to give diyne **S4** as yellow oil (559.2 mg, 78%). ¹H NMR (CDCl₃) δ 7.40-7.24 (m, 15H), 5.90 (s, 1H), 5.14 (s, 2H), 4.40 (s, 2H), 3.79 (s, 2H), 3.39 (d, *J* = 16.9 Hz, 2H), 3.18 (d, *J* = 16.9 Hz, 2H), 2.50 (s, 1H); ¹³C NMR (CDCl₃) δ 171.1, 155.3, 136.2, 131.8, 128.7, 128.5, 128.4, 128.3, 128.0, 122.9, 84.3, 83.6, 67.9, 67.1, 62.0, 60.9, 27.0; HRMS(ESI) calcd for C₃₀H₂₇NNaO₅ (M+Na): 504.1782; found: 504.1781.

Experimental procedure for the synthesis of triyne 3a¹⁷

Diyne **S4** (238.5 mg, 0.5 mmol), a catalytic amount of DMAP (25.1 mg, 0.20 mmol) and DCC (212.3 mg, 1.0 mmol) were dissolved in dry DCM (3.0 mL) in a dried two necked 30 mL flask, then propiolic acid (106.9 mg, 1.5 mmol) in DCM (2.0 mL) was slowly added to the solution with stirring at 0-5 °C (ice bath). The reaction was conducted at 0-5 °C for 1 h. The by-product dicyclohexylurea (DCU) precipitate was removed by filtration. Then, the filtrate was evaporated and the residue was diluted with EtOAc. The solution was washed with 1N HCl, saturated aqueous sodium bicarbonate and brine, dried with Na₂SO₄ and concentrated under reduced pressure. The crude product was purified by column chromatography on silica gel (hexane/EtOAc = 4/1) to give triyne **3a** (136.8 mg, 52%).

General procedure for the enantioselective [2+2+2] cycloaddition of triyne 3

(*S*)-tol-BINAP (10 mol%, 3.4 mg) and $[Rh(cod)_2]BARF$ (10 mol%, 5.9 mg) were placed in a dried schlenk tube, which was then evacuated and backfilled with argon (×3). To the reaction vessel was added 1,2-dichloromethane (0.5 mL). While stirring the solution at room temperature for 5 min, hydrogen gas was introduced, and the solution was further stirred for 30 min at room temperature. After removal of the solvent and hydrogen gas under reduced pressure, argon gas was introduced. 1,2-Dichloroethane (0.5 mL) was added and the reaction vessel was immersed in an oil bath of 40 °C for 5 min. Then, triyne **3** (0.05 mmol) in 1,2-dichloroethane (2.5 mL) was added dropwise via syringe pump over 1 h. After the reaction mixture was stirred at the temperature for 0-3 h, the solvent was removed under reduced pressure, and the crude product was purified by preparative TLC to give pure cycloadduct **4**. The enantiomeric excess was determined by HPLC analysis using a chiral column.

Synthetic procedure for the transformation of tethered Aic derivative 4a to Cbz-protected Aic derivative 5a

To a dried 50 mL flask tethered Aic **4a** (0.1 mmol) was added. The reaction vessel was evacuated and backfilled with argon (\times 3), then 1N NaOH aq. (0.5 mL, 0.5 mmol) and THF (5.0 mL) were added. After the reaction mixture was stirred at room temperature for 5 h, 1N HCl aq. was added to the solution. The mixture was extracted with EtOAc and resulting solution was dried with Na₂SO₄. After evaporation of the solution, the residue was purified by crystallization (hexane/EtOAc) and the desired solid **5a** was afforded (38.9 mg, 78%).

Experimental procedure for the synthesis of free Aic derivative 6a

To a dried two necked 50 mL flask Cbz-protected Aic derivative **5a** (38.9 mg, 0.08 mmol) was dissolved in MeOH (5.0 mL) and 40 wt% Pd/C (16.0 mg) was added. The resulting mixture was stirred under hydrogen atmosphere at room temperature for 1.5 h. After the reaction mixture was filtered and evaporated in vacuo, the residue was purified by crystallization (EtOAc/MeOH) and the desire solid **6a** was afforded (23.2 mg, 81%).

Experimental procedure for the synthesis of dimethylester Aic derivative 7a from 6a

Aic derivative **6a** (20.6 mg, 0.06 mmol) was dissolved in MeOH (0.3 mL) and dry DCM (0.07 mL). Then TMS-diazomethane (2.0 M solution in diethyl ether, 0.25 mL) was added slowly to the solution with stirring at 0-5 $^{\circ}$ C (ice bath). After the reaction mixture was stirred at room temperature for 1.5 h, the solvent was removed under reduced pressure and the crude product was purified by preparative TLC (hexane/EtOAc = 3/2) to give pure dimethylester Aic **7a** (12.4 mg, 56%).

Characterization of new compounds



2-(Propioloyloxy)ethyl 2-(((benzyloxy)carbonyl)amino)-5-phenyl-2-(3-phenylprop-2-yn-1-yl)pent-4ynoate (3a)

Isolated by column chromatography on silica gel (hexane/EtOAc = 4/1). The title compound was obtained as yellow solid (52%). Mp 93 °C; ¹H NMR (CDCl₃) δ 7.41-7.20 (m, 15H), 5.94 (s, 1H), 5.15 (s, 2H), 4.47 (br, 2H), 4.40 (br, 2H), 3.43 (d, *J* = 16.8 Hz, 2H), 3.15 (d, *J* = 16.8 Hz, 2H), 2.79 (s, 1H); ¹³C NMR (CDCl₃) δ 170.8, 154.8, 152.4, 136.4, 131.9, 128.6, 128.3, 128.2, 128.2, 127.9, 123.1, 84.2, 83.6, 75.7, 74.3, 66.8, 63.5, 63.3, 62.3, 26.8; HRMS(ESI) calcd for C₃₃H₂₇NNaO₆ (M+Na): 556.1730; found: 556.1731.

Enantioselective Synthesis of Aminoindan Carboxylic Acids



2-(Propioloyloxy)ethyl 2-(((benzyloxy)carbonyl)amino)-5-(*p*-tolyl)-2-(3-(*p*-tolyl)prop-2-yn-1-yl)pent-4-ynoate (3b)

Isolated by column chromatography on silica gel (hexane/EtOAc = 4/1). The title compound was obtained as yellow solid (70%). Mp 95 °C; ¹H NMR (CDCl₃) δ 7.43-6.98 (m, 13H), 5.91 (s, 1H), 5.14 (s, 2H), 4.46 (br, 2H), 4.40 (br, 2H), 3.40 (d, *J* = 16.8 Hz, 2H), 3.13 (d, *J* = 16.8 Hz, 2H), 2.80 (s, 1H), 2.32 (s, 6H); ¹³C NMR (CDCl₃) δ 170.9, 154.8, 152.4, 138.3, 136.4, 131.8, 129.1, 128.6, 128.2, 127.9, 120.0, 84.2, 82.8, 75.6, 74.3, 66.8, 63.5, 63.2, 62.3, 26.8, 21.5; HRMS(ESI) calcd for C₃₅H₃₁NNaO₆ (M+Na): 584.2043; found: 584.2044.



2-(Propioloyloxy)ethyl 2-(((benzyloxy)carbonyl)amino)-5-(*m*-tolyl)-2-(3-(*m*-tolyl)prop-2-yn-1yl)pent-4-ynoate (3c)

Isolated by column chromatography on silica gel (hexane/EtOAc = 4/1). The title compound was obtained as yellow oil (62%). ¹H NMR (CDCl₃) δ 7.39-7.27 (m, 5H), 7.22-7.06 (m, 8H), 5.90 (s, 1H), 5.15 (s, 2H), 4.48 (br, 2H), 4.41 (br, 2H), 3.41 (d, *J* = 16.8 Hz, 2H), 3.14 (d, *J* = 16.8 Hz, 2H), 2.79 (s, 1H), 2.30 (s, 6H); ¹³C NMR (CDCl₃) δ 170.8, 154.8, 152.4, 138.0, 136.4, 132.4, 129.1, 129.0, 128.6, 128.2, 128.2, 127.9, 122.9, 84.3, 83.2, 75.6, 74.3, 66.8, 63.5, 63.3, 62.3, 26.8, 21.3; HRMS(ESI) calcd for C₃₅H₃₁NNaO₆ (M+Na): 584.2043; found: 584.2044.



2-(Propioloyloxy)ethyl 2-(((benzyloxy)carbonyl)amino)-5-(4-methoxyphenyl)-2-(3-(4-methoxyphenyl)prop-2-yn-1-yl)pent-4-ynoate (3d)

Isolated by column chromatography on silica gel (hexane/EtOAc = 4/1). The title compound was

obtained as yellow oil (60%). ¹H NMR (CDCl₃) δ 7.38-7.26 (m, 9H), 6.83-6.76 (m, 4H), 5.89 (s, 1H), 5.14 (s, 2H), 4.46 (br, 2H), 4.40 (br, 2H), 3.79 (s, 6H), 3.38 (d, *J* = 16.9 Hz, 2H), 3.12 (d, *J* = 16.9 Hz, 2H), 2.81 (s, 1H); ¹³C NMR (CDCl₃) δ 170.9, 159.5, 154.8, 152.4, 136.5, 133.3, 128.6, 128.2, 127.9, 115.2, 113.9, 83.9, 82.0, 75.6, 74.3, 66.8, 63.5, 63.2, 62.4, 55.4, 26.8; HRMS(ESI) calcd for C₃₅H₃₁NNaO₈ (M+Na): 616.1942; found: 616.1942.



Diethyl 4,4'-(4-(((benzyloxy)carbonyl)amino)-4-((2-(propioloyloxy)ethoxy)carbonyl)hepta-1,6-diyne -1,7-diyl)dibenzoate (3e)

Isolated by column chromatography on silica gel (hexane/EtOAc = 4/1). The title compound was obtained as yellow oil (50%). ¹H NMR (CDCl₃) δ 7.96-7.92 (m, 4H), 7.42-7.28 (m, 9H), 5.94 (s, 1H), 5.15 (s, 2H), 4.64-4.17 (m, 8H), 3.47 (d, *J* = 16.9 Hz, 2H), 3.16 (d, *J* = 16.9 Hz, 2H), 2.80 (s, 1H), 1.38 (t, *J* = 7.1 Hz, 6H); ¹³C NMR (CDCl₃) δ 170.6, 166.1, 154.7, 152.3, 136.3, 131.7, 130.0, 129.5, 128.6, 128.3, 128.0, 127.5, 86.6, 83.6, 75.7, 74.2, 66.9, 63.5, 63.4, 62.2, 61.2, 26.9, 14.4; HRMS(ESI) calcd for C₃₉H₃₅NNaO₁₀ (M+Na): 700.2150; found: 700.2153.



2-(Propioloyloxy)ethyl 2-(((benzyloxy)carbonyl)amino)-5-(4-(trifluoromethyl)phenyl)-2-(3-(4-

(trifluoro-methyl)phenyl)prop-2-yn-1-yl)pent-4-ynoate (3f)

Isolated by column chromatography on silica gel (hexane/EtOAc = 4/1). The title compound was obtained as yellow oil (43%). ¹H NMR (CDCl₃) δ 7.52 (d, *J* = 8.2 Hz, 4H), 7.44 (d, *J* = 8.2 Hz, 4H), 7.38-7.26 (m, 5H), 5.96 (s, 1H), 5.15 (s, 2H), 4.49 (br, 2H), 4.42 (br, 2H), 3.48 (d, *J* = 16.9 Hz, 2H), 3.15 (d, *J* = 16.9 Hz, 2H), 2.80 (s, 1H); ¹³C NMR (CDCl₃) δ 170.6, 154.7, 152.3, 136.2, 132.1, 130.1 (d, *J* = 32.6 Hz), 128.6, 128.2 (d, *J* = 36.8 Hz), 126.7, 125.3 (q, *J* = 3.8 Hz), 125.1, 122.9, 86.1, 83.0, 75.7, 74.2, 67.0, 63.6, 63.4, 62.2, 26.8; HRMS(ESI) calcd for C₃₅H₂₅F₆NNaO₆ (M+Na): 692.1476; found: 692.1478.



2-(Propioloyloxy)ethyl 2-(((benzyloxy)carbonyl)amino)-5-(4-fluorophenyl)-2-(3-(4-fluorophenyl)-

prop-2-yn-1-yl)pent-4-ynoate (3g)

Isolated by column chromatography on silica gel (hexane/EtOAc = 4/1). The title compound was obtained as yellow oil (52%). ¹H NMR (CDCl₃) δ 7.38-7.27 (m, 9H), 7.00-6.92 (m, 4H), 5.92 (s, 1H), 5.15 (s, 2H), 4.47 (br, 2H), 4.41 (br, 2H), 3.41 (d, *J* = 16.8 Hz, 2H), 3.12 (d, *J* = 16.8 Hz, 2H), 2.83 (s, 1H); ¹³C NMR (CDCl₃) δ 170.8, 163.5, 161.5, 154.7, 152.4, 136.4, 133.7 (d, *J* = 8.3 Hz), 128.6, 128.1 (d, *J* = 32.7 Hz), 119.1 (d, *J* = 3.5 Hz), 115.6 (d, *J* = 22.0 Hz), 83.2, 83.1, 75.7, 74.2, 66.8, 63.5, 63.4, 62.3, 26.8, HRMS(ESI) calcd for C₃₃H₂₅F₂NNaO₆ (M+Na): 592.1541; found: 592.1542.



2-(Propioloyloxy)ethyl 2-(((benzyloxy)carbonyl)amino)-5-(4-bromophenyl)-2-(3-(4-bromophenyl)prop-2-yn-1-yl)pent-4-ynoate (3h)

Isolated by column chromatography on silica gel (hexane/EtOAc = 4/1). The title compound was obtained as yellow solid (54%). Mp 97 °C; ¹H NMR (CDCl₃) δ 7.45-7.14 (m, 13H), 5.92 (s, 1H), 5.14 (s, 2H), 4.46 (br, 2H), 4.40 (br, 2H), 3.41 (d, *J* = 16.9 Hz, 2H), 3.11 (d, *J* = 16.9 Hz, 2H), 2.82 (s, 1H); ¹³C NMR (CDCl₃) δ 170.7, 154.7, 152.4, 136.3, 133.3, 131.6, 128.7, 128.3, 128.0, 122.5, 121.9, 84.8, 83.2, 75.7, 74.2, 66.9, 63.5, 63.4, 62.2, 26.8; HRMS(ESI) calcd for C₃₃H₂₅Br₂NNaO₆ (M+Na): 711.9940; found: 711.9941.



2-(Propioloyloxy)ethyl 2-(((benzyloxy)carbonyl)amino)-5-(naphthalen-2-yl)-2-(3-(naphthalen-2-yl)-prop-2-yn-1-yl)pent-4-ynoate (3i)

Isolated by column chromatography on silica gel (hexane/ EtOAc = 4/1). The title compound was

obtained as yellow solid (73%). Mp 117 °C;¹H NMR (CDCl₃) δ 7.89 (s, 2H), 7.80-7.70 (m, 6H), 7.50-7.26 (m, 11H), 6.01 (s, 1H), 5.18 (s, 2H), 4.52 (br, 2H), 4.44 (br, 2H), 3.51 (d, *J* = 16.8 Hz, 2H), 3.24 (d, *J* = 16.8 Hz, 2H), 2.70 (s, 1H); ¹³C NMR (CDCl₃) δ 170.9, 154.9, 152.4, 136.4, 133.0, 132.8, 131.7, 128.7, 128.6, 128.2, 128.0, 127.8, 126.7, 126.6, 120.4, 84.6, 83.9, 75.6, 74.2, 66.9, 63.5, 63.4, 62.4, 27.0 (a pair of peaks at the aromatic region is overlapped); HRMS(ESI) calcd for C₄₁H₃₁NNaO₆ (M+Na): 656.2039; found: 656.2044.



2-(Propioloyloxy)ethyl 2-(((benzyloxy)carbonyl)amino)-2-(but-2-yn-1-yl)hex-4-ynoate (3j)

Isolated by column chromatography on silica gel (hexane/EtOAc = 4/1). The title compound was obtained as yellow oil (52%). ¹H NMR (CDCl₃) δ 7.50-7.26 (m, 5H), 5.70 (s, 1H), 5.12 (s, 2H), 4.41 (br, 4H), 3.03 (br, 2H), 2.92 (s, 1H), 2.78 (d, *J* = 16.3 Hz, 2H), 1.75 (s, 6H); ¹³C NMR (CDCl₃) δ 171.1, 154.7, 152.4, 136.5, 128.6, 128.2, 128.0, 79.4, 75.5, 74.4, 72.9, 66.7, 63.6, 62.9, 62.1, 26.1, 3.6; HRMS(ESI) calcd for C₂₃H₂₃NNaO₆ (M+Na): 432.1417; found: 432.1418.



2-(But-2-ynoyloxy)ethyl 2-(((benzyloxy)carbonyl)amino)-5-phenyl-2-(3-phenylprop-2-yn-1-yl)pent-4-ynoate (3k)

Isolated by column chromatography on silica gel (hexane/EtOAc = 4/1). The title compound was obtained as yellow oil (91%). ¹H NMR (CDCl₃) δ 7.46-7.17 (m, 15H), 5.97 (s, 1H), 5.15 (s, 2H), 4.46 (br, 2H), 4.37 (br, 2H), 3.44 (d, *J* = 16.8 Hz, 2H), 3.14 (d, *J* = 16.8 Hz, 2H), 1.86 (s, 3H); ¹³C NMR (CDCl₃) δ 170.8, 154.8, 153.4, 136.5, 131.9, 128.6, 128.3, 128.2, 128.2, 127.9, 123.1, 86.7, 84.1, 83.6, 72.1, 66.8, 63.6, 63.0, 62.4, 26.8, 3.8; HRMS(ESI) calcd for C₃₄H₂₉NNaO₆ (M+Na): 570.1895; found: 570.1887.



2-(Propioloyloxy)ethyl 5-phenyl-2-(3-phenylprop-2-yn-1-yl)pent-2-(((tertiarybutoxy)carbonyl)-

amino)-4-ynoate (3l)

Isolated by column chromatography on silica gel (hexane/EtOAc = 4/1). The title compound was obtained as yellow oil (59%). ¹H NMR (CDCl₃) δ 7.43-7.35 (m, 4H), 7.33-7.26 (m, 6H), 5.54 (s, 1H), 4.52-4.44 (m, 2H), 4.44-4.39 (m, 2H), 3.44-3.25 (m, 2H), 3.15 (d, *J* = 16.8 Hz, 2H), 2.82 (s, 1H), 1.46 (s, 9H); ¹³C NMR (CDCl₃) δ 171.1, 154.4, 152.4, 131.9, 128.3, 128.2, 123.2, 84.0, 83.8, 75.6, 74.3, 63.7, 63.1, 61.8, 33.6, 28.4, 26.8; HRMS(ESI) calcd for C₃₀H₂₉NNaO₆ (M+Na): 522.1888; found: 522.1887.



2-(((Benzyloxy)carbonyl)amino)-2,5-(2,5-dioxa-1,6-dioxohexano)-4,7-diphenyl-indan (4a)

Isolated by preparative TLC (hexane/EtOAc = 3/2, Rf = 0.6). The title compound was obtained as white solid (80%). Mp 255 °C; ¹H NMR (CDCl₃) δ 7.52-7.20 (m, 16H), 5.60 (s, 1H), 5.07-4.93 (m, 2H), 4.49 (br, 1H), 4.16 (br, 1H), 3.89 (br, 1H), 3.75-3.58 (m, 2H), 3.31 (br, 2H), 3.01 (dd, *J* = 13.7, 2.2 Hz, 1H); ¹³C NMR (CDCl₃) δ 173.2, 168.6, 149.4, 140.6, 139.3, 137.4, 136.6, 131.0, 129.2, 128.9, 128.9, 128.8, 128.8, 128.7, 128.5, 128.5, 128.3, 128.2, 121.6, 71.5, 70.9, 67.3, 42.9, 29.8, 23.1 (two pairs of peaks at the aromatic region are overlapped); HRMS(ESI) calcd for C₃₃H₂₇NNaO₆ (M+Na): 556.1732; found: 556.1731. [a]³⁴_D = +4.6 (*c* 1.0, CHCl₃, 97% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/dichloromethane = 1/1, flow rate: 1.0 mL/min, retention time: 10.8 min for major isomer and 13.6 min for minor isomer). HPLC charts of racemic sample, chiral sample prepared by enantioselective [2+2+2] cycloaddition, and chiral sample after recrystallization, respectively, were listed. The same hereinafter.



2-(((Benzyloxy)carbonyl)amino)-2,5-(2,5-dioxa-1,6-dioxohexano)-4,7-di(p-tolyl)-indan (4b)

Isolated by preparative TLC (hexane/EtOAc = 3/2, Rf = 0.6). The title compound was obtained as white solid (73%). Mp 175 °C; ¹H NMR (CDCl₃) δ 7.40-7.19 (m, 14H), 5.60 (s, 1H), 5.08-4.91 (m, 2H), 4.47 (br, 1H), 4.12 (br, 1H), 3.85 (br, 1H), 3.74-3.57 (m, 2H), 3.28 (br, 2H), 3.01 (dd, *J* = 13.6, 2.0 Hz, 1H), 2.40 (s, 3H), 2.38 (s, 3H); ¹³C NMR (CDCl₃) δ 178.4, 172.9, 155.6, 142.3, 140.8, 138.8, 137.8, 137.6, 137.5, 137.0, 136.3, 136.0, 130.9, 129.4, 129.1, 128.9, 128.6, 128.4, 128.3, 128.3, 128.2, 67.2, 66.7, 65.6, 60.8, 60.7, 43.8, 21.4, 21.3; HRMS(ESI) calcd for C₃₅H₃₁NNaO₆ (M+Na): 584.2046; found: 584.2044. [α]²⁸_D = +11.1 (*c* 0.9, CHCl₃, 96% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/dichloromethane = 1/1, flow rate: 1.0 mL/min, retention time: 9.5 min for major isomer and 11.4 min for minor isomer).



2-(((Benzyloxy)carbonyl)amino)-2,5-(2,5-dioxa-1,6-dioxohexano)-4,7-di(*m***-tolyl)-indan (4c) Isolated by preparative TLC (hexane/EtOAc = 3/2, Rf = 0.6). The title compound was obtained as white solid (70%). Mp 140 °C; ¹H NMR (CDCl₃) \delta 7.38-7.17 (m, 14H), 5.58 (s, 1H), 5.11-4.93 (m, 2H), 4.49 (s, 1H), 4.17 (br, 1H), 3.89 (br, 1H), 3.70 (br, 1H), 3.59 (dd,** *J* **= 13.4, 2.3 Hz, 1H), 3.47-3.14 (m, 2H), 3.02 (dd,** *J* **= 13.4, 2.3 Hz, 1H), 2.43 (s, 3H), 2.39 (s, 3H); ¹³C NMR (CDCl₃) \delta 173.2, 168.7, 149.3, 140.7, 139.4, 138.8, 138.4, 137.4, 136.6, 130.0, 129.5, 129.3, 129.0, 128.8, 128.8, 128.7, 128.6, 128.4, 128.2, 125.5, 121.5, 71.5, 70.9, 67.3, 46.1, 43.0, 21.7, 21.6, 13.4 (four pairs of peaks at the aromatic region are overlapped); HRMS(ESI) calcd for C₃₅H₃₁NNaO₆ (M+Na): 584.2045; found: 584.2044. [\alpha]³¹_D = +8.8 (***c* **0.7, CHCl₃, 96% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/dichloromethane = 1/1, flow rate: 1.0 mL/min, retention time: 7.7 min for major isomer and 8.6 min for minor isomer).**



2-(((Benzyloxy)carbonyl)amino)-4,7-bis(4-methoxyphenyl)-2,5-(2,5-dioxa-1,6-dioxohexano)-indan (4d)

Isolated by preparative TLC (hexane/EtOAc = 3/2, Rf = 0.6). The title compound was obtained as white solid (67%). Mp 141 °C; ¹H NMR (CDCl₃) δ 7.43-7.23 (m, 10H), 7.02-6.89 (m, 4H), 5.60 (s, 1H), 5.09-4.94 (m, 2H), 4.48 (br, 1H), 4.20-4.02 (m, 1H), 3.93-3.77 (m, 7H), 3.72-3.58 (m, 2H), 3.27 (br, 2H), 3.02 (dd, *J* = 13.6, 2.2 Hz, 1H); ¹³C NMR (CDCl₃) δ 173.3, 169.0, 160.1, 159.7, 155.4, 149.3, 139.8, 138.6, 130.3, 130.0, 129.4, 129.0, 128.7, 128.6, 128.5, 128.2, 121.0, 114.6, 114.3, 71.5, 70.8, 67.3, 64.0, 55.5, 55.4, 46.4, 42.8 (two pairs of peaks at the aromatic region are overlapped); HRMS(ESI) calcd for C₃₅H₃₁NNaO₈ (M+Na): 616.1940; found: 616.1942. [α]³²_D = +14.5 (*c* 1.0, CHCl₃, 97% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/2-propanol = 1/1, flow rate: 1.0 mL/min, retention time: 25.4 min for major isomer and 20.4 min for minor isomer).



2-(((Benzyloxy)carbonyl)amino)-4,7-bis(4-(ethoxycarbonyl)phenyl)-2,5-(2,5-dioxa-1,6-dioxohexano) -indan (4e)

Isolated by preparative TLC (hexane/EtOAc = 3/2, Rf = 0.4). The title compound was obtained as white solid (36%). Mp 142 °C; ¹H NMR (CDCl₃) δ 8.22-8.06 (m, 4H), 7.62-7.20 (m, 10H), 5.72 (s, 1H), 5.01 (m, 2H), 4.53 (br, 1H), 4.42 (q, *J* = 6.3 Hz, 4H), 4.20 (br, 1H), 3.97-3.65 (m, 2H), 3.59 (d, *J* = 13.4 Hz, 1H), 3.31 (br, 2H), 2.99 (d, *J* = 13.4 Hz, 1H), 1.42 (t, *J* = 6.3 Hz, 6H); ¹³C NMR (CDCl₃) δ 172.9, 168.0, 166.2, 166.1, 149.7, 141.3, 140.9, 140.3, 138.7, 130.8, 130.6, 130.4, 130.1, 128.9, 128.7, 128.5, 128.3, 128.2, 121.9, 71.7, 71.0, 67.5, 61.4, 61.2, 46.0, 42.8, 29.7, 14.4, 14.4 (four pairs of peaks at the aromatic region are overlapped); HRMS(ESI) calcd for C₃₉H₃₅NNaO₁₀ (M+Na): 700.2151; found: 700.2153. [α]³⁷_D

= +8.0 (c 0.5, CHCl₃, >99% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/dichloromethane = 2/3, flow rate: 1.0 mL/min, retention time: 20.1 min for major isomer and 17.2 min for minor isomer).



2-(((Benzyloxy)carbonyl)amino)-4,7-bis(4-trifluoromethylphenyl)-2,5-(2,5-dioxa-1,6-dioxohexano)-i ndan (4f)

Isolated by preparative TLC (hexane/EtOAc = 3/2, Rf = 0.6). The title compound was obtained as white solid (37%). Mp 140 °C; ¹H NMR (CDCl₃) δ 7.81-7.13 (m, 14H), 5.61 (s, 1H), 5.09-4.90 (m, 2H), 4.53 (br, 1H), 4.19 (br, 1H), 3.99-3.63 (m, 2H), 3.59 (d, *J* = 13.4 Hz, 1H), 3.31 (br, 2H), 2.98 (d, *J* = 13.4 Hz, 1H); ¹³C NMR (CDCl₃) δ 172.8, 167.8, 157.5, 149.8, 140.6, 140.0, 139.9, 138.5, 131.2, 130.9, 129.3, 129.2, 128.8, 128.7, 128.6, 128.6, 128.3, 126.2 (q, *J* = 7.7, 4.1 Hz), 126.0, 121.9, 71.6, 71.1, 67.5, 42.7, 29.8, 29.7 (two pairs of peaks at the aromatic region are overlapped); HRMS(ESI) calcd for C₃₅H₂₅F₆NNaO₆ (M+Na): 692.1476; found: 692.1478. [α]³⁷_D = +2.4 (*c* 0.5, CHCl₃, 97% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IC: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/dichloromethane = 1/1, flow rate: 1.0 mL/min, retention time: 5.9 min for major isomer and 7.8 min for minor isomer).



2-(((Benzyloxy)carbonyl)amino)-4,7-bis(4-fluorophenyl)-2,5-(2,5-dioxa-1,6-dioxohexano)-indan (4g) Isolated by preparative TLC (hexane/EtOAc = 3/2, Rf = 0.6). The title compound was obtained as white solid (74%). Mp 148 °C; ¹H NMR (CDCl₃) δ 7.52-7.06 (m, 14H), 5.62 (s, 1H), 5.09-4.92 (m, 2H), 4.50 (br, 1H), 4.20-4.03 (m, 1H), 3.85 (br, 1H), 3.65 (br, 2H), 3.25 (br, 2H), 2.98 (dd, *J* = 13.6, 2.2 Hz, 1H); ¹³C NMR (CDCl₃) δ 173.1, 168.4, 164.0 (d, *J* = 34.2 Hz), 162.0 (d, *J* = 33.6 Hz), 149.5, 139.7, 138.4, 133.5, 132.5 (d, *J* = 3.4 Hz), 130.8 (d, *J* = 8.3 Hz), 130.0 (d, *J* = 8.3 Hz), 129.0, 128.7, 128.5, 128.2, 121.5, 116.3 (d, *J* = 21.7 Hz), 116.0 (d, *J* = 21.5 Hz), 71.6, 70.9, 67.4, 63.9, 46.1, 42.6 (three pairs of

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peaks at the aromatic region are overlapped); HRMS(ESI) calcd for $C_{33}H_{25}F_2NNaO_6$ (M+Na): 592.1541; found: 592.1542. $[\alpha]^{32}_D = +1.9$ (*c* 0.9, CHCl₃, 98% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/dichloromethane = 1/1, flow rate: 1.0 mL/min, retention time: 13.2 min for major isomer and 19.1 min for minor isomer).



2-(((Benzyloxy)carbonyl)amino)-4,7-bis(4-bromophenyl)-2,5-(2,5-dioxa-1,6-dioxohexano)-indan (4h)

Isolated by preparative TLC (hexane/EtOAc = 3/2, Rf = 0.6). The title compound was obtained as white solid (58%). Mp 235 °C; ¹H NMR (CDCl₃) δ 7.66-7.52 (m, 4H), 7.42-7.23 (m, 10H), 5.57 (s, 1H), 5.09-4.95 (m, 2H), 4.51 (br, 1H), 4.14 (br, 1H), 3.94-3.52 (m, 3H), 3.26 (br, 2H), 2.98 (dd, *J* = 13.6, 2.3 Hz, 1H); ¹³C NMR (CDCl₃) δ 172.9, 168.1, 149.6, 139.8, 138.4, 136.1, 135.3, 132.4, 132.2, 130.5, 129.7, 128.9, 128.7, 128.6, 128.3, 123.3, 123.2, 121.5, 71.6, 71.0, 67.4, 64.0, 46.1, 42.7 (three pairs of peaks at the aromatic region are overlapped); HRMS(ESI) calcd for C₃₃H₂₅Br₂NNaO₆ (M+Na): 711.9940; found: 711.9941. [α]³²_D = +3.0 (*c* 0.9, CHCl₃, 98% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/dichloromethane = 1/1, flow rate: 1.0 mL/min, retention time: 23.3 min for major isomer and 25.2 min for minor isomer). Crystal data of *racemic* **4h**; C₃₄H₂₅Br₂Cl₂NO₆, *M* = 774.29, triclinic, Space Group P-1 (#2), *a* = 11.0137(4) Å, *b* = 12.2025(4) Å, *c* = 13.0342(3) Å, *V* = 1564.39(9) Å³, *T* = 292.0 K, *Z* = 2, μ (Cu-Ka) = 52.642 cm⁻¹; Number of Reflections Measures: Total 12321, Unique: 5297 (R_{int} = 0.0598), *R1* = 0.0560, *wR2* = 0.1597. CCDC 1432394



2-(((Benzyloxy)carbonyl)amino)-4,7-di(naphthalen-2-yl)-2,5-(2,5-dioxa-1,6-dioxohexano)-indan (4i)

Isolated by preparative TLC (hexane/EtOAc = 3/2, Rf = 0.6). The title compound was obtained as white solid (83%). Mp 157 °C; ¹H NMR (CDCl₃) δ 7.99-7.83 (m, 8H), 7.67-7.47 (m, 7H), 7.27 (br, 5H), 5.62 (s, 1H), 5.05-4.89 (m, 2H), 4.53 (br, 1H), 4.22 (br, 1H), 3.97 (br, 1H), 3.78 (br, 1H), 3.64 (d, *J* = 13.4 Hz, 1H), 3.48 (br, 1H), 3.35 (br, 1H), 3.05 (dd, *J* = 13.4, 2.1 Hz, 1H); ¹³C NMR (CDCl₃) δ 173.1, 168.7, 155.3, 149.8, 140.6, 139.4, 135.7, 134.8, 134.1, 133.5, 133.4, 133.3, 133.1, 129.0, 128.9, 128.7, 128.6, 128.6, 128.4, 128.4, 128.2, 127.9, 127.8, 127.7, 126.9, 126.8, 126.6, 126.4, 125.8, 121.8, 71.7, 71.0, 67.3, 64.1, 46.2, 43.1 (three pairs of peaks at the aromatic region are overlapped); HRMS(ESI) calcd for C₄₁H₃₁NNaO₆ (M+Na): 656.2043; found: 656.2044. [α]²⁶_D = -2.9 (*c* 1.2, CHCl₃, 98% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IC: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/dichloromethane = 1/1, flow rate: 1.0 mL/min, retention time: 14.0 min for major isomer and 21.5 min for minor isomer).



2-(((Benzyloxy)carbonyl)amino)-4,7-dimethyl-2,5-(2,5-dioxa-1,6-dioxohexano)-indan (4j) Isolated by preparative TLC (hexane/EtOAc = 3/2, Rf = 0.7). The title compound was obtained as white solid (76%). Mp 193 °C; ¹H NMR (CDCl₃) δ 7.46-7.29 (m, 5H), 6.83 (s, 1H), 5.68 (br, 1H), 5.17-5.02 (m, 2H), 4.48 (br, 1H), 3.88 (br, 2H), 3.66 (br, 1H), 3.33 (d, J = 13.1 Hz, 1H), 3.09 (d, J = 13.1 Hz, 1H), 3.01 (d, J = 12.7 Hz, 1H), 2.76-2.62 (m, 1H), 2.30 (s, 3H), 2.25 (s, 3H); ¹³C NMR (CDCl₃) δ 173.5, 170.1, 155.4, 148.9, 139.7, 135.8, 135.4, 133.3, 130.6, 128.7, 128.5, 128.3, 124.8, 71.4, 70.9, 67.5, 64.0, 44.7, 41.0, 18.8, 16.9; HRMS(ESI) calcd for C₂₃H₂₃NNaO₆ (M+Na): 432.1420; found: 432.1418. [α]³⁵_D = -7.4 (*c* 0.4, CHCl₃, 95% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/dichloromethane = 3/7, flow rate: 1.0 mL/min, retention time: 6.1 min for major isomer and 15.1 min for minor isomer).



2-(((Benzyloxy)carbonyl)amino)-6-methyl-2,5-(2,5-dioxa-1,6-dioxohexano)-4,7-diphenyl-indan (4k) Isolated by preparative TLC (hexane/EtOAc = 3/2, Rf = 0.6). The title compound was obtained as white solid (75%). Mp 231 °C; ¹H NMR (CDCl₃) δ 7.56-7.13 (m, 15H), 5.52 (s, 1H), 5.08-4.90 (m, 2H), 4.64 (s, 1H), 4.16 (br, 1H), 3.94 (br, 1H), 3.69 (br, 1H), 3.21 (br, 1H), 3.10 (br, 1H), 3.04 (br, 1H), 2.87 (dd, *J* =

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13.4, 2.3 Hz, 1H), 2.13 (s, 3H); ¹³C NMR (CDCl₃) δ 173.6, 168.7, 155.4, 145.4, 140.8, 139.3, 137.8, 137.0, 130.4, 129.5, 129.1, 129.1, 128.9, 128.7, 128.7, 128.5, 128.4, 128.2, 128.0, 71.0, 70.8, 67.3, 64.2, 45.2, 42.1, 16.9 (two pairs of peaks at the aromatic region are overlapped); HRMS(ESI) calcd for C₃₄H₂₉NNaO₆ (M+Na): 570.1887; found: 570.1887. [α]³⁶_D = +27.6 (*c* 0.4, CHCl₃, >99% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/dichloromethane = 1/1, flow rate: 1.0 mL/min, retention time: 20.3 min for major isomer and 8.2 min for minor isomer).



2,5-(2,5-Dioxa-1,6-dioxohexano)-4,7-diphenyl-2-(((tertiarybutoxy)carbonyl)amino)-indan (4l)

Isolated by preparative TLC (hexane/EtOAc = 3/2, Rf = 0.5). The title compound was obtained as white solid (66%). Mp 145 °C; ¹H NMR (CDCl₃) δ 7.51-7.38 (m, 10H), 5.31 (s, 1H), 4.53 (br, 1H), 4.16 (d, *J* = 14.7 Hz, 1H), 3.88 (br, 1H), 3.71-3.57 (m, 2H), 3.33 (br, 1H), 3.22 (br, 1H), 3.01 (d, *J* = 13.7 Hz, 1H), 1.36 (s, 9H); ¹³C NMR (CDCl₃) δ 173.5, 168.7, 149.6, 140.5, 139.2, 137.4, 136.7, 129.1, 129.0, 128.9, 128.8, 128.7, 128.5, 128.3, 121.5, 71.6, 70.9, 63.8, 46.4, 43.0, 29.8, 28.3 (two pairs of peaks at the aromatic region are overlapped); HRMS(ESI) calcd for C₃₀H₂₉NNaO₆ (M+Na): 522.1890; found: 522.1887. [α]²⁹_D = +5.8 (*c* 0.9, CHCl₃, 66% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/dichloromethane = 1/1, flow rate: 1.0 mL/min, retention time: 8.0 min for major isomer and 11.4 min for minor isomer).



2-(((Benzyloxy)carbonyl)amino)-4,7-diphenyl-indan-2,5-dicarboxylic acid (5a)

Isolated by crystallization (hexane/EtOAc). The title compound was obtained as white solid (78%). Mp 138 °C; ¹H NMR (CDCl₃) δ 9.20 (br, 2H), 7.89 (s, 1H), 7.44-7.09 (m, 15H), 5.31 (s, 1H), 4.97 (s, 2H), 3.80 (br, 1H), 3.40 (br, 2H), 2.98 (br, 1H); ¹³C NMR (CDCl₃) δ 178.3, 172.6, 155.6, 142.5, 140.7, 140.2, 139.1, 139.0, 139.0, 138.0, 137.7, 135.9, 131.1, 128.8, 128.7, 128.6, 128.5, 128.3, 128.2, 127.8, 127.4, 67.2, 65.5, 60.8, 43.6; HRMS(ESI) calcd for C₃₁H₂₅NNaO₆ (M+Na): 530.1576; found: 530.1574. [α]³⁰_D = -38.6 (*c* 1.6, CHCl₃).



2-(((Benzyloxy)carbonyl)amino)-4,7-di(p-tolyl)-indan-2,5-dicarboxylic acid (5b)

Isolated by crystallization (hexane/EtOAc). The title compound was obtained as white solid (85%). Mp 141 °C; ¹H NMR (CDCl₃) δ 9.37 (br, 2H), 7.87 (s, 1H), 7.35-6.97 (m, 13H), 5.31 (br, 1H), 4.98 (s, 2H), 3.81 (br, 1H), 3.42 (br, 2H), 3.14-2.86 (m, 1H), 2.47-2.23 (m, 6H); ¹³C NMR (CDCl₃) δ 178.4, 172.9, 155.6, 142.3, 140.8, 138.8, 137.6, 137.5, 137.0, 136.3, 136.0, 130.9, 129.4, 129.2, 129.1, 128.9, 128.6, 128.4, 128.3, 128.3, 128.2, 67.2, 65.6, 43.8, 21.4, 21.3, 14.3; HRMS(ESI) calcd for C₃₃H₂₉NNaO₆ (M+Na): 558.1889; found: 558.1887. [α]³⁶_D = -20.9 (*c* 3.3, CHCl₃).



2-(((Benzyloxy)carbonyl)amino)-4,7-bis(4-fluorophenyl)-indan-2,5-dicarboxylic acid (5g)

Isolated by crystallization (hexane/EtOAc). The title compound was obtained as white solid (85%). Mp 135 °C; ¹H NMR (CDCl₃) δ 9.42 (br, 2H), 7.83 (s, 1H), 7.42-6.81 (m, 13H), 5.41 (br, 1H), 4.98 (s, 2H), 3.74 (br, 1H), 3.38 (br, 2H), 2.99 (br, 1H); ¹³C NMR (CDCl₃) δ 178.2, 172.3, 163.4 (d, *J* = 44.1 Hz), 161.4 (d, *J* = 43.6 Hz), 155.6, 142.6, 141.1, 138.1, 136.9, 135.8, 135.0, 134.8, 131.1, 130.2 (d, *J* = 7.0 Hz), 130.0, 128.8, 128.6, 128.4, 128.1, 115.7 (d, *J* = 21.6 Hz), 115.3 (d, *J* = 20.2 Hz), 67.3, 67.2, 65.4, 43.6; HRMS(ESI) calcd for C₃₁H₂₃F₂NNaO₆ (M+Na): 566.1386; found: 566.1386. [α]³⁶_D = -17.6 (*c* 2.2, CHCl₃).



2-(((Benzyloxy)carbonyl)amino)-4,7-bis(4-bromophenyl)-indan-2,5-dicarboxylic acid (5h)

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Isolated by crystallization (hexane/EtOAc). The title compound was obtained as white solid (92%). Mp 158 °C; ¹H NMR (CDCl₃) δ 9.15 (br, 2H), 7.84 (s, 1H), 7.59-6.90 (m, 13H), 5.50 (br, 1H), 4.98 (s, 2H), 3.74 (br, 1H), 3.56-3.12 (m, 2H), 3.00 (br, 1H); ¹³C NMR (CDCl₃) δ 178.0, 171.9, 155.6, 142.6, 141.0, 138.2, 137.9, 137.8, 136.8, 135.8, 132.0, 131.5, 131.0, 130.1, 128.6, 128.4, 128.2, 122.3, 121.6, 68.0, 67.3, 65.4, 43.5 (two pairs of peaks at the aromatic region are overlapped); HRMS(ESI) calcd for C₃₁H₂₂Br₂NO₆ (M-H): 661.9838; found: 661.9819. [α]³⁶_D = -20.7 (*c* 3.6, CHCl₃).



2-(((Benzyloxy)carbonyl)amino)-4,7-di(naphthalen-2-yl)-indan-2,5-dicarboxylic acid (5i)

Isolated by crystallization (hexane/EtOAc). The title compound was obtained as white solid (83%). Mp 153 °C; ¹H NMR (CDCl₃) δ 9.30 (br, 2H), 8.09-6.76 (m, 20H), 5.30 (br, 1H), 4.85 (s, 2H), 3.83 (br, 1H), 3.37 (br, 2H), 2.92 (br, 1H); ¹³C NMR (CDCl₃) δ 178.3, 172.5, 155.5, 142.8, 141.1, 139.0, 137.7, 136.7, 136.5, 135.8, 133.4, 133.2, 132.7, 132.6, 131.4, 128.9, 128.5, 128.4, 128.4, 128.3, 128.3, 128.1, 127.8, 127.6, 126.9, 126.5, 126.5, 126.2, 126.1, 72.3, 67.2, 65.6, 43.7 (four pairs of peaks at the aromatic region are overlapped). HRMS(ESI) calcd for C₃₉H₂₈NO₆ (M-H): 606.1935; found: 606.1922. [α]³⁶_D = -21.1 (*c* 4.0, CHCl₃).



2-Amino-4,7-diphenyl-indan-2,5-dicarboxylic acid (6a)

Isolated by crystallization (EtOAc/MeOH). The title compound was obtained as white solid (81%). Mp 233 °C (decomposition); ¹H NMR (CD₃OD) δ 7.64 (s, 1H), 7.53-7.22 (m, 10H), 3.93 (d, *J* = 17.4 Hz, 1H), 3.62 (d, *J* = 17.4 Hz, 1H), 3.17 (d, *J* = 17.4 Hz, 1H), 2.90 (d, *J* = 17.4 Hz, 1H); ¹³C NMR (CD₃OD) δ 174.4, 172.2, 139.9, 139.7, 139.5, 139.3, 137.7, 137.1, 134.6, 128.6, 128.4, 128.4, 128.2, 127.8, 127.4, 126.9, 66.7, 43.0, 42.8; HRMS(ESI) calcd for C₂₃H₁₉NNaO₄ (M+Na): 396.1207; found: 396.1206. [α]³⁰_D = -38.6 (*c* 1.6, MeOH).



Dimethyl 2-amino-4,7-diphenyl-indan-2,5-dicarboxylate (7a)

Isolated by preparative TLC (hexane/EtOAc = 3/2, Rf = 0.6). The title compound was obtained as white solid (56%). Mp 153 °C; ¹H NMR (CD₃OD) δ 7.74 (s, 1H), 7.52-7.20 (m, 10H), 3.77-3.68 (m, 4H), 3.56 (s, 3H), 3.42 (d, *J* = 16.7 Hz, 1H), 3.03 (d, *J* = 16.7 Hz, 1H), 2.73 (d, *J* = 16.7 Hz, 1H); ¹³C NMR (CDCl₃) δ 176.8, 168.4, 142.2, 141.4, 139.8, 139.7, 138.5, 137.8, 130.1, 129.9, 128.6, 128.6, 128.4, 128.1, 127.6, 127.2, 64.7, 52.6, 51.9, 46.2, 45.9; HRMS(ESI) calcd for C₂₅H₂₃NNaO₄ (M+Na): 424.1519; found: 424.1519. [α]³⁶_D = -6.3 (*c* 0.6, CHCl₃).

Determination of absolute configuration of tethered Aic 4

The following results were collaborated with Monde group at Hokkaido University.

VCD and ECD spectra of the two samples were measured in CDCl₃ and MeCN, respectively, giving nice mirror-image spectra.

To elucidate the absolute configuration of **41**, conformational analysis, VCD and ECD calculations were performed on the *R* enantiomer. The MMFF94S search resulted in 25 conformers within a 21 kJ/mol energy window indicating moderate flexibility of the molecule. DFT reoptimization at B3LYP/TZVP in gas-phase yielded 3 low-energy conformers above 2% (96.4% overall population), see Figure 4. Low-energy conformers differ mainly in the orientation of the Boc group and one phenyl group. These conformers give more-or less similar VCD spectra differing mainly in the intensity of some transitions. Boltzmann-averaged B3LYP/TZVP level VCD spectrum resembles the main transitions of the experimental VCD spectrum of **41** (Figure 5). Although in solution the Boltzmann-weights may be somewhat different from the B3LYP/TZVP *in vacuo* computed ones, due to the consistent VCD spectra of all low-energy conformers, absolute configuration can be elucidated with confidence.

ECD calculations gave similar results. Calculated ECD spectra at various levels (B3LYP/TZVP, BH&HLYP/TZVP and PBE0/TZVP) for the low-energy conformers resemble all three experimental transitions and differ only in relative intensities. Consequently, Boltzmann-weighted ECD spectra at all level give nice agreement with **41**. Upon the good agreement both in VCD and ECD, the absolute configuration of the two enantiomers can be unambiguously elucidated, *i.e.* **41** (sample A; from

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 $[Rh(cod)_2]BF_4+(S)-BINAP)$ is the *R* enantiomer and **4l** (sample B; from $[Rh(cod)_2]BF_4+(R)-BINAP)$ is the *S*.



Figure 4. Low-energy conformers ($\geq 2\%$) of (*R*)-**4I** obtained by optimization of the MMFF94S conformers at B3LYP/TZVP level *in vacuo*.



Figure 5. Comparison of experimental spectra of **4I** measured in $CDCI_3$ (c = 0.05 M, I = 100 μ m) and Boltzmann-averaged calculated spectra for the low-energy conformers of (*R*)-**4I** at B3LYP/TZVP level.

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Figure 6. Comparison of experimental spectra of **4I** measured in MeCN (c = 0.228 (sample A) and 0.212 mM (sample B), I = 1 mm) and calculated spectra for the low-energy conformers of (*R*)-**9I** at B3LYP/TZVP in gas-phase.

Computational details:

Conformational search was carried out by means of the Conflex software at MMFF94S level.¹⁸ Geometry reoptimizations, VCD and ECD calculations were performed with the Gaussian 09 package.¹⁹ Geometry reoptimizations and VCD calculations were carried out at B3LYP/TZVP level in gas-phase. For ECD calculations various functionals (B3LYP, BH&HLYP, PBE0) and TZVP basis set were applied.²⁰ ECD spectra were generated as the sum of Gaussians²¹ with 3000 cm⁻¹ half-height width (corresponding to ca. 17 nm at 240 nm), using dipole-velocity computed rotational strengths, while VCD spectra were calculated with 10 cm⁻¹ half-height width. Computed VCD and IR data were scaled by a factor of 0.975. Boltzmann distributions were estimated from the B3LYP/TZVP energies of the low-energy conformers. The MOLEKEL²² software package was used for visualization of the results.

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Enantioselective Synthesis of 4-Substituted γ-Amino Acids and Formal Total Synthesis of Pyrrolam A via Iridium-Catalyzed sp³ C-H Bond Activation

Backgrounds

 γ -Amino acid derivatives have attracted much attention as biologically active compounds in relation to γ -aminobutyric acid (GABA), which has a function as major inhibitory neurotransmitter in the central nervous system (CNS) of mammals.¹ Several γ -aminobutyric acid derivatives with substituent(s) on the carbon chain are known as pharmaceutical agents such as anticonvulsant drug, anti-seizure drug, and anti-epilepsy drug. For example, Baclofen,² Gabapentin,³ Pregabalin⁴ and Vigabatrin⁵ were commercialized pharmaceutical agents.





On the other hand, structural functions of γ -amino acid derivatives have been also recently reported: peptidic foldamers including γ -amino acids form novel helical structure⁶ and self-assembling peptide nanotubes.⁷

Against this background, various asymmetric syntheses of γ -amino acid derivatives have been developed.⁸ In particular, a great deal of effort has been devoted to the enantioselective synthesis.⁹ While there are many synthetic approaches to multi-substituted γ -aminobutyric acid including the 4-position, the enantioselective synthesis of γ -aminobutyric acids substituted only at the 4-position is limited. Anderson and Overman reported Pd-catalyzed rearrangement of allylic trichloroacetamidates, which gave 4-vinyl- γ -aminobutyric acid (eq. 1).^{9a}



Ye and co-workers developed chiral NHC-catalyzed [4+2] annulation of enals possessing a leaving group at the γ -position with azodicarboxylates. The reaction gave γ -aminated products, which were derived into 4-aryl- γ -aminobutyric acid in 5 steps (eq. 2).⁹ⁱ

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Jacobsen and co-workers reported chiral thiourea-catalyzed hydroamination of *in situ* generated allenyl esters from propargyl esters with *N*-methoxy carbamate. This reaction is a potentially efficient protocol but reduction of double bond, deprotection of Cbz group, and hydrolysis of ester moiety were further required for the preparation of free amino acids (eq. 3).^{9j}



As described above, these methods have limited scope of substituent and/or require multiple steps for the transformation into γ -amino acids. Therefore, more broadly applicable protocols of chiral 4-substituted γ -aminobutyric acid synthesis are strongly desired.

Results and Discussion

The author considered enantioselective C-H alkylation of γ -butyrolactam as a key strategy for the creation of the stereogenic center of the γ -amino acids. The obtained 5-substituted γ -butyrolactams can be readily transformed into free 4-substituted γ -aminobutyric acid after removal of the directing group and hydrolysis (Scheme 1).





Chiral γ -lactam skeleton is also found in various biologically active compounds¹⁰ and natural products¹¹, and asymmetric synthesis of γ -lactam derivatives is also important (Figure 2).¹²





Herein, a new approach to the efficient asymmetric synthesis of 5-alkylated γ -lactams and 4-substituted γ -amino acid derivatives initiated by Ir-catalyzed enantioselective sp³ C-H bond activation is described.

The author chose *N*-(2-pyridyl)- γ -butyrolactam (1) as a model substrate and examined C-H alkylation using ethyl acrylate (**2a**) in the presence of various chiral iridium catalysts (Table 1). When Ir catalyst consisting of tetrafluoroborate (BF₄) as a counter anion and (*S*)-tolBINAP as a chiral ligand was used, the reaction proceeded in high yield with high enantioselectivity (Entry 1). When other Ir catalysts consisting of other counter anions and BINAP derivatives were examined, alkylated lactam **2a** was obtained in high ee but yields were decreased in all entries (Entries 2-5). The author further ascertained the tolerability of one-gram scale by Ir-tolBINAP catalyst (Entry 6).
Table 1. Screening of catalysts

| | 1 + 0 = 0 $1 + 0$ $2a$ $(4 = quiv)$ | [Ir(cod) ₂]X + Chiral Ligand (10 mol%) dioxane (0.5 M), reflux, 72 h | N N 3a | UEt 0 |
|-------|-------------------------------------|--|--------------|----------|
| Entry | Х | Chiral Ligand | Yield / % | Ee / % |
| 1 | BF_4 | (S)-tolBINAP | 87 | 91 |
| 2 | BARF | (S)-tolBINAP | 36 | 90 |
| 3 | OTf | (S)-tolBINAP | trace | - |
| 4 | BF_4 | (S)-xylBINAP | 15 | 91 |
| 5 | BF_4 | (S)-H ₈ -BINAP | 2 | 91 |
| 6^a | BF_4 | (S)-tolBINAP | 85 | 94 |

[a] The reaction was performed on a one-gram scale.

In the presence of the optimal chiral catalyst (Table 1, Entry 1), scope of styrenes was examined (Table 2). The reaction with styrene **2b** proceeded to give desired chiral lactam **3b** in high yield and ee. When styrenes with methyl or trifluoromethyl group on its *para*-position were used, the corresponding alkylated lactams **3c** and **3d** were obtained with the same ee as that of **3b**. Halogenated styrenes were also good coupling partners, and chiral γ -lactams **3e** and **3f** were obtained in moderate yield with high ee. In the reaction with pentafluorostyrene, the enantioselectivity was well above 90%. Absolute configuration of alkylated lactams **3** was determined by the comparison with that of non-protected lactam **4b** in Table 4, which is known compound.



Table 2. Enantioselective alkylation of γ -lactam 1 with styrenes

The reactions with a few functionalized alkenes using Ir-tolBINAP catalyst were examined (Table 3). Methyl acrylate was also a good coupling partner, and alkylated γ -lactam **3h** was obtained in high yield and high ee. Vinyl sulfone **2i** and vinyl phosphonate group **2j** were also accepted in this reaction, and the desired chiral γ -lactams **3i** and **3j** were obtained.

OEt

3j 65%, 76% ee

from 2j

 $(R = P(O)(OEt)_2)$

OEt





CO₂Me

82%, 91% ee

from 2h

 $R = CO_2Me$



70%, 82% ee

from **2i**

 $R = SO_{2}Ph$

Scheme 2. Possible explanation for enantioselective induction of 3



Next, the transformation of the obtained alkylated products into *N*-unprotected γ -lactams **4** and free γ -amino acids **5** was examined (Table 4). The author first submitted γ -lactam **3b** (82% ee) to hydrogenation using a catalytic amount of Pd(OH)₂/C under the acidic conditions, then to reduction using sodium borohydride for removal of the pyridyl group.¹³ γ -Lactam **4b** was obtained in 78% yield without loss ee (82% ee) and its absolute configuration was determined to be *S* enantiomer by the comparison of the sign of optical rotation in the literature.¹⁴ Other

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alkylated products **3c-3j** were also converted to non-protected lactams **4c-4j** in moderate to high yield under the same conditions.

The second step was hydrolysis of lactam: the acidic hydrolysis of γ -lactam **4b** gave phenethyl substituted γ -amino acid hydrochloride salt **5b** in 86% yield without loss of ee (82% ee). Other aryl- and sulfonylethyl-substituted γ -lactams **4c**-**4i** were also transformed into the corresponding free γ -amino acid hydrochloride salts **5c**-**5i** in good to high yield. In the case of γ -lactam **4j**, phosphate moiety also underwent hydrolysis, and phosphono amino acid **5j** was obtained in moderate yield.

Table 4. Removal of pyridyl group and hydrolysis of lactams



As synthetic application of the obtained alkylated γ -lactam, the author considered the preparation of a known synthetic precursor of pyrrolam A. Pyrrolam A **8** has been isolated from the bacterial strain *Streptomyces olivaceus* along with the related bicyclic lactams pyrrolam B-D (Figure 3).¹⁵





The chiral bicyclic lactam have attracted synthetic chemists, and various synthetic strategies have been developed.¹⁶ The author tried to use the present enantioselective C-H alkylation of γ -lactam as a key reaction in the synthesis of dihydro-pyrrolam A 7. The Ir-catalyzed C-H alkylation with ethyl acrylate (**2a**) using (*R*)-tolBINAP was examined for the synthesis of naturally type pyrrolam A, and (*S*)-**3a** was obtained in good yield with high ee. The next three steps, which include removal of the pyridyl group, reduction of ester moiety, and tosylation of the primary alcohol, were examined without purification. The tosylate **6** was afforded in 59% yield.¹⁷ Then, the cyclization of **6** using sodium hydride gave desired bicyclic lactam **7** in 68% yield,¹⁷ whose sign of optical rotation accorded with that in the literature.¹⁴ The final step to pyrrolam A **8** is a known procedure.¹⁸



Scheme 4. Formal asymmetric synthesis of pyrrolam A

Conclusions

Enantioselective Ir-catalyzed sp³ C-H bond activation of the γ -butyrolactam with various alkenes was developed. The present reaction provides a new simple protocol for the synthesis of chiral 5-alkylated γ -lactams and 4-alkylated γ -amino acid derivatives. This protocol would be used for the efficient enantioselective synthesis of bicyclic lactam 7, a precursor of pyrrolam A.

Experimental Section

General

¹H NMR spectra were recorded on JEOL JNM-ECX500 (500 MHz) spectrometer. The chemical shifts were reported in parts per million (δ) relative to internal standard TMS (0 ppm) for CDCl₃, or external standard TMS (0 ppm) for D₂O. The peak patterns are indicated as follows: s, singlet; d, doublet; dd, doublet of doublet; t, triplet; m, multiplet; q, quartet. The coupling constants, *J*, are reported in Hertz (Hz). ¹³C NMR spectra were obtained by JEOL JNM-ECX500 (125 MHz) spectrometers and referenced to the internal solvent signals (central peak is 77.0 ppm in CDCl₃), or external standard TMS (0 ppm) for D₂O. CDCl₃ and D₂O were used as NMR solvents. High-resolution mass spectra (HRMS) were measured on ESI (Electro Spray Ionization) – orbitrap mass spectrometer. Optical rotations were measured on a JASCO DIP-1000 polarimeter. Preparative thin-layer chromatography (PTLC) was performed with silica gel-precoated glass plates (Merck 60 GF254) prepared in the author's laboratory, Flash column chromatography was performed over silica gel 200-300. All reagents were weighed and handled in air and backfilled under Argon at room temperature. Unless otherwise noted, all reactions were performed under an argon atmosphere. All reagents were purchased from Wako, Kanto, Aldrich and TCI and used without further purification.

Experimental procedure for the synthesis of γ -lactam 1^{19,20}

To a dried two necked 50 mL flask CuI (2.0 mol%, 0.20 mmol, 38.1 mg) and K₂CO₃ (2.0 eq., 20 mmol, 2.8 g) were added. The reaction vessel was evacuated and backfilled with argon (×3), then 2-pyrrolidone (10.0 mmol, 851.1 mg), *N*,*N*²-dimethylethylenediamine (10 mol%, 1.0 mmol, 88.2 mg), 2-bromopyridine (1.5 eq., 15 mmol, 2.4 g) and toluene (20 mL) were added. The reaction mixture was refluxed for 24 h. After the reaction was completed, the solids were removed by celite filtration and washed with CH₂Cl₂ (5 × 5 mL). Then the solvent was evaporated, and the crude product was purified by column chromatography on silica gel (hexane/ EtOAc = 3/1 to 2/1) to give pure γ -Lactam **1** (1.62 g, quant.).

General procedure for the enantioselective C-H alkylation of γ -lactams 1

 γ -Lactam 1 (0.20 mmol, 32.4 mg), (S)-tolBINAP (10 mol%, 13.6 mg) and [Ir(cod)₂]BF₄(10 mol%, 10.0 mg) were placed in a dried sealed tube, then capped with a rubber septum. The reaction vessel was evacuated and backfilled with argon (×3), then alkene 2 (8.0 eq., 1.60 mmol) and degassed 1,4-dioxane

(0.1 mL) was added, unless otherwise noted (entry 2 in Table 2). The rubber septum was rapidly changed with a screw cap flowing argon, and then refluxed. After the reaction was complete, the reaction mixture was cooled to room temperature and the crude product was purified by preparative TLC to give pure product **3**.

General procedure for the transformation of γ -lactam derivatives to γ -amino acid derivatives¹³

 γ -Lactam derivatives **3** (0.20 mmol) and Pd(OH)₂/C (20% Pd, wetted with ca.50% water, 20 mol%, 56.2 mg) were placed in a dried Schlenk tube capped with a rubber septum, then EtOH (1.8 mL) and 1.25 M HCl in EtOH (0.2 mL) were added. The reaction vessel was flushed H₂ (× 3), then the mixture was stirred at room temperature for 24 h under H₂. The solids were removed by celite filtration and washed with CH₂Cl₂ (5 × 2 mL). Then the solvent was evaporated, and the crude product was obtained.

The crude product was placed in a dried two necked 30 mL flask. The reaction vessel was evacuated and backfilled with argon (\times 3), then NaBH₄ (4.0 eq., 0.80 mmol, 30.4 mg) and MeOH (2.0 mL) were added carefully. The mixture was stirred at room temperature for 1 h. After the reaction was complete, the solvent was evaporated. The residue was purified by preparative TLC and the desired product **4** was obtained. Next, a round-bottom 30 mL flask was charged with the γ -lactam **4** (0.1 mmol) and 6 N HCl (2.0 mL). The solution was heated to 100 °C and stirred overnight. After cooled at room temperature, the solvent was removed in vacuo. EtOAc (2.0 mL) was added to the reaction vessel, then the mixture was suspended by sonication and stirred at room temperature. After 1 h, the mixture was filtered and washed by EtOAc (3 \times 1 mL). The solid product **5** was obtained by filter paper washed with MeOH (5 \times 1 mL) and dried.

Experimental procedure for the synthesis of dihydro-pyrrolam A 7^{13,15,17}

 γ -Lactam derivative (*S*)-**3a** (0.20 mmol, 52.4 mg) and Pd(OH)₂/C (20% Pd, wetted with ca.50% water, 20 mol%, 56.2 mg) were placed in a dried Schlenk tube capped with a rubber septum, then EtOH (1.8 mL) and 1.25 M HCl in EtOH (0.2 mL) were added. The reaction vessel was flushed H₂ (× 3), then the mixture was stirred at room temperature for 24 h under H₂. The residue was removed by celite filtration and washed with CH₂Cl₂ (5 × 2 mL). The solvent was evaporated, and the crude product was obtained. The crude product was placed in a dried two necked 30 mL flask. The reaction vessel was evacuated and

backfilled with argon (× 3), the reaction vessel was cooled at 0 °C. LiAlH₄ (2.4 eq., 0.48 mmol, 18.2 mg) and THF (1.0 mL) was added carefully. Then the mixture was stirred at room temperature for 2 h. The reaction was quenched by addition of Na₂SO₄·10H₂O, then the solids were filtered and washed by CH₂Cl₂

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 $(8 \times 2 \text{ mL})$. The solvent was evaporated to give the crude solid products.

A dried Schlenk tube was charged with the crude solids and anhydrous CH_2Cl_2 (2.0 mL). Triethylamine (4.0 eq., 0.8 mmol) and *N*, *N*-dimethyl-4-aminopyridine (3 mol%, 2.1 mg) were added in sequence to the solution. After cooled to 0 °C, *p*-toluenesulfonyl chloride (3.0 eq., 0.6 mmol, 114.4 mg) was added instantly. The mixture was stirred at 0 °C for 15 min, and then warmed to room temperature overnight. After the reaction was completed, saturated NaHCO₃ aq. was added to the solution. The mixture was extracted with CH_2Cl_2 (4 × 2 mL) and the resulting solution was dried with Na₂SO₄. After evaporation of the solution, the residue was purified by preparative TLC ($CH_2Cl_2/MeOH = 95/5$, Rf = 0.5) and the desire solid **6** was afforded (35.1 mg, 59%).

 γ -Lactam derivative **6** (0.10 mmol, 29.7 mg) in THF (2.0 mL) was placed in a dried Schlenk tube. After the solution was cooled to 0 °C, Sodium hydride (1.1 eq., 0.11 mmol, 4.4 mg, 60 % dispersion in mineral oil) was added instantly under stirred. The reaction mixture was stirred at room temperature overnight. After the reaction was completed, the solution was cooled to 0 °C and quenched with saturated NH₄Cl aq. Solution. The mixture was extracted with EtOAc (5 × 2 mL) and the organic layer was dried over Na₂SO₄. After concentration under reduced pressure, the product was isolated by column chromatography on silica gel (EtOAc only) to give dihydro-pyrrolam A **7** (8.0 mg, 68%).

Characterization of new compounds



Ethyl 3-(5-oxo-1-(pyridin-2-yl)pyrrolidin-2-yl)propanoate (3a)

Isolated by preparative TLC (hexane/EtOAc = 2/1, Rf = 0.3). The title compound was obtained as yellow oil (87%). ¹H NMR δ 8.36-8.35 (m, 1H), 8.24-8.22 (m, 1H), 7.71-7.67 (m, 1H), 7.04-7.02 (m, 1H), 4.87-4.83 (m, 1H), 4.13-4.09 (m, 2H), 2.79-2.72 (m, 1H), 2.59-2.52 (m, 1H), 2.39-2.16 (m, 4H), 1.92-1.85 (m, 2H), 1.26-1.22 (m, 3H); ¹³C NMR δ 174.8, 173.1, 151.3, 147.8, 137.8, 119.9, 116.4, 60.7, 57.3, 32.2, 30.7, 28.6, 23.0, 14.3. HRMS(ESI) calcd for C₁₄H₁₈N₂NaO₃ (M+Na): 285.1210; found: 285.1208. [α]²⁷_D = +63.4 (*c* 1.9, CHCl₃, 91% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/2-propanol = 19/1, flow rate: 1.0 mL/min, retention time: 18.4 min for major isomer and 15.7 min for minor isomer).



5-Phenethyl-1-(pyridin-2-yl)pyrrolidin-2-one (3b)

Isolated by preparative TLC (hexane/EtOAc = 2/1, Rf = 0.5). The title compound was obtained as yellow oil (85%). ¹H NMR δ 8.37-8.35 (m, 1H), 8.21-8.19 (m, 1H), 7.69-7.66 (m, 1H), 7.27-7.24 (m, 2H, overlap with CHCl₃), 7.18-7.14 (m, 3H), 7.03-7.01 (m, 1H), 4.87-4.82 (m, 1H), 2.79-2.65 (m, 3H), 2.60-2.53 (m, 1H), 2.32-2.19 (m, 2H), 1.97-1.91 (m, 1H), 1.84-1.76 (m, 1H); ¹³C NMR δ 174.7, 151.1, 147.6, 141.3, 137.5, 128.3, 128.2, 125.9, 119.6, 116.4, 57.8, 34.5, 32.1, 31.6, 22.9. HRMS(ESI) calcd for C₁₇H₁₈N₂NaO (M+Na): 289.1311; found: 289.1309. [α]³¹_D = +64.9 (*c* 1.0, CHCl₃, 82% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/2-propanol = 19/1, flow rate: 1.0 mL/min, retention time: 12.0 min for major isomer and 10.5 min for minor isomer).



5-(4-Methylphenethyl)-1-(pyridin-2-yl)pyrrolidin-2-one (3c)

Isolated by preparative TLC (hexane/EtOAc = 2/1, Rf = 0.6). The title compound was obtained as white solid (56%). Mp 66 °C, ¹H NMR δ 8.37-8.35 (m, 1H), 8.20-8.18 (m, 1H), 7.69-7.66 (m, 1H), 7.07-6.97 (m, 5H), 4.86-4.81 (m, 1H), 2.79-2.71 (m, 1H), 2.64-2.53 (m, 3H), 2.32-2.26 (m, 4H), 2.24-2.16 (m, 1H), 1.96-1.90 (m, 1H), 1.81-1.75 (m, 1H); ¹³C NMR δ 174.5, 150.9, 147.4, 138.0, 137.3, 135.1, 128.8, 127.8, 119.4, 116.3, 57.6, 34.4, 31.9, 30.9, 22.7, 20.7. HRMS(ESI) calcd for C₁₈H₂₀N₂NaO (M+Na): 303.1468; found: 303.1467. [α]²⁹_D = +45.5 (*c* 1.4, CHCl₃, 84% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/2-propanol = 19/1, flow rate: 1.0 mL/min, retention time: 10.7 min for major isomer and 9.3 min for minor isomer).



5-(4-(Trifluoromethyl)phenethyl)-1-(pyridin-2-yl)pyrrolidin-2-one (3d)

Isolated by twice preparative TLC (hexane/EtOAc = 2/1, Rf = 0.6). The title compound was obtained as yellow oil (87%). ¹H NMR δ 8.35-8.34 (m, 1H), 8.22-8.20 (m, 1H), 7.70-7.66 (m, 1H), 7.52-7.50 (m, 2H),

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7.28-7.26 (m, 2H), 7.04-7.02 (m, 1H), 4.87-4.82 (m, 1H), 2.81-2.72 (m, 3H), 2.62-2.55 (m, 1H), 2.34-2.21 (m, 2H), 1.97-1.92 (m, 1H), 1.88-1,80 (m, 1H); ¹³C NMR δ 174.6, 151.1, 147.6, 145.4, 137.6, 128.6, 128.3 (d, J_{C-F} = 32.2 Hz, 1C) , 125.3 (q, J_{C-F} = 3.6 Hz, 1C), 119.7, 116.3, 57.6, 34.2, 32.1, 31.5, 22.9 (A pair of peaks at the aromatic religion was overlapped). HRMS(ESI) calcd for C₁₈H₁₇F₃N₂NaO (M+Na): 357.1185; found: 357.1191. [α]³⁰_D = +54.2 (*c* 2.7, CHCl₃, 85% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/2-propanol = 19/1, flow rate: 1.0 mL/min, retention time: 13.0 min for major isomer and 12.1 min for minor isomer).



5-(4-Fluorophenethyl)-1-(pyridin-2-yl)pyrrolidin-2-one (3e)

Isolated by twice preparative TLC (hexane/EtOAc = 2/1, Rf = 0.6). The title compound was obtained as white solid (60%). Mp 64 °C, ¹H NMR δ 8.36-8.35 (m, 1H), 8.22-8.20 (m, 1H), 7.70-7.66 (m, 1H), 7.12-7.09 (m, 2H), 7.04-7.01 (m, 1H), 6.96-6.91 (m, 2H), 4.85-4.80 (m, 1H), 2.80-2.71 (m, 1H), 2.66-2.53 (m, 3H), 2.33-2.16 (m, 2H), 1.96-1.89 (m, 1H), 1.83-1,73 (m, 1H); ¹³C NMR δ 174.7, 160.3, 151.2, 147.6, 137.6, 136.9 (d, *J*_{C-F} = 2.4 Hz, 1C), 129.5 (d, *J*_{C-F} = 7.2 Hz, 1C), 119.7, 116.4, 115.0 (d, *J*_{C-F} = 21.5 Hz, 1C) 57.7, 34.6, 32.1, 30.8, 22.9. HRMS(ESI) calcd for C₁₇H₁₇FN₂NaO (M+Na): 307.1217; found: 307.1217. [α]³⁰_D = +60.7 (*c* 1.3, CHCl₃, 83% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/2-propanol = 19/1, flow rate: 1.0 mL/min, retention time: 14.4 min for major isomer and 12.9 min for minor isomer).



5-(4-Bromophenethyl)-1-(pyridin-2-yl)pyrrolidin-2-one (3f)

Isolated by twice preparative TLC (After hexane/EtOAc = 3/1, Rf = 0.6, EtOAc only, Rf = 0.7). The title compound was obtained as yellow oil (50%). ¹H NMR δ 8.35-8.34 (m, 1H), 8.21-8.20 (m, 1H), 7.69-7.66 (m, 1H), 7.37-7.35 (m, 2H), 7.03-7.01 (m, 3H), 4.84-4.79 (m, 1H), 2.79-2.71 (m, 1H), 2.64-2.53 (m, 3H), 2.32-2.16 (m, 2H), 1.95-1.89 (m, 1H), 1.82-1.74 (m, 1H); ¹³C NMR δ 174.6, 151.1, 147.5, 140.2, 137.6, 131.4, 130.0, 119.6, 116.3, 57.6, 34.3, 32.1, 31.0, 22.9 (A pair of peaks at the aromatic religion was overlapped). HRMS(ESI) calcd for C₁₇H₁₇BrN₂NaO (M+Na): 367.0421; found: 367.0416. [α]³²_D = +48.6

(*c* 1.4, CHCl₃, 84% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/2-propanol = 19/1, flow rate: 1.0 mL/min, retention time: 14.9 min for major isomer and 13.3 min for minor isomer).



5-(2-(Pentafluorophenyl)ethyl)-1-(pyridin-2-yl)pyrrolidin-2-one (3g)

Isolated by preparative TLC (hexane/EtOAc = 2/1, Rf = 0.7). The title compound was obtained as white solid (69%). Mp 94 °C, ¹H NMR δ 8.29-8.28 (m, 1H), 8.23-8.21 (m, 1H), 7.70-7.66 (m, 1H), 7.04-7.01 (m, 1H), 4.79-4.74 (m, 1H), 2.82-2.71 (m, 3H), 2.64-2.57 (m, 1H), 2.38-2.30 (m, 1H), 2.20-2.14 (m, 1H), 2.01-1.96 (m, 1H), 1.84-1.77 (m, 1H); ¹³C NMR δ 174.5, 150.9, 147.5, 146.0, 144.0, 137.6, 136.4, 119.7, 116.0, 114.2, 57.1, 32.1, 32.0, 22.6, 18.4. HRMS(ESI) calcd for C₁₇H₁₃F₅N₂NaO (M+Na): 379.0840; found: 379.0841. [α]²⁸_D = +58.7 (*c* 2.3, CHCl₃, 94% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/2-propanol = 19/1, flow rate: 1.0 mL/min, retention time: 11.1 min for major isomer and 10.0 min for minor isomer).



Methyl 3-(5-oxo-1-(pyridin-2-yl)pyrrolidin-2-yl)propanoate (3h)

Isolated by preparative TLC (hexane/EtOAc = 1/1, Rf = 0.3). The title compound was obtained as yellow oil (82%). ¹H NMR δ 8.36-8.34 (m, 1H), 8.24-8.22 (m, 1H), 7.70-7.67 (m, 1H), 7.04-7.02 (m, 1H), 4.86-4.82 (m, 1H), 3.65 (s, 3H), 2.79-2.72 (m, 1H), 2.58-2.52 (m, 1H), 2.42-2.15 (m, 4H), 1.93-1.83 (m, 2H); ¹³C NMR δ 174.7, 173.4, 151.2, 147.7, 137.7, 119.8, 116.3, 57.2, 51.8, 32.1, 30.3, 28.5, 23.0. HRMS(ESI) calcd for C₁₃H₁₆N₂NaO₃ (M+Na): 271.1053; found: 271.1053. [α]²⁴_D = +55.9 (*c* 1.7, CHCl₃, 91% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/2-propanol = 19/1, flow rate: 1.0 mL/min, retention time: 23.9 min for major isomer and 19.1 min for minor isomer).



5-(2-(Phenylsulfonyl)ethyl)-1-(pyridin-2-yl)pyrrolidin-2-one (3i)

Enantioselective Synthesis of y-Amino Acids and Pyrrolam A

Isolated by preparative TLC (hexane/EtOAc = 1/2, Rf = 0.5). The title compound was obtained as yellow oil (70%). ¹H NMR δ 8.22-8.18 (m, 2H), 7.87-7.85 (m, 2H), 7.68-7.62 (m, 2H), 7.55-7.52 (m, 2H), 7.02-6.99 (m, 1H), 4.83-4.80 (m, 1H), 3.21-3.07 (m, 2H), 2.72-2.65 (m, 1H), 2.57-2.51 (m, 1H), 2.32-2.22 (m, 2H), 2.03-1.96 (m, 1H), 1.83-1.77 (m, 1H); ¹³C NMR δ 174.3, 150.6, 147.4, 138.6, 137.7, 133.7, 129.2, 128.0, 119.8, 115.9, 56.1, 52.6, 31.7, 26.5, 22.8. HRMS(ESI) calcd for C₁₇H₁₈N₂NaO₃S (M+Na): 353.0930; found: 353.0926. [α]²⁹_D = +53.4 (*c* 2.0, CHCl₃, 82% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IC: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/DCM = 1/1, flow rate: 4.0 mL/min, retention time: 12.7 min for major isomer and 9.8 min for minor isomer).



Diethyl 2-(5-oxo-1-(pyridin-2-yl)pyrrolidin-2-yl)ethylphosphonate (3j)

Isolated by preparative TLC (MeOH/EtOAc = 1/9, Rf = 0.4). The title compound was obtained as yellow oil (65%). ¹H NMR δ 8.36-8.34 (m, 1H), 8.23-8.22 (m, 1H), 7.72-7.68 (m, 1H), 7.06-7.03 (m, 1H), 4.85-4.80 (m, 1H), 4.12-3.96 (m, 4H), 2.78-2.70 (m, 1H), 2.60-2.54 (m, 1H), 2.32-2.24 (m, 1H), 2.19-2.13 (m, 1H), 1.91-1.69 (m, 4H), 1.30-1.26 (q, *J* = 7.2 Hz, 6H); ¹³C NMR δ 174.5, 150.9, 147.5, 137.6, 119.7, 116.2, 61.6, 61.5, 57.8, 57.7, 31.9, 25.9, 25.8, 22.3, 22.1, 20.9, 16.3, 16.3, 16.3, 16.3. HRMS(ESI) calcd for C₁₅H₂₃N₂NaO₄P (M+Na): 349.1288; found: 349.1291. [α]³⁰_D = +32.2 (*c* 1.7, CHCl₃, 76% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/2-propanol = 1/1, flow rate: 0.5 mL/min, retention time: 13.4 min for major isomer and 11.9 min for minor isomer).



(S)-5-phenethylpyrrolidin-2-one (4b)

The title compound was obtained as white solid (86%). Mp 66 °C, ¹H NMR δ 7.31-7.17 (m, 5H, overlap with CHCl₃), 6.49 (br, 1H), 3.68-3.62 (m, 1H), 2.69-2.65 (m, 2H), 2.39-2.23 (m, 3H), 1.91-1.71 (m, 3H); ¹³C NMR δ 178.3, 141.0, 128.6, 128.3, 126.2, 54.0, 38.4, 32.3, 30.1, 27.4. HRMS(ESI) calcd for C₁₂H₁₅NNaO (M+Na): 212.1046; found: 212.1046. [α]²¹_D = -22.2 (*c* 1.4, CHCl₃, 82% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/2-propanol = 19/1, flow rate: 1.0 mL/min, retention time: 17.9 min for major isomer and 15.9 min for minor isomer).



4-Amino-6-phenylhexanoic acid (5b)

The title compound was obtained as white solid (86%). Mp 157 °C, ¹H NMR δ 7.37-7.34 (m, 2H), 7.29-7.25 (m, 3H), 3.32-3.28 (m, 1H), 2.74-2.69 (m, 2H), 2.50-2.47 (m, 2H), 2.04-1.93 (m, 4H); ¹³C NMR δ 176.9, 140.7, 128.8, 128.4, 126.5, 50.6, 33.4, 30.5, 29.5, 26.8. HRMS(ESI) calcd for C₁₂H₁₈NO₂ (M+H): 208.1332; found: 208.1333. [α]²⁷_D = -4.3 (*c* 1.2, H₂O, 82% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak ZWIX(+): 4.6 x 250 mm, 254 nm UV detector, rt, eluent: MeOH/CH₃CN/H₂O = 49/49/2, flow rate: 1.0 mL/min, retention time: 15.9 min for major isomer and 17.5 min for minor isomer).



4-Amino-6-*p*-tolylhexanoic acid (5c)

The title compound was obtained as white solid (71%). Mp 146 °C, ¹H NMR δ 7.22-7.21 (m, 4H), 3.33-3.30 (m, 1H), 2.72-2.68 (m, 2H), 2.52-2.49 (m, 2H), 2.31 (s, 3H), 2.03-1.94 (m, 4H); ¹³C NMR δ 177.0, 137.6, 136.4, 129.3, 128.4, 50.6, 33.5, 30.0, 29.6, 26.8, 20.0. HRMS(ESI) calcd for C₁₃H₂₀NO₂ (M+H): 222.1489; found: 222.1490. [α]²⁵_D = -5.2 (*c* 0.6, H₂O).



4-Amino-6-(4-(trifluoromethyl)phenyl)hexanoic acid (5d)

The title compound was obtained as white solid (79%). Mp 174 °C, ¹H NMR δ 7.49-7.47 (d, *J* = 8.2 Hz, 2H), 7.29-7.27 (d, *J* = 8.0 Hz, 2H), 3.27-3.24 (m, 1H), 2.74-2.62 (m, 2H), 2.42-2.39 (t, *J* = 7.5 Hz, 2H), 1.94-1.84 (m, 4H); ¹³C NMR δ 176.6, 144.8, 128.7, 127.8, 125.3 (q, *J*_{C-F} = 3.9 Hz, 1C), 123.2, 33.1, 30.4, 29.4, 26.7. HRMS(ESI) calcd for C₁₃H₁₇F₃NO₂ (M+H): 276.1207; found: 276.1206. [α]²⁸_D = -5.0 (*c* 2.2, H₂O).



4-Amino-6-(4-fluorophenyl)hexanoic acid (5e)

The title compound was obtained as white solid (93%). Mp 161 °C, ¹H NMR δ 7.28-7.25 (m, 2H), 7.09-7.06 (m, 2H), 3.33-3.27 (m, 1H), 2.77-2.66 (m, 2H), 2.48 (t, *J* = 7.5 Hz, 2H), 2.04-1.87 (m, 4H); ¹³C NMR δ 177.1, 162.2, 160.3, 136.4, 136.3, 129.9, 129.9, 115.2 (d, *J*_{C-F} = 10.7 Hz, 1C), 50.6, 33.5, 29.7, 29.6, 26.8. HRMS(ESI) calcd for C₁₂H₁₇FNO₂ (M+H): 226.1238; found: 226.1239. [α]³⁰_D = -3.7 (*c* 0.6, H₂O).



4-Amino-6-(pentafluorophenyl)hexanoic acid (5g)

The title compound was obtained as yellow paste (71%). Mp decomp (> 210 °C). ¹H NMR δ 3.42-3.39 (m, 1H), 2.91-2.87 (m, 2H), 2.58-2.55 (t, *J* = 7.5 Hz, 2H), 2.12-1.96 (m, 4H); ¹³C NMR δ 176.8, 145.9, 143.9, 138.2, 136.3, 113.2, 50.6, 31.0, 29.5, 26.7, 17.7. HRMS(ESI) calcd for C₁₂H₁₃F₅NO₂ (M+H): 298.0861; found: 298.0860. [α]²⁹_D = -2.3 (*c* 0.8, H₂O)..



4-Amino-6-(phenylsulfonyl)hexanoic acid (5i)

The title compound was obtained as brown paste (64%). Mp decomp (> 210 °C). ¹H NMR δ 7.81 (d, *J* = 7.8 Hz, 2H), 7.68 (t, *J* = 7.4 Hz, 1H), 7.56 (t, *J* = 7.7 Hz, 2H), 3.41-3.38 (m, 2H), 3.31-3.29 (m, 1H), 2.31-2.29 (m, 2H), 1.92-1.88 (m, 2H), 1.79-1.73 (m, 2H); ¹³C NMR δ 176.5, 136.2, 135.0, 129.8, 127.8, 51.0, 49.5, 29.2, 26.4, 25.0. HRMS(ESI) calcd for C₁₂H₁₈NO₄S (M+H): 272.0951; found: 272.0951. [α]³²_D = -3.1 (*c* 2.4, H₂O).



4-Amino-6-phosphonohexanoic acid (5j)

The title compound was obtained as yellow paste (56%). Mp decomp (> 210 °C). ¹H NMR δ 3.38-3.35 (m, 1H), 2.53-2.50 (m, 2H), 2.01-1.84 (m, 4H), 1.70-1.65 (m, 2H); ¹³C NMR δ 176.8, 51.6, 29.5, 28.7, 26.6, 25.8. HRMS(ESI) calcd for C₆H₁₄NO₅P (M+H): 212.0682; found: 212.0683. [α]³²_D = -1.2 (*c* 1.1, H₂O).



3-(5-Oxopyrrolidin-2-yl)propyl-4-methylbenzenesulfonate (6)

The title compound was obtained as white solid (59%). Mp 86 °C, ¹H NMR δ 7.78 (d, *J* = 8.3 Hz, 2H), 7.50 (br, 1H), 7.35 (d, *J* = 8.2 Hz, 2H), 4.05-4.02 (m, 2H), 3.61-3.57 (m, 1H), 2.45-2.42 (m, 3H), 2.34-2.19 (m, 3H), 1.75-1.61 (m, 3H), 1.56-1.51 (m, 1H); ¹³C NMR δ 178.6, 144.8, 132.8, 129.8, 127.7, 70.0, 53.9, 32.5, 30.1, 26.8, 25.2, 21.5. HRMS(ESI) calcd for C₁₄H₁₉NNaO₄S (M+Na): 320.0927; found: 320.0926. [α]²⁸_D = -23.7 (*c* 4.20, CHCl₃, 90% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IB: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane/2-propanol = 1/1, flow rate: 0.5 mL/min, retention time: 17.2 min for major isomer and 19.4 min for minor isomer).



Pyrrolizidin-3-one (7)

The title compound was obtained as pale yellow oil (68%). ¹H NMR δ 3.95-3.83 (m, 1H), 3.61-3.49 (m, 1H), 3.11-3.00 (m, 1H), 2.81-2.67 (m, 1H), 2.51-2.39 (m, 1H), 2.35-2.24 (m, 1H), 2.19-1.96 (m, 3H), 1.83-1.66 (m, 1H), 1.40-1.25 (m, 1H); ¹³C NMR δ 174.7, 62.0, 40.9, 35.3, 32.1, 27.1, 26.9. $[\alpha]^{24}_{D} = +30.3$ (*c* 0.3, CHCl₃).

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General Introduction of Heteroatom-Containing

Polycyclic Compounds

Backgrounds

Heteroatom-containing condensed polycyclic compounds perform as functional molecules, in particular, dibenzothiophene (DBT) and dibenzophosphole (DBP) oxide derivatives have attracted much attention such as organic molecular devices (Figure 1).^{1,2} For example, a DBT dioxide derivative was reported a monodispersed molecular materials for efficient blue-electroluminescence^{1f}, a DBP oxide derivative was disclosed as a potential building block for electron transporting material^{2e}, and a ladder-type compound containing DBT was readily self-assembled and showed various electronic properties^{1d}.





Ladder-type compound containing DBT skeleton

Recently, a tribenzazepin-containing compound was also reported as organic light-emitting diode (Figure 2).³





tribenzazepin-containing compound for OLED

Purpose of This Thesis (Chapter 6 through 8)

Purpose of chapter 6 through 8 in this thesis is the synthesis of heteroatom-containing condensed polycyclic compounds by rhodium-catalyzed cycloaddition. In particular, multi-substituted DBT and DBP oxide derivatives, sulfur-containing condensed polycyclic compounds were focused. In addition, the synthesis of tribenzothiepin derivatives was also examined. Various strategies for the synthesis of heteroatom-containing polycyclic compounds have been reported. However, there are some limitations in the previous methods. Development for the catalytic synthesis of variety multi-substituted heteroatom-containing polycyclic compounds under mild conditions is strongly desired.

Chapter 6 describes the multi-substituted DBT and DBP oxide derivatives synthesis by rhodium-catalyzed intermolecular cycloaddition (Scheme 1). The reaction of sulfanyl, sulfinyl, sulfonyl and phosphoryl benzene-tethered 1,6-diynes with monoalkynes proceeded to give various DBT and DBP oxide derivatives. The author further examined enantioselective reaction for the synthesis of an axially chiral compound possessing bi-dibenzothiophene skeleton and a P-chiral dibenzophosphole oxide derivative with high ee.

Scheme 1. Synthesis of multi-substituted DBT and DBP oxide derivatives



Chapter 7 describes the synthesis of sulfur-containing condensed polycyclic compounds by rhodium-catalyzed intermolecular cycloaddition (Scheme 2). The reaction of α,ω -diynes with benzothiophene dioxides was achieved, which gave dihydrodibenzothiophene dioxide derivatives. This is the first example of a catalytic [2+2+2] cycloaddition, where the 2,3-double bond of a benzoheterole was used as an ene moiety. The consecutive reaction using benzodithiophene tetraoxide gave an 11-ring condensed polycyclic compound in one pot.





Chapter 8 describes the enantioselective synthesis of multi-substituted tribenzothiepin derivatives by rhodium-catalyzed intermolecular cycloaddition (Scheme 3). Two types of reactions of diphenyl sulfide-tethered diynes and 2-phenyl sulfanylbenzene-tethered diynes with alkynes proceeded, and chiral tribenzothiepin derivatives were obtained in high ee. This is the first catalytic enantioselective synthesis of tribenzothiepin derivatives.





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Multi-Substituted Dibenzothiophene and Dibenzophosphole Oxide Derivatives Synthesis by Rhodium-Catalyzed Intermolecular Cycloaddition

Backgrounds

Dibenzothiophene (DBT) and dibenzophosphole (DBP) oxide are tricyclic compounds that have two benzene rings condensed with thiophene and phosphole oxide, respectively (Figure 1).

Figure 1. Structures of DBT and DBP oxide



These skeletons have attracted much attention as organic electronics and materials in recent years. For example, DBT-containing compounds show optoelectronic and redox properties, and electrochemical characteristics such as organic light-emitting diodes (OLED).¹ DBP oxide-containing compounds also perform as organic molecular devices due to their highly electron-accepting character.²⁻⁴ Polymers,⁵ helical⁶ and ladder-type⁷ compounds containing DBP skeleton have been also synthesized, and their physical properties were investigated. For example, the carbazole-linking DBT and DBP oxide derivatives are known as host materials for phosphorescent organic light-emitting diodes (Figure 2).^{4d}

Figure 2. Structures of DBT- and DBP oxide-containing compounds



DBT- and DBP oxide-containing compounds for OLED

Due to these wide applicabilities of DBT and DBP oxide derivatives, there are many methods for the construction of their skeletons. As for DBT synthesis, intramolecular cyclization,⁸ anionic cyclization,⁹ radical cyclization,¹⁰ photocyclization¹¹ and C-S bond formations¹² were successful examples. The protocols using cross-coupling (eq. 1),¹³ C-H bond activation (eq. 2),¹⁴ ring-closing metathesis (eq. 3)¹⁵ and C-S bond formations (eq. 4),¹⁶ were recently disclosed as catalytic approaches. But some of these transformations required harsh reaction conditions, such as high temperature, and/or basic or acidic condition.

Synthesis of Dibenzothiophene and Dibenzophosphole Oxide Derivatives



With regard to DBP oxide synthesis, several synthetic approaches have been developed. For example, radical phosphanylation using $(Me_3Sn)_2PPh$ and 1,1 azobis(cyclohexane-1-carbonitrile (V-40),^{4f} and radical cyclization with triethylborane and oxygen^{7b} were reported. As for catalytic approaches, Pd-catalyzed direct arylation initiated by C-H and/or C-P bond cleavage (eqs. 5 and 6)¹⁷ and Pd-catalyzed dehydrogenative cyclization (eq. 7)¹⁸ were recently disclosed. However, most of these examples are the synthesis of mono- or disubstituted DBP oxides. Therefore, alternative approaches for the synthesis of multi-substituted DBP oxide derivatives in mild reaction conditions are strongly desired.



Results and Discussion

The author considered that intermolecular cycloaddition of sulfanylbenzene-tethered 1,6-diynes and phosphorylbenzene-tethered 1,6-diynes with alkynes could be another approach to the synthesis of multi-substituted DBT and DBP oxide derivatives (Scheme 1). It was already used for the syntheses of dibenzoheteroles, such as carbazoles,¹⁹ dibenzofurans,²⁰ and dibenzosiloles.²¹ Tanaka and co-workers developed Rh-catalyzed [2+2+2] cycloaddition of dialkynyl phosphorus compound with tetraynes for the synthesis of helical compounds containing DBP skeleton.^{6a,b} However, to the best of our knowledge, intermolecular cycloaddition of 1,6-diynes and alkynes for the construction of DBT and DBP oxide skeletons has never been reported.

Scheme 1. Synthetic approach for DBT and DBP oxide derivatives



Synthesis of Dibenzothiophene and Dibenzophosphole Oxide Derivatives

1,6-Diyne **1a** and dimethyl acetylenedicarboxylate (DMAD) (**2a**) were chosen as a model diyne and alkyne, and an intermolecular cycloaddition was examined using $[Rh(cod)_2]BF_4$ with several phosphine ligands. The desired cycloaddition proceeded at room temperature, and multi-substituted DBT **3aa** was obtained (Table 1). Triphenylphosphine gave a poor result, but DPPP, alkylene-tethered diphosphine, achieved moderate yield (Entries 1 and 2). Diphosphines possessing a biaryl scaffold facilitated the reaction and diyne **1a** was completely consumed within 1 h. In particular, BIPHEP gave the best result (Entries 3 and 4).

| | TMS + $ $ Ph CO ₂ a 2a (3 eq | [Rh(cod) ₂]BF ₄ Me + Ligand (10 mol%) DCE, rt, Time Me I uiv) | S TMS CO ₂ Me 3aa |
|-------|---|--|------------------------------------|
| Entry | Ligand | Time / h | Yield / % |
| 1 | PPh ₃ | 8 | 27 |
| 2 | DPPP | 8 | 61 |
| 3 | rac-BINAP | 1 | 84 |
| 4 | 4 BIPHEP | | 92 |

Table 1. Effect of ligands on the cycloaddition of diyne 1a with 2a

Under the optimum conditions (Table 1, Entry 4), various diynes were subjected to the intermolecular cycloaddition (Table 2). The reaction of diyne **1b**, which has phenyl groups on its alkyne termini, proceeded to give cycloadduct **3ba** in moderate yield. The reaction of diynes **1c** and **1d**, which have an alkyl group and phenyl group on its alkyne termini, proceeded sluggishly, and a higher reaction temperature was needed. Diynes **1e** and **1f**, which possess pentyl-substituted and unsubstituted alkyne terminus \mathbb{R}^1 , respectively, were very reactive and the dropwise addition of diynes to a solution of DMAD over 30 min was needed. These yields of the cross-cycloadducts **3ea** and **3fa** were moderate due to the formation of self-cycloadducts of diynes **1e** and **1f**. The reaction of diynes **1g** and **1h**, which have trimethylsilyl group on its alkyne terminus \mathbb{R}^1 , proceeded to give cycloadduct **3ga** and **3ha** in good yield. The reactivity of diynes **1i** and **1j**, which have trimethylsilyl groups or *tert*-butyl groups, respectively, on both of alkyne terminus (i) were too reactive, and the yields of cross-cycloadducts were low, because self-cycloadducts of the diynes were major products. On the other hand, diynes **1m** and **1m**, which have a phenyl- and pentyl- groups, respectively, along with unsubstituted alkyne terminus, were good substrates. In particular, the reaction of diyne **1m** realized the best yield of 94%.





[a] The reaction was examined at 80 °C. [b] Diyne was dropwisely added to a solution of Rh catalyst and DMAD over 30 min.

Next, the author ascertained the scope of symmetrical and unsymmetrical alkynes (Table 3). Diol **2b** could be used as a coupling partner, and the corresponding DBT derivative **3ab** was obtained in good yield. Diarylacetyelene was less reactive and the polyarylated DBT **3ac** was obtained, but in low yield even at a higher temperature. The cycloaddition of unsymmetrical alkynes also proceeded under the same reaction conditions. While the reaction of phenylacetylene (**2d**) gave a regioisomeric mixture of cycloadducts **3ad** and **4ad**, the reaction of methyl phenylpropiolate (**2e**) regioselectively proceeded to give **3ae** as a sole cycloadduct.

Table 3. Cycloaddition of diyne 1a and various alkynes



[a] The reactions was examined at 80 °C.

As oxidized analogue of sulfanylbenzene-tethered 1,6-diyne, the cycloaddition with sulfinylbenzene-tethered diyne **5** was examined for the synthesis of dibenzothiophene 5-oxide derivatives (Scheme 2). The reaction with DMAD (**2a**) afforded desired multi-substituted DBT 5-oxide **6a** in 69% yield. Different from the sulfanylbenzene-tethered 1,6-diyne, phenylacetylene (**2f**) was also a good coupling partner, and the reaction gave polyaryl-substituted cycloadduct **6f** in high yield.

Scheme 2. Synthesis of DBT 5-oxide derivatives



Next, the synthesis of dibenzothiophene-5,5-dioxide (DBT-dioxide) derivatives was examined, because they have attracted attention as electronic materials.²² Two methods were examined for the preparation of

DBT-dioxide (Scheme 3). Oxidation of the obtained DBT **3na** readily proceeded by using *m*CPBA at room temperature to give DBT-dioxide **8** (path A). As another approach, intermolecular cycloaddition of sufonylbenzene-tethered diyne **7** with **2a** was examined. The reaction smoothly proceeded under the same conditions and DBT-dioxide **8** was obtained in moderate yield (path B).

Scheme 3. Synthesis of a DBT dioxide by two methods



The author further examined consecutive cycloaddition of tetraynes 9 and 11 possessing a 1,3-diyne moiety for the synthesis of bi-DBT derivatives (Table 4). When BIPHEP was used as an achiral diphosphine ligand, doubly-cyclized product 10 was obtained in low yield (Entry 1). The generation of axial chirality in 10 was ascertained by the HPLC analysis using a chiral column, and then several chiral diphosphine ligands were screened (Entries 2-5). BINAP and its derivatives achieved good enantioselectivity, but the yield was miserable, because many unidentified by-products were formed. When Me-DUPHOS was used, the yield was significantly improved, but ee was low (Entry 5). In contrast, the reaction of sulfonylbenzene-tethered tetrayne 11 using Rh-Me-DUPHOS catalyst realized the almost perfect ee along with moderate yield (Entry 6). Bi-DBT tetraoxide 12 could be prepared as a single crystal by recrystallization, and its structure and absolute configuration ((R)-form) were ascertained by X-ray crystallographic analysis (Figure 3).

Table 4. Consecutive cycloaddition for the synthesis of bi-DBT derivatives

| n-C ₅ | x $n-C_5H_{11}$ H_{11} y : X = S 11 : X = SO ₂ | + 2a (6 equiv) | [Rh(cod) ₂]BF ₂ + Ligand (10 mol%) DCE, 80 °C, 1 | $\begin{array}{c} \begin{array}{c} & & \\ & \\ & \\ & \\ & \\ & \\ & \\ & \\ & \\ $ | $n-C_5H_{11}$ CO_2Me CO_2Me S SO_2 |
|-----------------------|--|-----------------------------|--|---|--|
| Entry | Tetrayne | Li | igand | Yield / % | Ee / % |
| 1 | 9 | BI | РНЕР | 19 (10) | - |
| 2 | 9 | (R)- | BINAP | 5 (10) | 78 |
| 3 | 9 | (<i>S</i>)-to | IBINAP | 7 (10) | 73 |
| 4 | 9 | (<i>S</i>)-H ₃ | (S)-H ₈ -BINAP | | 82 |
| 5 | 9 | (<i>S</i> , <i>S</i>)-Me | (S,S)-Me-DUPHOS | | 21 |
| 6 ^{<i>a</i>} | 11 | (<i>S</i> , <i>S</i>)-Me | e-DUPHOS | 65 (12) | 97 |

[*a*] The reaction time was 8 h.

Figure 3. ORTEP diagram of 12



A possible explanation for enantioselective induction of 12 was shown in Scheme 4. The first intermolecular cycloaddition of 1,6-diyne moiety on tetrayne with 2a gave intermediate A. The oxidative coupling of A with DMAD can give the square planar coordinated metallacyclopentadiene B1 or B2. Due to steric hindrance of DBT skeleton and methyl group on ligand in B2, the formation of B1 would prefer, and (R)-12 was obtained as a major product.





In addition, synthetic transformation of cycloadduct **3aa** was examined by iodine monochloride, and iodinated DBT **13** was obtained in good yield (Scheme 5).





sulfanyl-tethered In place of diynes, the author examined the cycloaddition of phosphorylbenzene-tethered 1,6-divne 14a with DMAD (2a) in the presence of a cationic rhodium catalyst using BIPHEP as a diphosphine ligand. The reaction conditions were reinvestigated, and Table 5 shows the effect of the counter anion of cationic rhodium complex: in each entry, divne 14a was completely consumed within 1 h, and the desired tetra-substituted DBP oxide 15aa was obtained. Tetrafluoroborate (BF₄) and hexafluorophosphate (PF₆) gave the comparable yield (Entries 1 and 2). Trifluoromethanesulfonate (OTf) and hexafluoroantimonate (SbF_6) gave poor results, because the significant amounts of dimer of 14a were formed by self-cycloaddition (Entries 3 and 4). In contrast, BARF gave the best yield of 85% by almost complete suppression of self-cycloaddition (Entry 5).

| Table 5. Effect of counter anion on the c | ycloaddition of di | yne 14a with 2a |
|---|--------------------|-------------------------------|
|---|--------------------|-------------------------------|

| O, Ph <i>P</i> <i>n</i> -C ₅ H ₁₁ + Ph 14a | $\begin{array}{c} [Rh(cod)_2]X\\ CO_2Me\\ \\ \\ CO_2Me\\ CO_2Me\\ 2a\\ (3 \ equiv)\end{array}$ | $\begin{array}{c} O \\ Ph \\ -C_5H_{11} \\ -CO_2Me \\ Ph \\ CO_2Me \\ 15aa \end{array}$ |
|--|---|---|
| Entry ^[a] | Х | Yield / % |
| 1 | BF_4 | 78 |
| 2 | PF_6 | 73 |
| 3 | OTf | 42 |
| 4 | SbF_6 | 46 |
| 5 | BARF | 85 |

[*a*] The diyne was added dropwise to a DCE solution of the Rh catalyst and alkyne **2a**.

Under the reaction conditions of entry 5 in Table 5, various phosphorylbenzene-tethered diynes were subjected to the intermolecular cycloaddition (Table 6). When diyne **14b**, which has phenyl and pentyl groups on its alkyne termini of R^1 and R^2 respectively, was used, almost no reaction proceeded. The reaction of diyne **14c** having two phenyl groups on its alkyne termini proceeded, but the yield of the desired cycloadduct **15ca** was low. Diynes **14b** and **14c** were inappropriate substrates due to the formation of self-cycloadducts. The introduction of an alkyl group as R^1 was critical for high yield: diyne **14d**, which has pentyl and 4-methylphenyl groups, proceeded to give desired cycloadduct **15da** in 86% yield. The cycloaddition of diynes **14e** and **14f**, which have methoxyphenyl and chlorophenyl group, respectively as R^1 , also proceeded to afford the corresponding products **15ea** and **15fa** in good yields. Diyne **14g** possessing a biphenyl group was also a good substrate, and the desired cycloadduct **15ga** was obtained in 86% yield. In addition, thienyl substituent could be also installed by the reaction of diyne **14h**.

Table 6. Cycloaddition of various diynes 14 with 2a^[a]



[a] Diyne was added dropwise to a solution of the Rh catalyst and alkyne.

Next, the reaction of diyne **14a** with various symmetrical alkynes was examined (Table 7). 2-Butyne-1,4-diol (**2b**) could be used, and the corresponding DBP oxide derivative **15ab** was obtained in high yield. Dialkyl-substituted alkyne **2g** could be also allowed as a coupling partner, and the desired cycloadduct **15ag** was obtained in 93% yield. The reaction using diphenylacetylene (**2f**) also proceeded, and polyarylated DBP oxide derivative **15af** was obtained in 81% yield. The cycloaddition using other diarylacetylenes **2c** and **2h**, which have electron-donating and -withdrawing groups, respectively gave the corresponding products **15ac** and **15ah**. The reaction of boryl-substituted diarylacetylene **2i** also proceeded and the obtained DBP oxide derivative **15ai** can be used for further transformation. In addition, 1,2-di(thiophene-2-yl)ethyne (**2j**) was also a good coupling partner, and the desired cycloadduct **15aj** substituted by two thienyl groups was obtained in 90% yield.





[a] Diyne was added dropwise to a solution of the Rh catalyst and alkyne.

The cycloaddition using unsymmetrical alkynes also proceeded under the same reaction conditions (Scheme 6). The reaction of **14a** with ethyl phenylpropiolate (**2e**) gave the desired products in 92% total yield as a mixture of **15ae** and **16ae** without selectivity. In the case of ethynylbenzene (**2d**), almost quantitative yield was achieved, and cycloadduct **15ad** was a major product.

Scheme 6. Cycloaddition of diyne 14a with unsymmetrical alkynes 2e and 2d



The asymmetric desymmetrization using [2+2+2] cycloaddition has been already reported: the reaction of symmetrical dialkynyl alcohol²³ or dialkynylphosphine oxide²⁴ with diynes gave chiral cycloadducts. Recently, the [2+2+2] cycloaddition of prochiral silicon-tethered triyne with monoalkyne has been developed for the enantioselective synthesis of Si-chiral dibenzosiloles.²⁵ Against this background, the author examined the asymmetric desymmetrization using phosphorylbenzene-tethered triyne (Scheme 7). When the cycloaddition of prochiral triyne **17** with 2-butyne-1,4-diol (**2b**) using Rh-(*S*)-BINAP catalyst, the desired P-chiral DBP oxide **18** was obtained in high yield with good ee.²⁶ With regard to asymmetric synthesis of chiral DBP oxides, optical resolution was only a protocol,²⁷ therefore, this is the first example of enantioselective synthesis.





With a view to the synthesis of functional organic molecules, the author synthesized the DBP oxides possessing dibenzothiophene(s) (DBT) as substituent(s) (Scheme 8). The reaction of diyne 14i, which has dibenzo[b,d]thiophen-2-yl group on one of its alkyne termini, proceeded to give the desired DBP oxide 15ia in 80% yield. Diphenylacetylene (2f) could also be acceptable, and the polyarylated DBP oxide derivative 15if was afforded in high yield. Furthermore, the cycloaddition of dibenzothiophene-containing alkyne 2k with diyne 14a was examined, and the reaction gave DBP oxide derivative 15ak as a major regioisomer.
Scheme 8. Synthesis of bi-dibenzoheteroles



A possible explanation for regioselective reaction was shown in Scheme 9. The first step is oxidative coupling of diyne 14a with metal catalyst, and metallacyclopentadiene C or D can be generated. Due to the steric repulsion of metal on the ligand with aryl group, the generation of metallacyclopentadiene C is preferred to D. The next step is insertion of remaining alkyne moiety of 14a to C. Subsequent reductive elimination of metal catalyst, cycloadduct 15 is regioselectively obtained.

Scheme 9. Possible explanation for regioselective reaction



In addition, the cycloadditions using alkyne **2l** and **2m**, which have dibenzothiophenyl groups on its both termini, were examined (Scheme 10). The desired cycloadducts **15al** and **15am** consisting of a DBP oxide and two DBTs were obtained in moderate yield. Cycloadduct **15al** possessed high crystallinity and purification of its product was difficult, but solubility of dialkylated compound **15am** was improved.

Scheme 10. Synthesis of ter(dibenzoheterolyl)s



In addition, the synthetic transformation of cycloadduct **15ag** by using Lawesson's reagent gave dibenzophosphole sulfide **19** in excellent yield (Scheme 11).

Scheme 11. Synthesis of a dibenzophosphole sulfide derivative



As investigation of physical properties, the UV-vis spectra of the obtained DBT derivatives **3na** and **10**, the DBT-dioxide derivatives **8** and **12**, and DBP-oxide derivatives **15af**, **15if**, **15ak**, and **15al** were measured (Table 8). In the case of DBT derivatives, the λ_{max} of these compounds were observed at 294.5-330.5 nm. The DBT-dioxide derivatives were red-shifted compared with the DBT derivatives (Entry 1 vs Entry 2 and Entry 3 vs Entry 4). In the case of DBT-dioxide derivatives, significant blue-shift of bi-DBT-tetraoxide was unexpectedly observed compared with mono-DBT-dioxide (Entry 2 vs Entry 4). With regard to DBP oxide derivatives, the UV-vis spectra were measured to investigate the effect of DBT substituent(s) (Entries 5-8). The λ_{max} of these compounds were observed at 317.6-338.0 nm. There is no significant difference in the value of λ_{max} among **15af**, **15if** and **15al**. In the case of DBT substituent(s) derivatives, obvious red-shift of disubstituted **15al** was observed compared with monosubstituted **15ak** (Entry 7 vs Entry 8).

Synthesis of Dibenzothiophene and Dibenzophosphole Oxide Derivatives

| Entry | Compound | UV-vis λ_{max} (nm) / loge |
|-------|----------|------------------------------------|
| 1 | 3na | 294.5 / 3.84 |
| 2 | 8 | 330.5 / 3.57 |
| 3 | 10 | 297.5 / 4.03 |
| 4 | 12 | 304.0 / 4.07 |
| 5 | 15af | 338.0 / 3.45 |
| 6 | 15if | 332.0 / 3.21 |
| 7 | 15ak | 317.6 / 3.65 |
| 8 | 15al | 332.2 / 3.09 |

 Table 8. UV-vis date of DBT and DBP oxide derivatives

Conclusion

The author develooped Rh-catalyzed intermolecular cycloaddition of sulfanylbenzene-, sulfinylbenzene-, sulfinylbenzene-, sulfonylbenzene-, and phosphorylbenzene-tethered 1,6-diynes with alkynes. The present reaction provides a new protocol for the synthesis of multi-substituted DBT and DBP oxide derivatives. As catalytic enantioselective reaction, a bi-dibenzothiophene tetraoxide derivative was synthesized by consecutive cycloaddition of a tetrayne. In addition, the first and highly enantioselective synthesis of a P-chiral DBP oxide by desymmetrization was achieved.

Experimental Section

General

All reactions were examined under an argon atmosphere in oven-dried glassware with a magnetic stirring bar. A hexane solution of *n*-butyllithium (1.58 or 1.60 M) was purchased from Kanto Chemical Co., Inc. Dehydrated diethyl ether and tetrahydrofuran (THF) Dehydrated dichloromethane and 1,2-dichloroethane were purchased from Wako Pure Chemical Industries Ltd. (Wako) and degassed by argon bubbling before use. Other reagents were purchased from Wako, Kanto, TCI, or Aldrich and were used without further purification. Flash column chromatography was performed with silica gel (Kanto Chemical Co., Inc. 60 N). Preparative thin-layer chromatography (PTLC) was performed with silica gel-precoated glass plates (Merck 60 GF254) prepared in the author's laboratory. FT-IR spectra were recorded with Horiba FT/IR-4200 spectrophotometer. NMR spectra were measured with JEOL AL-400 (400 MHz), JEOL ECS400 (400 MHz), JEOL Lambda 500 (500 MHz), or JEOL ECX500 (¹H NMR, 495.13 MHz; ¹³C NMR, 124.5 MHz; ³¹P NMR, 200.43 MHz) using TMS as an internal standard, CDCl₃ and C₂D₆OS were used as a solvent. High-resolution mass spectra (HRMS) were measured on ESI (Electro Spray Ionization) - orbitrap mass spectrometer. Optical rotations were measured with Jasco DIP-1000 polarimeter. Physical properties of 1,2-bis(2-bromophenyl)disulfane and 2,3-bis(methoxycarbonyl)dibenzothiophene (3ka) were omitted, because it was already reported.^{28,29} 1 were prepared by Sonogashira coupling of the corresponding alkynes Divnes and (hept-1-ynyl)(2-iodephenyl)sulfane or (2-iodephenyl)(trimethylsilylethynyl)sulfane, which was prepared by the literature protocol.^{30,31} Diyne 5 was prepared by oxidation using mCPBA.³² Tetrayne 9 was prepared by CuCl-TMEDA-mediated oxidative coupling³³ of (2-ethynylphenyl)(hept-1-ynyl)sulfane (1n). The following oxidation using $mCPBA^{34}$ gave tetrayne tetraoxide 11. Divnes 14 were prepared by iodination of diphenylphosphinic acid,³⁵ chlorination of phosphinic acid moiety,³⁶ alkynylation,³⁷ and Sonogashira coupling. Alkyne $2l^{1b}$ and $2m^{38}$ were prepared by the literature protocol.

Typical Procedure for the Rh-catalyzed cycloaddition for the synthesis of DBT derivatives

 $[Rh(cod)_2]BF_4$ (2.0 mg, 0.005 mmol) and BIPHEP (2.6 mg, 0.005 mmol) were placed in Schlenk tube, which was then evacuated and backfilled with argon (3 ×). To the reaction vessel was added CH₂Cl₂ (1.0 mL). Then it was filled with H₂, and the mixture was stirred at r.t. for 30 min under H₂. After removal of the solvent and H₂ under reduced pressure, the reaction vessel was filled with argon. 1,2-Dichloroethane (0.2 mL) was added to the flask and the mixture was stirred to give a reddish soln. Then, a

1,2-dichloroethane solution (0.3 mL) of diyne (0.05 mmol) and DMAD (21.3 mg, 0.15 mmol) was added and the mixture was stirred at room temperature for 1 h. The volatiles were removed under reduced pressure, and the crude products were purified by PTLC.

Typical procedure for the Rh-catalyzed cycloaddition for the synthesis of DBP oxide derivatives

[Rh(cod)₂]BARF (5.9 mg, 0.005 mmol) and BIPHEP (2.6 mg, 0.005 mmol) were placed in Schlenk tube, which was then evacuated and backfilled with argon three times. 1,2-Dichloroethane (0.15 mL) and an alkyne (21.3 mg, 0.15 mmol) was added and the mixture was stirred at 80 °C. Then, a 1,2-dichloroethane solution (1.85 mL) of diyne (0.05 mmol) was added dropwise for 1 h. Solvent was excluded from the reaction mixture under reduced pressure, and the obtained crude products were purified by PTLC to give a pure cycloadduct.

Characterization of new compounds



(2-(Phenylethynyl)phenyl)(trimethylsilylethynyl)sulfane (1a)

A pale brown solid; mp 43 °C; IR (CH₂Cl₂) 2892, 2097, 879, 843, 753 cm⁻¹; ¹H NMR δ 0.27 (s, 9H), 7.20 (ddd, J = 1.1, 7.6, 7.6 Hz, 1H), 7.34-7.39 (m, 4H), 7.47 (dd, J = 1.3, 7.6 Hz, 1H), 7.55-7.57 (m, 2H), 7.73 (dd, J = 0.6, 8.0 Hz, 1H); ¹³C NMR δ -0.1, 85.4, 89.8, 96.8, 107.8, 120.3, 122.7, 125.4, 126.0, 128.4, 128.7, 129.2, 131.6, 132.0, 135.9; HRMS (ESI positive) m/z calcd for C₁₉H₁₉SSi ([M+H]⁺): 307.0971 Found: 307.0971.



(Phenylethynyl)(2-(phenylethynyl)phenyl)sulfane (1b)

A brown oil; IR (CH₂Cl₂) 2850, 2170, 752, 688 cm⁻¹; ¹H NMR δ 7.20 (ddd, J = 1.1, 7.5, 7.5 Hz, 1H), 7.34-7.39 (m, 7H), 7.49 (dd, J = 1.2, 7.7 Hz, 1H), 7.53-7.55 (m, 2H), 7.58-7.60 (m, 2H), 7.77 (dd, J = 0.6, 8.1 Hz, 1H); ¹³C NMR δ 70.5, 85.5, 96.9, 99.3, 120.3, 122.7, 122.8, 125.5, 125.9, 128.4, 128.4, 128.7, 128.8, 129.2, 131.7, 131.9, 132.1, 136.5; HRMS (ESI positive) m/z calcd for C₂₂H₁₅S ([M+H]⁺): 311.0889. Found: 311.0889.



(2-(Phenylethynyl)phenyl)(prop-1-ynyl)sulfane (1c)

A brown oil; IR (CH₂Cl₂) 2913, 1057, 752, 689 cm⁻¹; ¹H NMR δ 2.14 (s, 3H), 7.17 (ddd, J = 1.1, 7.5, 7.5 Hz, 1H), 7.32-7.36 (m, 4H), 7.45 (dd, J = 1.3, 7.7 Hz, 1H), 7.56-7.57 (m, 2H), 7.70 (d, J = 8.1 Hz, 1H); ¹³C NMR δ 5.3, 63.5, 85.6, 96.6, 96.8, 119.9, 122.8, 125.2, 125.5, 128.4, 128.6, 129.0, 131.6, 131.9, 137.3; HRMS (ESI positive) m/z calcd for C₁₇H₁₃S ([M+H]⁺): 249.0732. Found: 249.0773.



(3,3-Dimethylbut-1-ynyl)(2-(phenylethynyl)phenyl)sulfane (1d)

A pale yellow oil; IR (CH₂Cl₂) 2865, 2215, 1058, 754, 689 cm⁻¹; ¹H NMR δ 1.35 (s, 9H), 7.16 (ddd, J = 1.2, 7.6, 7.6 Hz, 1H), 7.34-7.37 (m, 4H), 7.45 (dd, J = 1.3, 7.7 Hz, 1H), 7.55-7.57 (m, 2H), 7.66 (dd, J = 0.9, 8.1 Hz, 1H); ¹³C NMR δ 29.1, 30.9, 65.2, 85.6, 96.6, 109.2, 119.8, 122.8, 124.8, 125.4, 128.3, 128.6, 129.0, 131.6, 131.8, 137.5; HRMS (ESI positive) m/z calcd for C₂₀H₁₉S ([M+H]⁺): 291.1202. Found: 291.1202.



(Hept-1-ynyl)(2-(phenylethynyl)phenyl)sulfane (1e)

A pale brown oil; IR (CH₂Cl₂) 2859, 2215, 1491, 753, 689 cm⁻¹; ¹H NMR δ 0.92 (t, J = 7.3 Hz, 3H), 1.32-1.47 (m, 4H), 1.63 (quint, J = 7.1 Hz, 2H), 2.03 (t, J = 7.1 Hz, 2H), 7.16 (ddd, J = 1.1, 7.5, 7.5 Hz, 1H), 7.33-7.37 (m, 4H), 7.45 (dd, J = 1.1, 7.7 Hz, 1H), 7.56-7.57 (m, 2H), 7.70 (dd, J = 0.7, 8.1 Hz, 1H); ¹³C NMR δ 14.0, 20.3, 22.2, 28.3, 31.1, 64.2, 85.6, 96.6, 101.5, 119.8, 122.8, 125.1, 125.5, 128.4, 128.6, 129.0, 131.6, 131.9, 137.5; HRMS (ESI positive) m/z calcd for C₂₁H₂₁S ([M+H]⁺): 305.1358. Found: 305.1358.



Ethynyl(2-(phenylethynyl)phenyl)sulfane (1f)

A pale brown oil; IR (CH₂Cl₂) 3287, 3057, 2219, 753, 688 cm⁻¹; ¹H NMR δ 3.35 (s, 1H), 7.20 (ddd, J = 1.0, 7.6, 7.6 Hz, 1H), 7.35-7.38 (m, 4H), 7.48 (dd, J = 1.1, 7.6 Hz, 1H), 7.56-7.57 (m, 2H), 7.73 (d, J = 1.0, 7.6, 7.6 Hz, 1H), 7.56-7.57 (m, 2H), 7.73 (d, J = 1.0, 7.6, 7.6 Hz, 1H), 7.56-7.57 (m, 2H), 7.73 (d, J = 1.0, 7.6, 7.6 Hz, 1H), 7.56-7.57 (m, 2H), 7.73 (d, J = 1.0, 7.6, 7.6 Hz, 1H), 7.56-7.57 (m, 2H), 7.73 (d, J = 1.0, 7.6, 7.6 Hz, 1H), 7.56-7.57 (m, 2H), 7.73 (d, J = 1.0, 7.6, 7.6 Hz, 1H), 7.56-7.57 (m, 2H), 7.73 (d, J = 1.0, 7.6, 7.6 Hz, 1H), 7.56-7.57 (m, 2H), 7.73 (d, J = 1.0, 7.6, 7.6, 7.6 Hz, 1H), 7.56-7.57 (m, 2H), 7.73 (d, J = 1.0, 7.6, 7.6, 7.6 Hz, 1H), 7.56-7.57 (m, 2H), 7.73 (d, J = 1.0, 7.6, 7.6, 7.6 Hz, 1H), 7.56-7.57 (m, 2H), 7.73 (d, J = 1.0, 7.6, 7.6, 7.6 Hz, 1H), 7.56-7.57 (m, 2H), 7.73 (d, J = 1.0, 7.6, 7.6, 7.6 Hz, 1H), 7.56-7.57 (m, 2H), 7.73 (d, J = 1.0, 7.6, 7.6, 7.6 Hz, 1H), 7.56-7.57 (m, 2H), 7.73 (d, J = 1.0, 7.6, 7.6, 7.6 Hz, 7.57 (m, 2H), 7.73 (d, J = 1.0, 7.6, 7.6, 7.6, 7.6 Hz, 7.57 (m, 2H), 7.73 (d, J = 1.0, 7.6, 7.6, 7.6, 7.6, 7.6 Hz, 7.57 (m, 2H), 7.73 (d, J = 1.0, 7.6, 7.6, 7.6, 7.6 Hz, 7.57 (m, 2H), 7.73 (d, J = 1.0, 7.6, 7.6, 7.6, 7.6, 7.6 Hz, 7.57 (m, 2H), 7.73 (m, 2H), 7.73 (m, 2H), 7.73 (m, 2H), 7.75 (

8.1 Hz, 1H); ¹³C NMR δ 70.5, 85.3, 88.5, 96.9, 120.5, 122.6, 125.6, 126.1, 128.4, 128.8, 129.2, 131.6, 132.0, 135.0; HRMS (ESI positive) m/z calcd for C₁₆H₁₁S ([M+H]⁺): 235.0576. Found: 235.0578.



(Hept-1-ynyl)(2-(trimethylsilylethynyl)phenyl)sulfane (1g)

A pale yellow oil; IR (CH₂Cl₂) 2860, 2157, 1250, 864, 753 cm⁻¹; ¹H NMR δ 0.27 (s, 9H), 0.91 (t, *J* = 7.3 Hz, 3H), 1.33-1.45 (m, 4H), 1.62 (quint, *J* = 7.2 Hz, 2H), 2.47 (t, *J* = 7.1 Hz, 2H), 7.10 (ddd, *J* = 1.1, 7.5, 7.5 Hz, 1H), 7.32 (ddd, *J* = 1.3, 7.6, 7.6 Hz, 1H), 7.38 (dd, *J* = 1.2, 7.6 Hz, 1H), 7.66 (dd, *J* = 0.7, 8.1 Hz, 1H); ¹³C NMR δ -0.2, 14.0, 20.3, 22.2, 28.3, 31.1, 64.3, 100.7, 101.6, 102.5, 119.6, 124.8, 125.2, 129.2, 132.2, 137.9; HRMS (ESI positive) m/z calcd for C₁₈H₂₅SSi ([M+H]⁺): 301.1441. Found: 301.1426.



Phenylethynyl(2-(trimethylsilylethynyl)phenyl)sulfane (1h)

A yellow solid; mp 67 °C; IR (CH₂Cl₂) 2854, 2157, 862, 844 cm⁻¹; ¹H NMR δ 0.29 (s, 9H), 7.15 (ddd, J = 0.9, 7.5, 7.5 Hz, 1H), 7.33-7.36 (m, 4H), 7.41 (dd, J = 1.1, 7.6 Hz, 1H), 7.52-7.54 (m, 2H), 7.73 (d, J = 8.1 Hz, 1H); ¹³C NMR δ 0.9, 76.1, 100.4, 101.7, 103.8, 121.0, 123.9, 126.3, 126.8, 129.5, 129.8, 130.4, 132.8, 133.4, 138.0; HRMS (ESI positive) m/z calcd for C₁₉H₁₈NaSSi ([M+Na]⁺): 329.0791. Found: 329.0793.



(Trimethylsilylethynyl)(2-(trimethylsilylethynyl)phenyl)sulfane (1i)

A pale brown oil; IR (CH₂Cl₂) 2898, 2098, 863, 842, 756 cm⁻¹; ¹H NMR δ 0.32 (s, 9H), 0.33 (s, 9H), 7.19 (ddd, J = 1.0, 7.5, 7.5 Hz, 1H), 7.41 (dddt, J = 1.3, 7.5, 7.5 Hz, 1H), 7.45 (dd, J = 1.0, 7.6 Hz, 1H), 7.71 (d, J = 8.1 Hz, 1H); ¹³C NMR δ -0.2, -0.1, 89.3, 100.6, 102.7, 107.9, 120.0, 125.1, 125.7, 129.3, 132.2, 136.3; HRMS (ESI positive) m/z calcd for C₁₆H₂₃SSi₂ ([M+H]⁺): 303.1054. Found: 303.1054.



(3,3-Dimethylbut-1-ynyl)(2-(3,3-dimethylbut-1-ynyl)phenyl)sulfane (1j)

A yellow oil; IR (CH₂Cl₂) 2863, 2240, 1033, 752 cm⁻¹; ¹H NMR δ 1.34 (s, 18H), 7.09 (ddd, J = 1.1, 7.5, 7.5 Hz, 1H), 7.27-7.30 (m, 2H), 7.59 (d, J = 8.1 Hz, 1H); ¹³C NMR δ 28.3, 29.1, 30.9, 30.9, 63.5, 75.5, 106.4, 109.0, 120.5, 124.4, 125.2, 128.2, 131.5, 137.2; HRMS (ESI positive) m/z calcd for C₁₈H₂₃S ([M+H]⁺): 271.1515. Found: 271.1515.



Ethynyl(2-ethynylphenyl)sulfane (1k)

A black oil; IR (CH₂Cl₂) 3288, 3059, 754 cm⁻¹; ¹H NMR δ 3.35 (s, 1H), 3.48 (s, 1H), 7.18 (ddd, J = 1.1, 7.6, 7.6 Hz, 1H), 7.38 (ddd, J = 1.4, 7.7, 7.7 Hz, 1H), 7.45 (dd, J = 1.3, 7.7 Hz, 1H), 7.71 (dd, J = 0.8, 8.1 Hz, 1H); ¹³C NMR δ 70.2, 79.5, 84.8, 88.7, 119.3, 125.8, 126.1, 129.8, 133.0, 135.4; HRMS (ESI positive) m/z calcd for C₁₀H₇S ([M+H]⁺): 159.0263. Found: 159.0264.



(2-Ethynylphenyl)(trimethylsilylethynyl)sulfane (11)

A brown oil; IR (CH₂Cl₂) 3291, 2898, 2097, 862, 754 cm⁻¹; ¹H NMR δ 0.27 (s, 9H), 3.47 (s, 1H), 7.17 (ddd, J = 1.1, 7.6, 7.6 Hz, 1H), 7.39 (ddd, J = 1.4, 7.6, 7.6 Hz, 1H), 7.45 (dd, J = 1.2, 7.8 Hz, 1H), 7.67 (dd, J = 0.6, 8.1 Hz, 1H); ¹³C NMR δ 0.9, 80.6, 85.6, 90.4, 109.1, 120.1, 126.5, 126.9, 130.8, 134.0, 137.3; HRMS (ESI positive) m/z calcd for C₁₃H₁₅SSi ([M+H]⁺): 231.0658. Found: 231.0656.



(2-Ethynylphenyl)(phenylethynyl)sulfane (1m)

A a pale brown oil; IR (CH₂Cl₂) 3287, 3020, 2170, 752, 688 cm⁻¹; ¹H NMR δ 3.51 (s, 1H), 7.18 (ddd, J = 1.1, 7.6, 7.6 Hz, 1H), 7.33-7.37 (m, 4H), 7.39 (ddd = 1.5, 7.6, 7.6 Hz, 1H), 7.52-7.55 (m, 2H), 7.76 (dd, J = 0.7, 8.1 Hz, 1H); ¹³C NMR δ 75.6, 80.7, 85.7, 100.5, 120.1, 123.8, 126.6, 126.9, 129.5, 129.9, 130.8, 132.9, 134.1, 137.9; HRMS (ESI positive) m/z calcd for C₁₆H₁₀S ([M]⁺): 234.0498. Found: 234.0498.



(2-Ethynylphenyl)(hept-1-ynyl)sulfane (1n)

A pale brown oil; IR (CH₂Cl₂) 3290, 2859, 1461, 753 cm⁻¹; ¹H NMR δ 0.92 (t, *J* = 7.2 Hz, 3H), 1.31-1.46 (m, 4H), 1.62 (quint, *J* = 7.1 Hz, 2H), 2.47 (t, *J* = 7.1 Hz, 2H), 3.46 (s, 1H), 7.14 (ddd, *J* = 1.1, 7.6, 7.6 Hz, 1H), 7.36 (ddd, *J* = 1.4, 7.7, 7.7 Hz, 1H), 7.42 (dd, *J* = 1.2, 7.6 Hz, 1H), 7.68 (dd, *J* = 0.8, 8.1 Hz, 1H); ¹³C NMR δ 13.9, 20.3, 22.2, 28.3, 31.1, 63.4, 79.7, 84.4, 101.8, 118.6, 125.2, 125.4, 129.5, 132.8, 137.8; HRMS (ESI positive) m/z calcd for C₁₅H₁₇S ([M+H]⁺): 229.1046. Found: 229.1045.



(Hept-1-yn-1-yl)(2-(phenylethynyl)phenyl)sulfoxide (5)

Sulfoxide 5 was prepared by the oxidation of the corresponding sulfide¹⁴ using mCPBA.

A pale yellow oil; IR (CH₂Cl₂) 2955, 2350, 2312, 1492, 1463, 1081 cm⁻¹; ¹H NMR δ 0.78 (t, *J* = 7.3 Hz, 3H), 1.15-1.29 (m, 4H), 1.44-1.50 (m, 2H), 2.34 (dt, *J*_d = 2.6 Hz, *J*_t = 7.2 Hz, 2H), 7.37-7.40 (m, 3H), 7.50 (ddd, *J* = 1.2, 7.5, 7.5 Hz, 1H), 7.55-7.61 (m, 4H), 8.05 (dd, *J* = 1.6, 7.9 Hz, 1H); ¹³C NMR δ 13.8, 19.8, 22.1, 27.3, 30.9, 77.7, 84.3, 98.6, 104.8, 120.4, 122.5, 124.1, 128.6, 129.2, 129.4, 130.9, 131.7, 132.6, 145.5; HRMS (ESI positive) m/z calcd for C₂₁H₂₀NaOS ([M+Na]⁺): 343.1127. Found: 343.1126.



(2-Ethynylphenyl)(hept-1-ynyl)sulfone (7)

A brown oil; IR (CH₂Cl₂) 3267, 2200, 1163, 1126, 792 cm⁻¹; ¹H NMR δ 0.87 (t, J = 7.2 Hz, 3H), 1.25-1.38 (m, 4H), 1.55-1.61 (m, 2H), 2.40 (t, J = 7.1 Hz, 2H), 3.66 (s, 1H), 7.54 (ddd, J = 1.3, 7.8, 7.8 Hz, 1H), 7.61 (ddd, J = 1.3, 7.8, 7.8 Hz, 1H), 7.72 (dd, J = 1.2, 7.6 Hz, 1H), 8.10 (dd, J = 1.1, 7.9 Hz, 1H); ¹³C NMR δ 14.8, 20.0, 23.0, 27.7, 31.9, 78.2, 79.9, 88.3, 98.7, 122.3, 129.2, 130.0, 134.3, 136.6, 143.7; HRMS (ESI positive) m/z calcd for C₁₅H₁₆O₂NaS ([M+Na]⁺): 283.0763. Found: 283.0762.



1,4-Bis(2-(hept-1-ynylsulfanyl)phenyl)buta-1,3-diyne (9)

A brown solid; mp 35 °C; IR (CH₂Cl₂) 2858, 2359, 1434, 752 cm⁻¹; ¹H NMR δ 0.92 (t, *J* = 7.4 Hz, 6H), 1.32-1.46 (m, 8H), 1.62 (quint, 7.3 Hz, 4H), 2.48 (t, *J* = 7.0 Hz, 4H), 7.15 (ddd, *J* = 1.0, 7.4, 7.4 Hz, 2H), 7.38 (ddd, *J* = 1.1, 7.7, 7.7 Hz, 2H), 7.47 (dd, *J* = 1.3, 7.8 Hz, 2H), 7.68 (d, *J* = 8.2 Hz, 2H); ¹³C NMR δ 13.9, 20.3, 22.1, 28.2, 31.0, 63.6, 79.6, 80.3, 101.9, 118.2, 125.3, 125.5, 129.9, 133.3, 139.1; HRMS (ESI positive) m/z calcd for C₃₀H₃₀NaS₂ ([M+Na]⁺): 477.1681. Found: 477.1682.



1,4-Bis(2-(hept-1-ynylsulfonyl)phenyl)buta-1,3-diyne (11)

A brown solid; mp 115 °C; IR (CH₂Cl₂) 2198, 1331, 1160, 766 cm⁻¹; ¹H NMR δ 0.83 (t, *J* = 7.3 Hz, 6H), 1.24-1.38 (m, 8H), 1.64 (quint, 7.1 Hz, 4H), 2.52 (t, *J* = 7.2 Hz, 4H), 7.57 (ddd, *J* = 1.3, 7.8, 7.8 Hz, 2H), 7.64 (ddd, *J* = 1.3, 7.6, 7.6 Hz, 2H), 7.76 (dd, *J* = 1.0, 7.7 Hz, 2H), 8.12 (dd, *J* = 1.0, 7.9 Hz, 2H); ¹³C NMR δ 14.8, 20.0, 23.0, 27.8, 32.0, 77.9, 80.3, 83.8, 99.9, 121.7, 129.3, 130.5, 134.3, 136.7, 144.5; HRMS (ESI positive) m/z calcd for C₃₀H₃₀O₄NaS₂ ([M+Na]⁺): 541.1478. Found: 541.1478.



2,3-Bis(methoxycarbonyl)-1-phenyl-4-(trimethylsilyl)dibenzothiophene (3aa)

A brown solid; mp 135 °C; IR (CH₂Cl₂) 2849, 1734, 885, 841, 701 cm⁻¹; ¹H NMR δ 0.56 (s, 9H), 3.47 (s, 3H), 3.88 (s, 3H), 6.67 (d, J = 8.4 Hz, 1H), 7.02 (ddd, J = 1.0, 8.3, 8.3 Hz, 1H), 7.33-7.37 (m, 3H), 7.50-7.51 (m, 3H), 7.81 (d, J = 8.0 Hz, 1H); ¹³C NMR δ 0.8, 52.0, 52.6, 121.9, 124.0, 125.4, 126.9, 128.3, 128.7, 129.0, 129.9, 132.2, 133.5, 134.3, 135.4, 137.3, 137.8, 140.1, 148.0, 168.9, 169.8; HRMS (ESI positive) m/z calcd for C₂₅H₂₄O₄NaSSi ([M+Na]⁺): 471.1057. Found: 471.1055.



2,3-Bis(methoxycarbonyl)-1,4-diphenyldibenzothiophene (3ba)

A yellow solid; mp 178 °C; IR (CH₂Cl₂) 2849, 1739, 744, 701 cm⁻¹; ¹H NMR δ 3.52 (s, 3H), 3.56 (s, 3H), 6.72 (d, J = 8.4 Hz, 1H), 7.05 (ddd, J = 1.1, 7.8, 7.8 Hz, 1H), 7.33 (ddd, J = 1.1, 7.3, 7.3 Hz, 1H) 7.42-7.54 (m, 10H), 7.72 (d, J = 7.9 Hz, 1H); ¹³C NMR δ 52.2, 52.3, 122.5, 124.3, 125.6, 127.1, 128.4, 128.5, 128.6, 128.6, 128.7, 128.7, 129.3, 130.6, 134.7, 135.3, 135.4, 136.4, 137.6, 138.4, 141.2, 143.2, 168.1, 168.4; HRMS (ESI positive) m/z calcd for C₂₈H₂₀NaO₄S ([M+Na]⁺): 475.0975. Found: 475.0974.



2,3-Bis(methoxycarbonyl)-4-methyl-1-phenyldibenzothiophene (3ca)

A yellow solid; mp 150 °C; IR (CH₂Cl₂) 2850, 1732, 1216, 742, 701 cm⁻¹; ¹H NMR δ 2.74 (s, 3H), 3.50 (s, 3H), 3.93 (s, 3H), 6.68 (d, J = 8.3 Hz, 1H), 7.06 (ddd, J = 1.1, 7.8, 7.8 Hz, 1H), 7.35-7.39 (m, 3H), 7.50-7.52 (m, 3H), 7.85 (d, J = 7.9 Hz, 1H); ¹³C NMR δ 19.9, 53.1, 53.7, 123.7, 125.4, 126.6, 128.0, 129.0, 129.3, 129.7, 130.4, 131.6, 131.9, 135.4, 136.2, 136.8, 138.8, 141.3, 143.9, 169.3, 169.7; HRMS (ESI positive) m/z calcd for C₂₃H₁₈NaO₄SSi ([M+Na]⁺): 413.0818. Found: 413.0818.



4-(1,1-Dimethylethyl)-2,3-bis(methoxycarbonyl)-1-phenyldibenzothiophene (3da)

A yellow solid; mp 170 °C; IR (CH₂Cl₂) 2951, 1734, 1216, 750, 708 cm⁻¹; ¹H NMR δ 1.30 (s, 9H), 3.91 (s, 3H), 4.04 (s, 3H), 5.74 (d, J = 8.5 Hz, 1H), 6.90 (ddd, J = 1.2, 7.8, 7.8 Hz, 1H), 7.28 (ddd, J = 1.1, 7.6, 7.6 Hz, 1H), 7.35-7.36 (m, 2H), 7.50-7.56 (m, 3H), 7.74 (d, J = 7.9 Hz, 1H); ¹³C NMR δ 35.1, 40.1, 53.7, 53.9, 123.1, 124.8, 125.8, 127.0, 127.6, 129.4, 129.8, 131.8, 134.2, 136.4, 138.0, 138.8, 142.0, 142.7, 143.4, 144.5, 168.8, 172.1; HRMS (ESI positive) m/z calcd for C₂₆H₂₄NaO₄SSi ([M+Na]⁺): 455.1288. Found: 455.1285.



2,3-Bis(methoxycarbonyl)-4-pentyl-1-phenyldibenzothiophene (3ea)

A yellow oil; IR (CH₂Cl₂) 2853, 1101, 741, 701 cm⁻¹; ¹H NMR δ 0.94 (t, *J* = 7.1 Hz, 3H), 1.39-1.50 (m, 4H), 1.79-1.86 (m, 2H), 2.89 (t, *J* = 8.1 Hz, 2H), 3.49 (s, 3H), 3.91 (s, 3H), 6.67 (d, *J* = 8.4 Hz, 1H), 7.04 (ddd, *J* = 1.0, 8.3, 8.3 Hz, 1H), 7.35-7.38 (m, 3H), 7.50-7.51 (m, 3H), 7.83 (d, *J* = 7.9 Hz, 1H); ¹³C NMR δ 13.9, 22.3, 29.2, 32.1, 33.5, 52.0, 52.5, 122.5, 124.2, 125.4, 126.9, 127.7, 128.2, 128.5, 129.3, 130.6, 134.5, 135.2, 135.6, 135.7, 137.8, 140.1, 142.4, 168.3, 168.6; HRMS (ESI positive) m/z calcd for C₂₇H₂₆NaO₄S ([M+Na]⁺): 469.1444. Found: 469.1443.



2,3-Bis(methoxycarbonyl)-1-phenyldibenzothiophene (3fa)

A brown solid; mp 175 °C; IR (CH₂Cl₂) 2851, 1726, 770, 701 cm⁻¹; ¹H NMR δ 3.57 (s, 3H), 3.95 (s, 3H), 6.60 (d, J = 8.3 Hz, 1H), 7.70 (ddd, J = 0.9, 7.7, 7.7 Hz, 1H), 7.37-7.40 (m, 3H), 7.51-7.53 (m, 3H), 7.83 (d, J = 8.0 Hz, 1H), 8.57 (s, 1H); ¹³C NMR δ 52.0, 52.6, 122.6, 124.1, 124.3, 124.6, 125.6, 127.4, 128.5, 128.6, 129.4, 132.5, 134.6, 136.5, 136.7, 136.8, 140.1, 141.3, 165.7, 168.8; HRMS (ESI positive) m/z calcd for C₂₂H₁₆NaO₄S ([M+Na]⁺): 399.0662. Found: 399.0660.



2,3-Bis(methoxycarbonyl)-4-pentyl-1-(trimethylsilyl)dibenzothiophene (3ga)

A yellow oil; IR (CH₂Cl₂) 2859, 1726, 1436, 842, 763 cm⁻¹; ¹H NMR δ 0.46 (s, 9H), 0.92 (t, *J* = 7.2 Hz, 3H), 1.36-1.47 (m, 4H), 1.72-1.79 (m, 2H), 2.94 (t, *J* = 8.2 Hz, 2H), 3.89 (s, 3H), 3.91 (s, 3H), 7.41 (ddd, *J* = 1.1, 7.1, 7.1 Hz, 1H), 7.45 (ddd, *J* = 1.1, 7.2, 7.2 Hz, 1H), 7.84 (d, *J* = 7.5 Hz, 1H), 8.32 (d, *J* = 7.6 Hz, 1H); ¹³C NMR δ 2.2, 14.0, 22.3, 29.1, 32.1, 33.6, 52.5, 52.5, 122.7, 123.3, 127.0, 127.3, 129.3, 134.8, 135.8, 136.5, 136.6, 140.0, 142.3, 142.4, 169.4, 170.0; HRMS (ESI positive) m/z calcd for C₂₄H₃₀NaO₄SSi ([M+Na]⁺): 465.1526. Found: 465.1527.



2,3-Bis(methoxycarbonyl)-4-phenyl-1-(trimethylsilyl)dibenzothiophene (3ha)

A white solid; mp 171 °C; IR (CH₂Cl₂) 2899, 1730, 882, 850, 847 cm⁻¹; ¹H NMR δ 0.52 (s, 9H), 3.58 (s, 3H), 3.91 (s, 3H), 7.43-7.50 (m, 7H), 7.75-7.77 (m, 1H), 8.36-8.38 (m, 1H); ¹³C NMR δ 2.1, 52.3, 52.6, 122.6, 123.4, 127.1, 127.4, 128.6, 128.6, 128.7, 129.7, 135.7, 136.2, 136.3, 136.4, 138.0, 141.1, 142.3, 143.2, 169.0, 169.8; HRMS (ESI positive) m/z calcd for C₂₅H₂₄NaO₄SSi ([M+Na]⁺): 471.1057. Found: 471.1056.



2,3-Bis(methoxycarbonyl)-4-(trimethylsilyl)dibenzothiophene (3la)

A brown oil; IR (CH₂Cl₂) 2850, 1727, 861, 843 cm⁻¹; ¹H NMR δ 0.53 (s, 9H), 3.96 (s, 3H), 3.96 (s, 3H), 7.49-7.54 (m, 2H), 7.88 (dd, J = 1.7, 7.7 Hz, 1H), 8.23 (dd, J = 1.7, 5.8 Hz, 1H), 8.78 (s, 1H); ¹³C NMR δ 0.0, 52.1, 52.1, 121.4, 121.8, 123.7, 123.8, 124.4, 127.2, 131.6, 133.6, 134.7, 138.2, 139.3, 149.7, 166.2, 170.0; HRMS (ESI positive) m/z calcd for C₁₉H₂₀NaO₄SSi ([M+Na]⁺): 395.0744. Found: 395.0745.



2,3-Bis(methoxycarbonyl)-4-phenyldibenzothiophene (3ma)

A yellow solid; mp 128 °C; IR (CH₂Cl₂) 2851, 1727, 741, 701 cm⁻¹; ¹H NMR δ 3.66 (s, 3H), 3.99 (s, 3H), 7.46-7.54 (m, 7H), 7.80 (dd, J = 2.6, 8.3 Hz, 1H), 8.27 (dd, J = 1.9, 6.1 Hz, 1H), 8.80 (s, 1H); ¹³C NMR δ 52.4, 52.7, 122.7, 122.5, 122.8, 124.8, 125.1, 127.8, 128.7, 128.8, 128.9, 132.4, 135.1, 135.2, 135.8, 137.2, 140.6, 145.5, 166.4, 168.9; HRMS (ESI positive) m/z calcd for C₂₂H₁₆NaO₄S ([M+Na]⁺): 399.0662. Found: 399.0662.



2,3-Bis(methoxycarbonyl)-4-pentyldibenzothiophene (3na)

A yellow oil; IR (CH₂Cl₂) 2860, 1725, 1110, 741 cm⁻¹; ¹H NMR δ 0.92 (t, *J* = 7.1 Hz, 3H), 1.36-1.45 (m, 4H), 1.74-1.80 (m, 2H), 2.89 (t, *J* = 8.3 Hz, 2H), 3.97 (s, 3H), 3.99 (s, 3H), 7.50-7.54 (m, 2H), 7.88-7.90 (m, 1H), 8.21-8.23 (m, 1H), 8.66 (s, 1H); ¹³C NMR δ 13.9, 22.2, 28.8, 32.0, 33.3, 52.5, 52.5, 121.3, 122.2, 122.8, 124.7, 124.9, 127.6, 131.9, 134.9, 135.2, 135.7, 139.7, 144.4, 166.4, 169.6; HRMS (ESI positive) m/z calcd for C₂₁H₂₂NaO₄S ([M+Na]⁺): 393.1131. Found: 393.1131.



2,3-Bis(hydroxymethyl)-1-phenyl-4-(trimethylsilyl)dibenzothiophene (3ab)

A white solid; mp 181 °C; IR (CH₂Cl₂) 3341, 2853, 840, 736, 703 cm⁻¹; ¹H NMR δ 0.67 (s, 9H), 2.96 (brs, 1H), 3.44 (brs, 1H), 4.65 (s, 2H), 5.08 (s, 2H), 6.39 (d, *J* = 8.3 Hz, 1H), 6.96 (ddd, *J* = 1.1, 7.8, 7.8 Hz, 1H), 7.29 (ddd, *J* = 1.1, 7.5, 7.5 Hz, 1H), 7.32-7.34 (m, 2H), 7.55-7.56 (m, 3H), 7.78 (d, *J* = 7.7 Hz, 1H); ¹³C NMR δ 3.1, 60.0, 62.8, 121.8, 123.6, 124.8, 125.9, 128.0, 129.1, 129.2, 132.8, 133.8, 134.8, 134.8,

139.4, 139.7, 140.6, 144.2, 146.4; HRMS (ESI positive) m/z calcd for C₂₃H₂₄NaO₂SSi ([M+Na]⁺): 415.1158. Found: 415.1157.



2,3-Bis(4-methoxyphenyl)-1-phenyl-4-(trimethylsilyl)dibenzothiophene (3ac)

A brown solid; mp 210 °C; IR (CH₂Cl₂) 2853, 1245, 756, 734 cm⁻¹; ¹H NMR δ 0.18 (s, 9H), 3.61 (s, 3H), 3.74 (s, 3H), 6.39 (d, J = 8.2 Hz, 2H), 6.49 (d, J = 8.2 Hz, 1H), 6.63 (ddd, J = 0.6, 8.9, 8.9 Hz, 1H), 6.92 (d, J = 8.7 Hz, 2H), 6.96 (ddd, J = 1.1, 7.2, 7.2 Hz, 1H), 7.12 (dd, J = 1.7, 7.9 Hz, 2H), 7.22-7.26 (m, 3H), 7.29 (ddd, J = 0.9, 7.6, 7.6 Hz, 1H), 7.82 (d, 7.7 Hz, 1H); ¹³C NMR δ 2.6, 55.9, 56.2, 113.0, 113.4, 122.8, 124.5, 126.0, 126.7, 127.8, 129.3, 131.0, 133.0, 133.2, 133.3, 133.4, 136.4, 136.7, 139.6, 140.0, 140.7, 141.3, 146.4, 147.9, 157.9, 159.2 (a pair of peaks at the aromatic region was overlapped); HRMS (ESI positive) m/z calcd for C₃₅H₃₂NaO₂SSi ([M+Na]⁺): 567.1784. Found: 567.1785.



1,2-Diphenyl-4-(trimethylsilyl)dibenzothiophene (3ad)

NOESY correlation was observed between TMS and C-H on dibenzothiophene ring (Figure 4).

A white solid; mp 160 °C; IR (CH₂Cl₂) 2853, 884, 839, 743, 699 cm⁻¹; ¹H NMR δ 0.53 (s, 9H), 6.67 (d, *J* = 8.3 Hz, 1H), 6.99 (ddd, *J* = 1.1, 7.7, 7.7 Hz, 1H), 7.13-7.18 (m, 5H), 7.21-7.23 (m, 2H), 7.29-7.34 (m, 4H) 7.60 (s, 1H), 7.82 (d, *J* = 7.9 Hz, 1H); ¹³C NMR δ 0.0, 123.3, 124.7, 126.3, 126.9, 127.2, 128.4, 128.5, 129.5, 131.3, 133.7, 133.8, 135.3, 136.7, 138.9, 139.2, 140.6, 141.0, 142.6, 145.7 (a pair of peaks at the aromatic region was overlapped); HRMS (ESI positive) m/z calcd for C₂₇H₂₄NaSSi ([M+Na]⁺): 431.1260. Found: 431.1262.



1,3-Diphenyl-4-(trimethylsilyl)dibenzothiophene (4ad)

NOESY correlation was observed between TMS and C-H on benzene ring (Figure 4).

A white solid; mp 214 °C; IR (CH₂Cl₂) 2850, 839, 742, 701, 699 cm⁻¹; ¹H NMR δ 0.21 (s, 9H), 7.05 (ddd, J = 1.1, 7.6, 7.6 Hz, 1H), 7.14-7.16 (m, 2H), 7.32 (ddd, J = 1.1, 7.6, 7.6 Hz, 1H), 7.33-7.37 (m, 5H), 7.48-7.49 (m, 5H), 7.83 (d, J = 7.9 Hz, 1H); ¹³C NMR δ 1.4, 121.9, 123.5, 124.8, 125.9, 127.2, 127.7, 128.6, 129.0, 129.4, 129.6, 130.6, 131.0, 134.7, 139.0, 139.4, 141.1, 144.5, 147.1, 147.5 (a pair of peaks at the aromatic region was overlapped); HRMS (ESI positive) m/z calcd for C₂₇H₂₅SSi ([M+H]⁺): 409.1441. Found: 409.1438.



Figure 4. NOESY experiment on 3ad and 4ad



2-(Methoxycarbonyl)-1,3-diphenyl-4-(trimethylsilyl)dibenzothiophene (3ae)

NOESY correlation was observed between TMS and C-H on benzene ring, which is not observed in regioisomer (Figure 5).

A white solid; mp 174 °C; IR (CH₂Cl₂) 2852, 1733, 884, 840, 763, 701 cm⁻¹; ¹H NMR δ 0.17 (s, 9H), 3.09 (s, 3H), 6.60 (d, J = 8.3 Hz, 1H), 7.02 (ddd, J = 1.1, 7.7, 7.7 Hz, 1H), 7.32-7.34 (m, 6H), 7.41-7.43 (m, 2H), 7.47-7.49 (m, 3H) 7.82 (d, J = 7.9 Hz, 1H); ¹³C NMR δ 1.2, 51.2, 121.9, 123.7, 124.9, 126.2, 127.4, 127.7, 128.2, 128.6, 129.3, 130.3, 131.3, 132.1, 133.3, 134.7, 135.7, 138.1, 139.6, 141.0, 143.3, 147.2, 169.3; HRMS (ESI positive) m/z calcd for C₂₉H₂₆NaO₂SSi ([M+Na]⁺): 489.1315. Found: 489.1316.



Figure 5. NOESY experiment on 3ea and the structure of regioisomer 4ae



2,3-Bis(methoxycarbonyl)-4-pentyl-1-phenyldibenzothiophene-5-oxide (6a)

A yellow oil; IR (CH₂Cl₂) 2925, 2853, 1737, 1436, 1038, 702 cm⁻¹; ¹H NMR δ 0.93 (t, J = 7.3 Hz, 3H), 1.37-1.52 (m, 4H), 1.74-1.90 (m, 2H), 3.17-3.23 (m, 1H), 3.37-3.43 (m, 1H), 3.48 (s, 3H), 3.90 (s, 3H), 6.35 (d, J = 8.0 Hz, 1H), 7.18 (ddd, J = 1.0, 8.2, 8.2 Hz, 1H), 7.26-7.28 (m, 1H), 7.31-7.33 (m, 1H), 7.42 (t, J = 7.6 Hz, 1H), 7.47-7.52 (m, 3H), 7.94 (d, J = 7.7 Hz, 1H); ¹³C NMR δ 14.0, 22.4, 31.2, 31.4, 32.2, 52.4, 52.9, 126.0, 127.4, 128.9, 129.0, 129.1, 129.2, 129.9, 131.5, 132.4, 135.3, 136.2, 136.3, 137.2, 139.0, 143.1, 145.3, 145.9, 167.1, 167.5; HRMS (ESI positive) m/z calcd for C₂₇H₂₆NaO₅S ([M+Na]⁺): 485.1393. Found: 485.1395.



4-Pentyl-1,2,3-triphenyldibenzothiophene-5-oxide (6f)

A white solid; mp 150 °C; IR (CH₂Cl₂) 2926, 1465, 1442, 1033 cm⁻¹; ¹H NMR δ 0.77 (t, *J* = 7.1 Hz, 3H), 1.15-1.25 (m, 4H), 1.61-1.67 (m, 2H), 2.79-2.85 (m, 1H), 3.10-3.16 (m, 1H), 6.23 (d, *J* = 7.9 Hz, 1H), 6.71-6.75 (m, 2H), 6.79-6.87 (m, 3H), 7.01-7.03 (m, 1H), 7.06-7.18 (m, 7H), 7.20-7.25 (m, 3H), 7.35 (dd, *J* = 0.5, 7.5, 7.5 Hz, 1H), 7.83 (dd, *J* = 0.6, 7.7 Hz, 1H); ¹³C NMR δ 13.9, 22.1, 30.7, 31.3, 32.1, 125.5, 125.9, 126.7, 126.8, 126.8, 127.3, 127.5, 127.5, 127.6, 128.4, 128.6, 128.7, 130.0, 130.0, 130.2, 130.5, 130.6, 132.0, 134.3, 136.8, 138.0, 138.6, 138.6, 138.9, 142.2, 143.0, 143.1, 145.1, 146.9; HRMS (ESI positive) m/z calcd for C₃₅H₃₀NaOS ([M+Na]⁺): 521.1910. Found: 521.1915.



2,3-Bis(methoxycarbonyl)-4-pentyldibenzothiophene-5,5-dioxide (8)

A white solid; mp 140 °C; IR (CH₂Cl₂) 1733, 1151, 1101, 819 cm⁻¹; ¹H NMR δ 0.93 (t, J = 7.2 Hz, 3H), 1.36-1.49 (m, 4H), 1.76-1.82 (m, 2H), 3.00 (t, J = 8.5 Hz, 2H), 3.96 (s, 3H), 3.97 (s, 3H), 7.57 (dd, J = 7.6, 7.6 Hz, 1H), 7.66 (dd, J = 7.5, 7.5 Hz, 1H), 7.81 (d, J = 7.8 Hz, 1H), 7.83 (d, J = 7.9 Hz, 1H), 8.19 (s, 1H); ¹³C NMR δ 14.9, 23.2, 30.9, 32.0, 33.2, 53.9, 54.2, 121.6, 123.1, 123.1, 130.8, 132.2, 133.8, 134.3,

135.2, 138.5, 139.1, 140.4, 140.6, 165.9, 168.7; HRMS (ESI positive) m/z calcd for C₂₁H₂₂NaO₆S ([M+Na]⁺): 425.1029. Found: 425.1028.



2,2',3,3'-Tetrakis(methoxycarbonyl)-4,4'-dipentyl-1,1'-bidibenzothiophene (10)

A brown solid; mp 115 °C; IR (CH₂Cl₂) 2857, 1736, 1116, 739 cm⁻¹; ¹H NMR δ 0.97 (t, J = 7.2 Hz, 6H), 1.43-1.54 (m, 8H), 1.87-1.96 (m, 4H), 3.09-3.15 (m, 2H), 3.21-3.27 (m, 2H), 3.39 (s, 6H), 3.88 (s, 6H), 6.30 (d, J = 8.3 Hz, 2H), 6.88 (ddd, J = 1.1, 7.8, 7.8 Hz, 2H), 7.25 (ddd, J = 1.1, 7.6, 7.6 Hz, 2H), 7.75 (d, J = 7.9 Hz, 2H); ¹³C NMR δ 14.0, 22.4, 29.3, 31.9, 33.6, 52.0, 52.5, 122.4, 124.4, 124.8, 127.0, 129.2, 129.4, 131.5, 135.0, 135.2, 136.5, 139.9, 143.3, 167.2, 168.2; HRMS (ESI positive) m/z calcd for C₄₂H₄₂NaO₈S₂ ([M+Na]⁺): 761.2213. Found: 761.2205. [α]²⁶_D 6.3 (*c* 0.2, CHCl3, 82% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4 x 250 mm, 254 nm UV detector, rt, eluent: 1% 2-propanol in hexane, flow rate: 1.0 mL/min, retention time: 26.3 min for major isomer and 22.1 min for minor isomer).



2,2',3,3'-Tetrakis(methoxycarbonyl)-4,4'-dipentyl-1,1'-bidibenzothiophene-5,5,5',5'-tetraoxide (12) A brown solid; mp 204 °C; IR (CH₂Cl₂) 1739, 1310, 1162, 739 cm⁻¹; ¹H NMR δ 0.96 (t, *J* = 7.2 Hz, 6H), 1.39-1.53 (m, 8H), 1.82-1.87 (m, 4H), 3.22-3.31 (m, 2H), 3.33-3.37 (m, 2H), 3.51 (s, 6H), 3.89 (s, 6H), 6.42 (d, *J* = 8.1 Hz, 2H), 7.31 (ddd, *J* = 1.2, 7.8, 7.8 Hz, 2H), 7.64 (ddd, *J* = 0.8, 7.6, 7.6 Hz, 2H), 7.79 (d, *J* = 7.1 Hz, 2H); ¹³C NMR δ 15.0, 23.3, 30.8, 32.3, 33.1, 53.9, 54.1, 123.2, 125.1, 130.1, 130.2, 132.3, 133.9, 135.7, 136.2, 139.1, 139.2, 140.1, 142.7, 166.4, 167.1; HRMS (ESI positive) m/z calcd for C₄₂H₄₂NaO₁₂S₂ ([M+Na]⁺): 825.2010. Found: 825.2002. [α]²⁶_D -52.1 (*c* 0.5, CHCl3, 97% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4 x 250 mm, 254 nm UV detector, rt, eluent: 10% 2-propanol in hexane, flow rate: 1.0 mL/min, retention time: 17.7 min for major isomer and 21.7 min for minor isomer). The crystal data of **12**: C₄₂H₄₂O₁₂S₂, *M*=802.91, triclinic, space group P1 (#1), a = 9.4846(3) Å, b = 11.7163(3) Å, c = 18.1232(5) Å, α = 82.456(2) °, β = 80.977(2) °, γ = 85.287(2) °, V = 1967.89(9) Å³, *T*=173 K, *Z*=2, μ (Cu K α) 17.688 cm⁻¹; number of reflections measured:

total 23163 and unique 11998, *R1*=0.0552, *wR2*=0.1385, Flack parameter (Friedel pairs = 4945) 0.030(13). CCDC 1010885.



4-Iodo-2,3-bis(methoxycarbonyl)-1-phenyldibenzothiophene (13)

A chloroform solution (0.25 mL) of 2,3-bis(methoxycarbonyl)-1-phenyl-4-trimethylsilyldibenzothiophene (**3aa**) (22.4 mg, 0.05 mmol) and ICl (9.0 mg, 0.06 mmol) was stirred under reflux for 6 h. The resulting mixture was concentrated under reduced pressure. Diethyl ether was added and the solution was treated with sat. Na₂S₂O₃. The almost colourless organic phase was separated. The solvent was removed in vacuo to afford crude products which were purified by flash column chromatography on silica gel to give 4-iodo-2,3-bis(methoxycarbonyl)-1-phenyldibenzothiophene (**13**) (18 mg, 72 %): a white solid; mp 172 °C; IR (CH₂Cl₂) 2850, 1735, 1206, 701 cm⁻¹; ¹H NMR δ 3.49 (s, 3H), 3.97 (s, 3H), 6.61 (d, *J* = 8.4 Hz, 1H), 7.07 (dt, *J*_d = 1.0 Hz, *J*_t = 7.7 Hz, 1H), 7.34-7.36 (m, 2H), 7.40 (ddd, *J* = 0.8, 7.2, 7.2 Hz, 1H), 7.49-7.55 (m, 3H), 7.84 (d, *J* = 8.0 Hz, 1H); ¹³C NMR δ 51.8, 52.5, 86.7, 122.0, 124.1, 125.8, 126.9, 128.1, 128.3, 128.5, 129.7, 133.2, 134.6, 135.8, 136.7, 137.0, 139.3, 149.4, 166.6, 167.3; HRMS (ESI positive) m/z calcd for C₂₂H₁₅INaO₄S ([M+Na]⁺): 524.9628. Found: 524.9628.



Hept-1-yn-1-yl(phenyl)(2-(phenylethynyl)phenyl)phosphine oxide (14a)

A pale yellow oil; IR (CH₂Cl₂) 2924, 2193, 1438, 1201, 758, 691 cm⁻¹; ¹H NMR δ 0.80 (t, *J* = 7.3 Hz, 3H), 1.17-1.30 (m, 4H), 1.45-1.50 (m, 2H), 2.34 (dt, *J*_d = 3.6 Hz, *J*_t = 7.2 Hz, 2H), 7.22-7.61 (m, 11H), 7.81-7.85 (m, 2H), 8.26-8.31 (m, 1H); ¹³C NMR δ 13.9, 19.9, 19.9, 22.1, 27.3, 27.3, 31.1, 73.8, 75.2, 87.7, 87.7, 97.5, 109.2, 109.5, 122.8, 125.6, 125.6, 128.0, 128.1, 128.3, 128.3, 128.4, 128.7, 131.0, 131.1, 131.4, 131.9, 131.9, 132.0, 132.0, 132.7, 133.1, 133.4, 133.4, 133.6, 133.7, 133.7, 134.1; ³¹P NMR δ 5.9; HRMS (ESI positive) m/z calcd for C₂₇H₂₅NaOP ([M+Na]⁺): 419.1535. Found: 419.1527.



(2-(Hept-1-yn-1-yl)phenyl)(phenyl)(phenylethynyl)phosphine oxide (14b)

A yellow oil; IR (CH₂Cl₂) 2924, 2175, 1436, 846, 691, 436 cm⁻¹; ¹H NMR δ 0.80-0.82 (m, 3H), 1.17-1.18 (m, 4H), 1.28-1.30 (m, 2H), 2.12 (t, *J* = 7.2 Hz, 2H), 7.35-7.60 (m, 11H), 7.84-7.88 (m, 2H), 8.26-8.31 (m, 1H); ¹³C NMR δ 13.8, 19.6, 22.1, 27.7, 31.1, 78.7, 78.8, 82.0, 83.4, 100.0, 104.3, 104.5, 120.4, 120.4, 126.5, 126.6, 127.3, 127.4, 128.2, 128.3, 128.5, 130.4, 130.8, 130.9, 131.8, 131.9, 132.1, 132.5, 132.9, 132.9, 133.2, 133.2, 133.6, 133.7, 133.9; ³¹P NMR δ 7.3; HRMS (ESI positive) m/z calcd for C₂₇H₂₅NaOP ([M+Na]⁺): 419.1535. Found: 419.1533.



Phenyl(phenylethynyl)(2-(phenylethynyl)phenyl)phosphine oxide (14c)

A pale yellow oil; IR (CH₂Cl₂) 2922, 2359, 1739, 1202, 690, 407 cm⁻¹; ¹H NMR δ 7.04-7.17 (m, 4H), 7.20-7.45 (m, 13H), 7.75-7.78 (m, 1H), 7.82-7.85 (m, 1H); ¹³C NMR δ 81.9, 83.3, 87.6, 87.7, 88.9, 88.9, 97.2, 97.8, 120.2, 120.2, 122.3, 122.6, 122.7, 125.6, 125.7, 127.6, 128.1, 128.2, 128.2, 128.3, 128.4, 128.4, 128.5, 128.7, 128.7, 129.1, 129.2, 129.2, 130.4, 131.0, 131.0, 131.1, 131.4, 131.5, 131.9, 132.1, 132.2, 132.2, 132.6, 133.2, 133.3, 133.4, 133.4, 133.5, 133.6, 133.7, 133.7, 133.8, 133.8, 134.2, 134.6, 136.3; ³¹P NMR δ 6.7; HRMS (ESI positive) m/z calcd for C₂₈H₁₉NaOP ([M+Na]⁺): 425.1066. Found: 425.1064.



Hept-1-yn-1-yl(phenyl)(2-(4-tolylethynyl)phenyl)phosphine oxide (14d)

A pale yellow oil; IR (CH₂Cl₂) 2924, 2852, 2194, 1465, 1200, 538 cm⁻¹; ¹H NMR δ 0.81 (t, *J* = 7.1 Hz, 3H), 1.16-1.31 (m, 4H), 1.45-1.51 (m, 2H), 2.32-2.35 (m, 5H), 7.08-7.13 (m, 4H), 7.37-7.41 (m, 4H), 7.46-7.60 (m, 2H), 7.81-7.85 (m, 2H), 8.26-8.30 (m, 1H); ¹³C NMR δ 13.9, 14.2, 19.9, 19.9, 21.6, 22.1, 27.3, 27.3, 29.8, 31.1, 68.1, 73.2, 80.3, 97.9, 119.8, 125.8, 127.0, 127.7, 127.9, 128.0, 128.2, 128.3, 128.4, 128.8, 129.0, 129.8, 130.6, 131.0, 131.1, 131.3, 131.9, 131.9, 132.0, 132.0, 133.3, 133.4, 133.6, 130.6, 130.

134.1, 135.5, 138.9; ³¹P NMR δ 5.9; HRMS (ESI positive) m/z calcd for C₂₈H₂₇NaOP ([M+Na]⁺): 433.1692. Found: 433.1692.



Hept-1-yn-1-yl(2-(4-methoxyphenylethynyl)phenyl)(phenyl)phosphine oxide (14e)

A pale yellow oil; IR (CH₂Cl₂) 2927, 2193, 1605, 1511, 1251, 1200 cm⁻¹; ¹H NMR δ 0.81 (t, *J* = 7.2 Hz, 3H), 1.19-1.50 (m, 6H), 2.33 (dt, *J*_d = 3.5 Hz, *J*_t = 7.2 Hz, 2H), 3.81 (s, 3H), 6.80-6.82 (m, 2H), 7.15-7.18 (m, 2H), 7.38-7.58 (m, 6H), 7.80-7.85 (m, 2H), 8.24-8.29 (m, 1H); ¹³C NMR δ 13.9, 19.9, 19.9, 22.1, 27.3, 27.3, 31.1, 55.4, 55.4, 55.4, 55.4, 55.4, 73.8, 75.3, 86.6, 86.6, 97.8, 109.1, 109.3, 113.9, 114.0, 115.0, 126.0, 126.0, 127.7, 127.8, 128.3, 128.4, 131.0, 131.1, 131.9, 131.9, 132.0, 132.0, 132.4, 132.9, 133.1, 133.2, 133.3, 133.4, 133.4, 133.5, 134.2, 160.0; ³¹P NMR δ 6.1; HRMS (ESI positive) m/z calcd for C₂₈H₂₇NaO₂P ([M+Na]⁺): 449.1641. Found: 449.1641.



(2-(4-Chlorophenylethynyl)phenyl)(hept-1-yn-1-yl)(phenyl)phosphine oxide (14f)

A yellow oil; IR (CH₂Cl₂) 2928, 2193, 1583, 1491, 1201, 693, 535 cm⁻¹; ¹H NMR δ 0.82 (t, *J* = 7.1 Hz, 3H), 1.17-1.32 (m, 4H), 1.46-1.52 (m, 2H), 2.34 (dt, *J*_d = 3.5 Hz, *J*_t = 6.9 Hz, 2H), 7.13-7.16 (m, 2H), 7.25-7.27 (m, 2H), 7.38-7.42 (m, 2H), 7.47-7.61 (m, 4H), 7.78-7.83 (m, 2H), 8.22-8.27 (m, 1H); ¹³C NMR δ 13.8, 19.8, 19.8, 22.0, 27.2, 30.9, 73.7, 88.5, 88.5, 96.2, 109.2, 109.5, 121.2, 125.1, 125.2, 128.1, 128.2, 128.3, 128.5, 130.9, 131.0, 131.9, 131.9, 132.0, 132.0, 132.5, 132.7, 132.9, 133.3, 133.4, 133.5, 133.5, 133.7, 133.9, 134.7; ³¹P NMR δ 6.0; HRMS (ESI positive) m/z calcd for C₂₇H₂₄ClNaOP ([M+Na]⁺): 453.1146. Found: 453.1139.



Hept-1-yn-1-yl(phenyl)(2-(4-phenylphenylethynyl)phenyl)phosphine oxide (14g)

A pale yellow oil; IR (CH₂Cl₂) 2928, 2193, 1488, 1200, 764, 697, 529 cm⁻¹; ¹H NMR δ 0.79 (t, *J* = 7.4 Hz, 3H), 1.15-1.32 (m, 4H), 1.47-1.53 (m, 2H), 2.36 (dt, *J*_d = 3.7 Hz, *J*_t = 7.2 Hz, 2H), 7.29-7.30 (m, 2H), 7.36-7.63 (m, 13H), 7.83-7.88 (m, 2H), 8.26-8.31 (m, 1H); ¹³C NMR δ 13.8, 19.8, 19.9, 22.0, 27.3, 31.0, 73.8, 75.2, 88.4, 97.4, 109.2, 109.4, 121.6, 126.9, 127.0, 127.8, 128.0, 128.1, 128.3, 128.4, 128.9, 131.0, 131.1, 131.8, 131.9, 131.9, 132.0, 132.0, 132.6, 133.1, 133.3, 133.4, 133.6, 133.6, 133.6, 140.2, 141.4; ³¹P NMR δ 6.0; HRMS (ESI positive) m/z calcd for C₃₃H₂₉NaOP ([M+Na]⁺): 495.1848. Found: 495.1852.



Hept-1-yn-1-yl(phenyl)(2-(thiophen-2-ylethynyl)phenyl)phosphine oxide (14h)

A pale yellow oil; IR (CH₂Cl₂) 2923, 2360, 2192, 1738, 1200, 694, 526 cm⁻¹; ¹H NMR δ 0.82 (t, *J* = 6.9 Hz, 3H), 1.19-1.26 (m, 2H), 1.28-1.34 (m, 2H), 1.48-1.56 (m, 2H), 2.38 (dt, *J*_d = 3.5 Hz, *J*_t = 7.2 Hz, 2H), 6.96-6.98 (m, 1H), 7.05-7.06 (m, 1H), 7.29-7.30 (m, 1H), 7.39-7.58 (m, 6H), 7.82-7.87 (m, 2H), 8.29-8.34 (m, 1H); ¹³C NMR δ 13.8, 19.9, 19.9, 22.0, 27.2, 31.0, 73.4, 74.8, 90.9, 91.2, 91.3, 109.4, 109.7, 122.7, 125.0, 125.1, 127.1, 127.3, 127.9, 128.1, 128.2, 128.3, 128.4, 128.4, 128.6, 130.9, 131.0, 131.0, 131.1, 131.9, 131.9, 132.1, 132.2, 132.6, 132.7, 132.9, 133.2, 133.3, 133.4, 133.6, 133.9; ³¹P NMR δ 5.6; HRMS (ESI positive) m/z calcd for C₂₅H₂₃NaOPS ([M+Na]⁺): 425.1099. Found: 425.1098.



(2-(Dibenzo[b,d]thiophen-2-yl-ethynyl)phenyl)(hept-1-yn-1-yl)phenylphosphine oxide (14i)

A pale yellow oil; IR (CH₂Cl₂) 2925, 2193, 1474, 1200, 764, 529 cm⁻¹; ¹H NMR δ 0.71 (t, *J* = 7.3 Hz, 3H), 1.07-1.14 (m, 2H), 1.20-1.26 (m, 2H), 1.43-1.49 (m, 2H), 2.31-2.35 (m, 2H), 7.31 (dd, *J* = 1.5, 8.3 Hz, 1H), 7.42-7.46 (m, 2H), 7.48-7.61 (m, 5H), 7.64-7.67 (m, 1H), 7.76 (d, *J* = 8.7 Hz, 1H), 7.84-7.90 (m, 3H), 7.94 (d, *J* = 1.0 Hz, 1H), 8.09-8.13 (m, 1H), 8.28-8.33 (m, 1H); ¹³C NMR δ 13.8, 19.9, 19.9, 22.0, 27.3, 27.4, 31.0, 73.9, 75.4, 87.7, 87.8, 97.8, 109.3, 109.5, 118.9, 121.7, 122.7, 123.0, 124.7, 124.8, 125.6, 125.7, 127.3, 128.1, 128.2, 128.4, 128.5, 129.4, 131.1, 131.1, 132.0, 132.0, 132.1, 132.1, 132.6, 133.3, 133.5, 133.5, 133.6, 133.6, 134.3, 134.9, 135.6, 139.8, 140.0; ³¹P NMR δ 6.0; HRMS (ESI positive) m/z

calcd for C₃₃H₂₇NaOPS ([M+Na]⁺): 525.1412. Found: 525.1414.



Hept-1-yn-1-yl-bis(2-(4-tolylethynyl)phenyl)phosphine oxide (17)

A yellow solid; mp 127 °C; IR (CH₂Cl₂) 2954, 2925, 2193, 1510, 1202, 817 cm⁻¹; ¹H NMR δ 0.77 (t, *J* = 7.0 Hz, 3H), 1.10-1.28 (m, 4H), 1.40-1.48 (m, 2H), 2.31 (dt, *J*_d = 3.6 Hz, *J*_t = 7.0 Hz, 2H), 2.35 (s, 6H), 7.08 (d, *J* = 8.1 Hz, 4H), 7.15 (d, *J* = 8.1 Hz, 4H), 7.28-7.32 (m, 2H), 7.41-7.45 (m, 2H), 7.53-7.56 (m, 2H), 8.23-8.28 (m, 2H); ¹³C NMR δ 13.8, 19.9, 20.0, 21.6, 22.1, 27.3, 27.3, 31.1, 74.1, 75.6, 87.0, 87.0, 97.2, 108.7, 109.0, 119.9, 125.3, 125.4, 127.5, 127.6, 128.9, 131.5, 131.5, 131.6, 133.2, 133.5, 133.6, 133.8, 133.9, 134.2, 138.7; ³¹P NMR δ 4.9; HRMS (ESI positive) m/z calcd for C₃₇H₃₃NaOP ([M+Na]⁺): 547.2161. Found: 547.2162.



Dimethyl 4-pentyl-1,5-diphenyl-5*H*-dibenzo[*b*,*d*]phosphole-2,3-dicarboxylate 5-oxide (15aa)

A pale yellow solid; mp 68 °C; IR (CH₂Cl₂) 2925, 1737, 1437, 1216, 1206, 704, 550 cm⁻¹; ¹H NMR δ 0.76-0.90 (m, 4H), 1.07-1.22 (m, 4H), 1.44-1.52 (m, 1H), 2.93-3.06 (m, 2H), 3.46 (s, 3H), 3.83 (s, 3H), 6.38-6.40 (m, 1H), 7.12-7.15 (m, 1H), 7.24-7.28 (m, 1H), 7.30-7.32 (m, 1H), 7.37-7.40 (m, 1H), 7.41-7.44 (m, 2H), 7.50-7.54 (m, 4H), 7.60-7.69 (m, 3H); ¹³C NMR δ 5.0, 13.8, 22.2, 31.0, 32.1, 50.5, 52.2, 52.6, 52.6, 125.8, 128.7, 128.8, 128.9, 129.1, 129.2, 129.4, 129.6, 129.6, 129.7, 129.9, 130.7, 131.2, 131.3, 132.3, 132.3, 133.0, 133.1, 133.9, 134.6, 134.8, 136.1, 137.1, 140.0, 140.5, 140.7, 145.6, 167.4, 167.8; ³¹P NMR δ 31.8; HRMS (ESI positive) m/z calcd for C₃₃H₃₁NaO₅P ([M+Na]⁺): 561.1801. Found: 561.1801.



Dimethyl 1,4,5-triphenyl-5*H*-dibenzo[*b*,*d*]phosphole-2,3-dicarboxylate 5-oxide (15ca)

A white solid; mp 223 °C; IR (CH₂Cl₂) 2920, 2365, 1740, 1238, 700, 528 cm⁻¹; ¹H NMR δ 3.41 (s, 3H),

3.49 (s, 3H), 6.43-6.46 (m, 2H), 6.92-6.95 (m, 1H), 7.00-7.04 (m, 2H), 7.13-7.18 (m, 3H), 7.26-7.38 (m, 4H), 7.44-7.47 (m, 1H), 7.53-7.61 (m, 5H), 7.81-7.83 (m, 1H); ¹³C NMR δ 52.3, 52.4, 66.2, 115.0, 116.1, 116.4, 119.0, 121.5, 126.0, 127.1, 127.3, 127.8, 127.9, 127.9, 127.9, 128.0, 128.1, 128.2, 128.2, 128.8, 128.8, 128.8, 128.9, 129.0, 129.3, 129.6, 129.7, 129.8, 130.8, 130.8, 130.9, 131.1, 131.2, 131.8, 131.9, 132.4, 133.0, 134.6, 135.7, 135.7, 137.0, 137.7, 154.4, 160.2; ³¹P NMR δ 31.3; HRMS (ESI positive) m/z calcd for C₃₄H₂₅NaO₅P ([M+Na]⁺): 567.1332. Found: 567.1329.



Dimethyl 4-pentyl-5-phenyl-1-(4-tolyl)-5*H***-dibenzo[***b,d***]phosphole-2,3-dicarboxylate 5-oxide (15da) A pale brown solid; mp 63 °C; IR (CH₂Cl₂) 2925, 1736, 1437, 1244, 1207, 731 cm⁻¹; ¹H NMR \delta 0.77 (t,** *J* **= 7.0 Hz, 3H), 0.81-0.89 (m, 1H), 1.08-1.21 (m, 4H), 1.42-1.51 (m, 1H), 2.48 (s, 3H), 2.93-3.05 (m, 2H), 3.49 (s, 3H), 3.83 (s, 3H), 6.46-6.48 (m, 1H), 7.14-7.18 (m, 2H), 7.25-7.31 (m, 4H), 7.40-7.44 (m, 2H), 7.51-7.54 (m, 1H), 7.60-7.68 (m, 3H); ¹³C NMR \delta 13.8, 21.5, 22.2, 31.0, 32.1, 32.2, 32.3, 40.2, 52.2, 52.6, 125.8, 125.9, 127.0, 128.7, 128.8, 129.0, 129.2, 129.5, 129.5, 129.5, 129.6, 129.8, 130.0, 131.0, 131.2, 131.3, 132.3, 132.3, 133.0, 133.9, 133.9, 134.7, 134.7, 138.4, 140.2, 140.2, 140.8, 141.8, 142.0, 143.0, 145.3, 145.4, 167.4, 167.8; ³¹P NMR \delta 31.7; HRMS (ESI positive) m/z calcd for C₃₄H₃₃NaO₅P ([M+Na]⁺): 575.1958. Found: 575.1956.**



Dimethyl 1-(4-methoxyphenyl)-4-pentyl-5-phenyl-5*H*-dibenzo[*b*,*d*]phosphole-2,3-dicarboxylate 5-oxide (15ea)

A white solid; mp 102 °C; IR (CH₂Cl₂) 2925, 1736, 1610, 1247, 1205, 733 cm⁻¹; ¹H NMR δ 0.77 (t, J = 7.0 Hz, 3H), 0.83-0.89 (m, 1H), 1.08-1.22 (m, 4H), 1.43-1.50 (m, 1H), 2.93-3.05 (m, 2H), 3.51 (s, 3H), 3.83 (s, 3H), 3.92 (s, 3H), 6.51 (dd, J = 3.1, 8.2 Hz, 1H), 7.02-7.05 (m, 2H), 7.16-7.22 (m, 2H), 7.25-7.30 (m, 2H), 7.40-7.44 (m, 2H), 7.51-7.54 (m, 1H), 7.60-7.68 (m, 3H); ¹³C NMR δ 13.9, 22.2, 31.1, 32.1, 32.3, 52.3, 52.6, 55.6, 55.3, 114.3, 114.5, 125.8, 125.9, 128.8, 128.8, 128.9, 129.1, 129.6, 129.6, 129.7,

129.7, 130.5, 130.6, 130.8, 131.0, 131.3, 131.3, 132.3, 132.4, 133.1, 133.1, 134.7, 134.9, 142.2, 142.3, 144.5, 145.4, 159.8, 168.0; ³¹P NMR δ 31.8; HRMS (ESI positive) m/z calcd for C₃₄H₃₃NaO₆P ([M+Na]⁺): 591.1907. Found: 591.1904.



Dimethyl 1-(4-chlorophenyl)-4-pentyl-5-phenyl-5*H*-dibenzo[*b*,*d*]phosphole-2,3-dicarboxylate 5-oxide (15fa)

A pale yellow solid; mp 151 °C; IR (CH₂Cl₂) 2955, 1734, 1444, 1229, 986, 737 cm⁻¹; ¹H NMR δ 0.78 (t, *J* = 6.9 Hz, 3H), 0.81-0.88 (m, 1H), 1.08-1.22 (m, 4H), 1.43-1.49 (m, 1H), 2.93-3.05 (m, 2H), 3.51 (s, 3H), 3.83 (s, 3H), 6.47 (dd, *J* = 3.3, 8.0 Hz, 1H), 7.19-7.22 (m, 1H), 7.25-7.35 (m, 3H), 7.41-7.45 (m, 2H), 7.49-7.55 (m, 3H), 7.62-7.68 (m, 3H); ¹³C NMR δ 13.9, 22.2, 31.0, 32.1, 36.9, 53.5, 69.4, 69.6, 105.9, 109.3, 109.3, 109.7, 115.6, 120.8, 122.1, 125.3, 125.6, 127.5, 127.6, 128.9, 129.0, 129.2, 129.4, 129.9, 129.9, 130.1, 131.0, 131.3, 131.3, 131.6, 132.4, 132.7, 133.9, 134.9, 136.1, 165.2, 165.2; ³¹P NMR δ 31.6; HRMS (ESI positive) m/z calcd for C₃₃H₃₀ClNaO₅P ([M+Na]⁺): 595.1412 . Found: 595.1407.



Dimethyl 4-pentyl-5-phenyl-1-(4-phenylphenyl)-5*H*-dibenzo[*b*,*d*]phosphole-2,3-dicarboxylate 5-oxide (15ga)

A white solid; mp 146 °C; IR (CH₂Cl₂) 2924, 1737, 1216, 1205, 694, 506 cm⁻¹; ¹H NMR δ 0.78 (t, *J* = 6.9 Hz, 3H), 0.83-0.90 (m, 1H), 1.08-1.22 (m, 4H), 1.45-1.52 (m, 1H), 2.94-3.07 (m, 2H), 3.49 (s, 3H), 3.84 (s, 3H), 6.56 (dd, *J* = 3.3, 8.3 Hz, 1H), 7.13-7.17 (m, 1H), 7.25-7.29 (m, 1H), 7.38-7.47 (m, 5H), 7.50-7.55 (m, 3H), 7.61-7.70 (m, 3H), 7.74-7.78 (m, 4H); ¹³C NMR δ 13.9, 22.3, 31.1, 32.1, 32.3, 32.4, 52.3, 52.7, 125.8, 125.9, 127.1, 127.3, 127.6, 127.9, 128.8, 128.9, 129.0, 129.7, 129.7, 129.8, 129.8, 130.0, 130.8, 131.2, 131.3, 131.4, 132.4, 132.4, 133.1, 133.1, 134.0, 134.2, 134.3, 134.8, 134.9, 135.7, 136.1, 140.1, 140.2, 140.2, 140.6, 140.7, 141.2, 141.8, 142.0, 145.6, 145.7, 167.4, 167.4, 167.9; ³¹P NMR δ 31.7; HRMS (ESI positive) m/z calcd for C₃₉H₃₅NaO₅P ([M+Na]⁺): 637.2114. Found: 637.2110.



Dimethyl 4-pentyl-5-phenyl-1-thienyl-5*H*-dibenzo[*b,d*]phosphole-2,3-dicarboxylate 5-oxide (15ha) A pale yellow oil; IR (CH₂Cl₂) 2924, 2360, 1737, 1437, 1205, 695 cm⁻¹; ¹H NMR δ 0.76-0.84 (m, 4H), 1.07-1.18 (m, 4H), 1.45 (br, 1H), 3.00-3.05 (m, 2H), 3.60 (s, 3H), 3.84 (s, 3H), 6.51 (dd, J = 3.1, 8.0 Hz, 1H), 7.07 (br, 1H), 7.18-7.20 (m, 1H), 7.24-7.27 (m, 1H), 7.30-7.33 (m, 1H), 7.41-7.45 (m, 2H), 7.51-7.56 (m, 2H), 7.62-7.68 (m, 3H); ¹³C NMR δ 13.9, 22.2, 30.1, 32.1, 32.3, 32.4, 52.5, 52.7, 125.7, 126.8, 126.9, 127.6, 127.6, 127.9, 127.9, 128.8, 128.8, 128.9, 129.6, 129.7, 130.0, 130.0, 131.3, 131.4, 132.4, 132.5, 133.3, 133.3, 134.9, 140.1, 140.3, 141.6, 141.6, 146.8, 146.9, 167.1, 167.2, 167.5; ³¹P NMR δ 31.7; HRMS (ESI positive) m/z calcd for C₃₁H₂₉NaO₅PS ([M+Na]⁺): 567.1366. Found: 567.1365.



2,3-Bis(hydroxymethyl)-4-pentyl-1,5-diphenyl-5*H*-dibenzo[*b*,*d*]phosphole 5-oxide (15ab)

A colorless solid; mp 178 °C; IR (CH₂Cl₂) 3298, 2926, 1439, 1183, 1010, 704, 553 cm⁻¹; ¹H NMR δ 0.58 (br, 1H), 0.69-0.72 (m, 3H), 0.99-1.15 (m, 4H), 1.24-1.28 (m, 2H), 1.79 (br, 1H), 2.80-2.86 (m, 1H), 2.93-2.98 (m, 1H), 4.36-4.40 (m, 1H), 4.48-4.50 (m, 1H), 4.71-4.79 (m, 2H), 6.13 (dd, *J* = 3.0, 8.0 Hz, 1H), 7.08 (t, *J* = 7.7 Hz, 1H), 7.18 (dt, *J*_d = 3.2 Hz, *J*_t = 7.3 Hz, 1H), 7.30-7.31 (m, 2H), 7.38-7.41 (m, 2H), 7.48-7.55 (m, 5H), 7.64-7.68 (m, 2H); ¹³C NMR δ 13.9, 22.4, 29.7, 31.6, 32.2, 58.2, 58.3, 59.7, 125.3, 125.4, 128.3, 128.6, 128.7, 128.7, 128.8, 129.2, 129.3, 129.4, 129.4, 129.5, 129.6, 131.2, 131.3, 131.4, 132.2, 132.9, 137.9, 138.0, 139.0, 139.6, 140.1, 140.2, 142.0, 142.2, 145.4, 145.5; ³¹P NMR δ 33.6; HRMS (ESI positive) m/z calcd for C₃₁H₃₁NaO₃P ([M+Na]⁺): 505.1903. Found: 505.1903.



4-Pentyl-1,5-diphenyl-2,3-dipropyl-5*H*-dibenzo[*b*,*d*]phosphole 5-oxide (15ag)

A white solid; mp 86 °C; IR (CH₂Cl₂) 2924, 2853, 1457, 1204, 706 cm⁻¹; ¹H NMR δ 0.63-0.75 (m, 4H), 0.79 (t, *J* = 6.9 Hz, 3H), 1.01-1.18 (m, 8H), 1.31-1.62 (m, 4H), 2.32-2.36 (m, 2H), 2.56 (t, *J* = 8.4 Hz, 2H),

2.68 (dt, J_d = 4.5 Hz, J_t = 12.9 Hz, 1H), 2.96 (dt, J_d = 4.5 Hz, J_t = 12.9 Hz, 1H), 5.99 (dd, J = 3.2, 8.1, 1H), 6.99-7.04 (m, 1H), 7.08-7.13 (m, 1H), 7.24-7.27 (m, 1H), 7.31-7.33 (m, 1H), 7.38-7.42 (m, 2H), 7.47-7.55 (m, 5H), 7.68-7.74 (m, 2H); ¹³C NMR δ 10.9, 14.0, 14.8, 15.0, 22.4, 23.5, 24.5, 24.9, 29.7, 32.3, 32.5, 32.7, 33.4, 48.6, 98.4, 101.8, 106.9, 111.3, 111.5, 115.3, 118.7, 120.0, 124.0, 124.7, 125.9, 127.3, 127.7, 128.3, 128.5, 128.5, 128.6, 128.6, 128.9, 129.2, 129.3, 129.5, 129.7, 131.5, 146.2, 147.3, 148.5; ³¹P NMR δ 33.0; HRMS (ESI positive) m/z calcd for C₃₅H₃₉NaOP ([M+Na]⁺): 529.2631. Found: 529.2632.



4-Pentyl-1,2,3,5-tetraphenyl-5*H*-dibenzo[*b*,*d*]phosphole 5-oxide (15af)

A white solid; mp >300 °C; IR (CH₂Cl₂) 2923, 2359, 1738, 1204, 700, 436 cm⁻¹; ¹H NMR δ 0.48-0.57 (m, 4H), 0.72-0.88 (m, 4H), 1.13-1.22 (m, 1H), 2.58 (dt, J_d = 4.5 Hz, J_t = 12.7 Hz, 1H), 2.84 (dt, J_d = 4.5 Hz, J_t = 12.7 Hz, 1H), 6.26 (dd, J = 3.3, 8.0 Hz, 1H), 6.64-6.66 (m, 1H), 6.77-6.90 (m, 5H), 7.00-7.15 (m, 6H), 7.17-7.22 (m, 4H), 7.25-7.28 (m, 1H), 7.43-7.47 (m, 2H), 7.51-7.54 (m, 1H), 7.59-7.62 (m, 1H), 7.79-7.83 (m, 2H); ¹³C NMR δ 13.6, 21.8, 30.0, 31.9, 32.5, 32.6, 125.2, 125.3, 125.6, 126.3, 126.4, 126.6, 127.1, 127.1, 127.3, 128.2, 128.4, 128.5, 128.5, 128.6, 129.4, 129.5, 130.1, 130.2, 130.4, 130.5, 131.2, 131.2, 131.5, 131.6, 131.9, 131.9, 132.0, 132.1, 132.7, 132.7, 133.9, 134.8, 136.4, 136.4, 138.7, 138.7, 138.9, 139.2, 139.5, 142.4, 142.5, 142.7, 142.8, 144.8, 144.9, 147.6; ³¹P NMR δ 32.9; HRMS (ESI positive) m/z calcd for C₄₁H₃₅NaOP ([M+Na]⁺): 597.2318. Found: 597.2318.



2,3-Bis(4-methoxyphenyl)-4-pentyl-1,5-diphenyl-5*H*-dibenzo[*b*,*d*]phosphole 5-oxide (15ac)

A white solid; mp 228 °C; IR (CH₂Cl₂) 2925, 2360, 1608, 1514, 1246, 1203, 703 cm⁻¹; ¹H NMR δ 0.45-0.54 (m, 1H), 0.56 (t, *J* = 6.5 Hz, 3H), 0.73-0.88 (m, 4H), 1.11-1.21 (m, 1H), 2.58 (dt, *J*_d = 4.6 Hz, *J*_t = 12.6 Hz, 1H), 2.82 (dt, *J*_d = 4.6 Hz, *J*_t = 12.6 Hz, 1H), 3.59 (s, 3H), 3.70 (s, 3H), 6.22 (dd, *J* = 3.4, 8.1 Hz, 1H), 6.36-6.41 (m, 2H), 6.53-6.58 (m, 2H), 6.67-6.70 (m, 2H), 6.77 (dd, *J* = 2.1, 8.4 Hz, 1H), 6.97 (dd, *J* = 2.1, 6.4 Hz, 1H), 6.99-7.01 (m, 1H), 7.05-7.09 (m, 1H), 7.16-7.22 (m, 4H), 7.26-7.29 (m, 1H),

7.42-7.46 (m, 2H), 7.50-7.53 (m, 1H), 7.59 (dd, J = 3.7, 7.1 Hz, 1H), 7.78-7.82 (m, 2H); ¹³C NMR δ 13.7, 21.9, 30.0, 31.9, 32.6, 54.8, 55.0, 55.0, 112.0, 112.1, 112.6, 112.9, 113.9, 125.2, 125.3, 127.0, 128.1, 128.3, 128.4, 128.5, 128.6, 128.6, 128.7, 129.3, 129.4, 130.1, 130.2, 131.1, 131.2, 131.3, 131.4, 131.5, 131.5, 131.6, 131.8, 131.9, 132.1, 132.6, 132.6, 133.9, 134.7, 136.8, 136.9, 138.5, 139.8, 142.4, 142.8, 142.9, 145.2, 157.1, 157.8; ³¹P NMR δ 33.0; HRMS (ESI positive) m/z calcd for C₄₃H₃₉NaO₃P ([M+Na]⁺): 657.2529. Found: 657.2526.



2,3-Bis(4-bromophenyl)-4-pentyl-1,5-diphenyl-5*H*-dibenzo[*b*,*d*]phosphole 5-oxide (15ah)

A white solid; mp 237 °C; IR (CH₂Cl₂) 2928, 1489, 1201, 1011, 704, 527 cm⁻¹; ¹H NMR δ 0.46-0.52 (m, 1H), 0.59 (t, J = 6.8 Hz, 3H), 0.76-0.89 (m, 4H), 1.09-1.16 (m, 1H), 2.49-2.55 (m, 1H), 2.79-2.85 (m, 1H), 6.23-6.26 (m, 1H), 6.50-6.52 (m, 1H), 6.65-6.67 (m, 1H), 6.75-6.77 (m, 1H), 6.93-6.95 (m, 1H), 6.98-7.02 (m, 3H), 7.07-7.11 (m, 1H), 7.15-7.32 (m, 7H), 7.43-7.47 (m, 2H), 7.52-7.55 (m, 1H), 7.59-7.62 (m, 1H), 7.76-7.81 (m, 2H); ¹³C NMR δ 13.7, 22.0, 25.7, 30.2, 32.0, 32.5, 32.6, 120.3, 121.0, 125.4, 125.5, 127.6, 128.6, 128.7, 128.8, 128.9, 129.0, 129.6, 129.7, 130.0, 130.0, 130.1, 130.3, 130.7, 131.0, 131.0, 131.6, 131.7, 131.9, 132.0, 132.0, 132.0, 132.1, 132.2, 132.2, 132.8, 132.9, 132.9, 134.0, 134.8, 136.5, 136.5, 137.6, 137.6, 138.0, 139.1, 139.3, 139.5, 141.3, 141.4, 142.1, 142.3, 144.9, 144.9, 146.2, 146.2; ³¹P NMR δ 32.7; HRMS (ESI positive) m/z calcd for C₄₁H₃₃Br₂NaOP ([M+Na]⁺): 753.0528. Found: 753.0522.



2,3-Bis(4-(4,4,5,5-tetramethyl-1,3,2-dioxaborolan-2-yl)phenyl)-4-pentyl-1,5-diphenyl-5*H*-dibenzo[*b*, *d*]phosphole 5-oxide (15ai)

A white solid; mp 96 °C; IR (CH₂Cl₂) 2927, 2360, 2189, 1359, 1202, 1144, 417 cm⁻¹; ¹H NMR δ 0.44-0.56 (m, 4H), 0.71-0.94 (m, 5H), 1.27 (s, 12H), 1.31 (s, 12H), 2.49-2.55 (m, 1H), 2.76-2.82 (m, 1H), 6.18 (dd, J = 3.4, 8.2 Hz, 1H), 6.67-6.68 (m, 1H), 6.81-6.83 (m, 1H), 6.88-6.90 (m, 1H), 6.99-7.01 (m,

1H), 7.05-7.09 (m, 1H), 7.16-7.27 (m, 6H), 7.40-7.47 (m, 3H), 7.49-7.61 (m, 4H), 7.76-7.81 (m, 3H); ¹³C NMR δ 13.8, 22.0, 25.0, 29.7, 29.8, 30.3, 30.7, 38.4, 39.7, 41.9, 51.1, 51.5, 59.7, 67.8, 83.7, 83.8, 97.2, 97.3, 98.8, 100.0, 102.9, 103.8, 110.7, 116.4, 118.1, 127.3, 128.4, 128.5, 128.5, 128.6, 128.7, 128.8, 129.3, 129.4, 129.5, 129.6, 129.7, 129.7, 129.9, 130.1, 130.1, 130.9, 131.2, 131.5, 131.6, 131.6, 131.9, 132.1, 132.8, 133.1, 133.4, 133.7, 133.9, 134.7, 139.5; ³¹P NMR δ 33.0; HRMS (ESI positive) m/z calcd for C₅₃H₅₇B₂NaO₅P ([M+Na]⁺): 847.4088. Found: 847.4095.



4-Pentyl-1,5-diphenyl-2,3-bis(thien-2-yl)-5*H*-dibenzo[*b*,*d*]phosphole 5-oxide (15aj)

A brown oil; IR (CH₂Cl₂) 2925, 1738, 1458, 1203, 701, 520 cm⁻¹; ¹H NMR δ 0.60-0.66 (m, 4H), 0.84-0.95 (m, 3H), 1.17-1.37 (m, 2H), 2.72 (dt, $J_d = 4.7$ Hz, $J_t = 12.9$ Hz, 1H), 2.91 (dt, $J_d = 4.7$ Hz, $J_t = 12.9$ Hz, 1H), 6.26 (dd, J = 3.3, 8.2 Hz, 1H), 6.41 (dd, J = 1.1, 3.4 Hz, 1H), 6.57 (dd, J = 3.4, 5.1 Hz, 1H), 6.75 (dd, J = 1.1, 3.5 Hz, 1H), 6.83 (dd, J = 3.5, 5.2 Hz, 1H), 6.96 (dd, J = 0.9, 5.0 Hz, 1H), 7.08-7.12 (m, 2H), 7.17 (dd, J = 1.1, 5.1 Hz, 1H), 7.20-7.35 (m, 5H), 7.43-7.47 (m, 2H), 7.52-7.55 (m, 1H), 7.59-7.63 (m, 1H), 7.76-7.80 (m, 2H); ¹³C NMR δ 13.8, 22.0, 22.4, 30.8, 32.1, 43.8, 123.1, 123.9, 124.2, 125.5, 125.6, 126.0, 126.0, 126.0, 126.2, 127.6, 127.8, 128.3, 128.4, 128.5, 128.7, 128.7, 128.8, 128.8, 128.9, 128.9, 129.3, 129.6, 129.8, 129.9, 130.0, 130.9, 131.2, 131.4, 131.5, 131.6, 131.9, 132.1, 132.8, 134.0, 137.8, 139.2, 139.5, 139.8, 146.4, 146.7; ³¹P NMR δ 32.5; HRMS (ESI positive) m/z calcd for C₃₇H₃₁NaOPS₂ ([M+Na]⁺): 609.1446. Found: 609.1445.



Methyl 4-pentyl-1,2,5-triphenyl-5*H*-dibenzo[*b,d*]phosphole-3-carboxylate 5-oxide (15ae) and Methyl 4-pentyl-1,3,5-triphenyl-5*H*-dibenzo[*b,d*]phosphole-2-carboxylate 5-oxide (16ae) A pale yellow solid; mp 131 °C; IR (CH₂Cl₂) 2925, 1733, 1206, 701, 444 cm⁻¹; ¹H NMR δ 0.46-0.59 (m, 4H), 0.76-0.95 (m, 8H), 1.06-1.23 (m, 5H), 1.53-1.62 (m, 1H), 2.55-2.61 (m, 1H), 2.73-2.90 (m, 2H), 2.90-2.96 (m, 1H), 3.09 (s, 3H), 3.37 (s, 3H), 6.30 (dd, *J* = 3.4, 7.9 Hz, 1H), 6.43 (dd, *J* = 3.2, 7.9 Hz, 1H), 6.99-7.15 (m, 8H), 7.20-7.31 (m, 9H), 7.37-7.38 (m, 2H), 7.41-7.55 (m, 11H), 7.59-7.63 (m, 2H),

7.70-7.77 (m, 4H); ¹³C NMR δ 13.6, 13.7, 13.9, 21.8, 22.2, 29.9, 31.0, 31.8, 31.8, 31.9, 31.9, 32.3, 32.6, 32.6, 51.4, 51.7, 125.2, 125.3, 125.6, 127.0, 127.1, 127.3, 127.6, 127.7, 127.8, 127.9, 128.5, 128.5, 128.7, 128.7, 128.8, 128.8, 128.8, 128.8, 128.9, 129.0, 129.0, 129.1, 129.5, 129.5, 129.6, 129.7, 129.7, 130.1, 130.1, 130.6, 130.7, 131.4, 131.4, 131.5, 131.5, 131.5, 131.7, 132.1, 131.2, 132.2, 132.6, 132.8, 132.9, 132.9, 133.3, 133.4, 133.7, 134.1, 134.5, 135.7, 136.4, 136.9, 137.6, 137.8, 138.4, 138.8, 139.0, 139.8, 140.7, 141.4, 141.6, 141.6, 141.6, 141.8, 143.1, 145.2, 145.3, 145.6, 145.6, 168.3, 169.0, 169.0; ³¹P NMR δ 32.0, 32.5; HRMS (ESI positive) m/z calcd for C₃₇H₃₃NaO₃P ([M+Na]⁺): 579.2060. Found: 579.2059.



4-Pentyl-1,2,5-triphenyl-5*H*-dibenzo[*b*,*d*]phosphole 5-oxide (15ad)

HMBC correlation was observed between carbon atom on pentyl group and hydrogen atom on benzene ring (Figure 6).

A white solid; mp 88 °C; IR (CH₂Cl₂) 2922, 2359, 1739, 1466, 1365, 1204 cm⁻¹; ¹H NMR δ 0.76-0.79 (m, 3H), 1.13-1.18 (m, 5H), 1.50-1.56 (m, 1H), 2.82-2.85 (m, 2H), 6.34 (dd, *J* = 3.3, 8.2 Hz, 1H), 7.04-7.08 (m, 3H), 7.13-7.20 (m, 7H), 7.29-7.32 (m, 3H), 7.42-7.46 (m, 2H), 7.50-7.54 (m, 1H), 7.59-7.63 (m, 1H), 7.74-7.78 (m, 2H); ¹³C NMR δ 13.9, 22.4, 30.6, 31.7, 33.6, 33.7, 125.4, 125.5, 126.7, 127.5, 127.5, 128.5, 128.5, 128.5, 128.8, 129.5, 129.6, 130.4, 130.5, 130.6, 131.1, 131.3, 131.3, 131.3, 131.4, 131.4, 132.0, 132.0, 132.6, 132.7, 133.9, 134.8, 135.5, 135.6, 139.0, 139.7, 139.9, 140.7, 145.9, 146.0, 147.8, 147.8; ³¹P NMR δ 31.9; HRMS (ESI positive) m/z calcd for C₃₅H₃₁NaOP ([M+Na]⁺): 521.2005. Found: 521.2007.



Figure 6. HMBC experiment on 15ad



2,3-Bis(hydroxymethyl)-4-pentyl-1-(4-tolyl)-5-(2-(4-tolylethynyl)phenyl)-5*H*-dibenzo[*b,d*]phosphole 5-oxide (18)

A white solid; mp 124 °C; IR (CH₂Cl₂) 3369, 2922, 2852, 2360, 1634, 1465, 721 cm⁻¹; ¹H NMR δ 0.69 (t, J = 7.2 Hz, 3H), 0.78-1.13 (m, 6H), 1.37-1.47 (m, 1H), 1.76 (br, 1H), 2.40 (s, 6H), 2.85-2.88 (m, 2H), 4.27-4.35 (m, 2H), 4.73-4.80 (m, 2H), 5.95 (d, J = 7.4 Hz, 1H), 6.03-6.05 (m, 1H), 6.70-6.72 (m, 2H), 6.90 (d, J = 7.4 Hz, 1H), 6.95-6.97 (m, 1H), 7.02-7.06 (m, 3H), 7.14-7.20 (m, 2H), 7.45-7.50 (m, 2H),7.53-7.56 (m, 1H), 7.62-7.65 (m, 1H), 8.64-8.68 (m, 1H); ¹³C NMR δ 13.9, 21.3, 21.5, 22.4, 31.7, 32.2, 32.5, 58.4, 59.7, 85.2, 85.2, 96.4, 119.2, 125.3, 125.3, 125.5, 125.6, 128.2, 128.3, 128.3, 128.4, 128.8, 128.8, 128.9, 128.9, 129.1, 129.3, 129.8, 130.6, 130.8, 131.4, 131.7, 132.0, 132.4, 133.4, 134.0, 134.1, 135.0, 135.6, 137.3, 137.7, 137.8, 138.8, 139.5, 139.5, 140.6, 140.8, 143.5, 143.6, 144.8, 144.9; ³¹P NMR δ 31.0; HRMS (ESI positive) m/z calcd for C₄₁H₃₉NaO₃P ($[M+Na]^+$): 633.2529. Found: 633.2525. $[α]^{28}$ _D -10.4 (c 0.2, CHCl₃, 83% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IC: 4 x 250 mm, 254 nm UV detector, rt, eluent: 2-propanol:hexane = 40:60, flow rate: 0.25 mL/min, retention time: 40.1 min for minor isomer and 54.9 min for major isomer). Crystal data of 18; $C_{41}H_{39}O_{3}P$, M = 610.72, triclinic, Space Group P-1 (#2), a = 9.5271(6) Å, b = 13.1477(8) Å, c =15.738(1) Å, $\alpha = 101.815(8)^{\circ}$, $\beta = 90.675(6)^{\circ}$, $\gamma = 94.821(6)^{\circ}$, $V = 1921.9^{\circ}$ Å3, T = 173.0 K, Z = 2, μ (CuK α) = 1.54187 cm-1, Number of Reflections Measures: Total 20458, Unique: 6820 (Rint = 0.1758), R1 = 0.1249, wR2 = 0.3565



Figure 7. Ortep diagram of 18



Dimethyl 1-(dibenzo[*b*,*d*]thiophen-2-yl)-4-pentyl-5-phenyl-5*H*-dibenzo[*b*,*d*]phosphole-2,3dicarboxylate 5-oxide (15ia)

A white solid; mp 131 °C; IR (CH₂Cl₂) 2953, 1737, 1437, 1206, 736, 520 cm⁻¹; ¹H NMR δ 0.78-0.81 (m, 3H), 0.84-0.92 (m, 1H), 1.09-1.24 (m, 4H), 1.44-1.57 (m, 1H), 2.95-3.10 (m, 2H), 3.40 (d, *J* = 2.3 Hz, 3H), 3.84 (d, *J* = 1.6 Hz, 3H), 6.41-6.43 (m, 1H), 7.02-7.06 (m, 1H), 7.21-7.25 (m, 1H), 7.40-7.57 (m, 6H), 7.61-7.64 (m, 1H), 7.67-7.73 (m, 2H), 7.91-7.94 (m, 1H), 7.99-8.01 (m, 1H), 8.10-8.18 (m, 2H); ¹³C NMR δ 13.8, 22.2, 31.0, 32.1, 32.3, 52.3, 52.6, 121.9, 122.0, 121.1, 122.3, 122.9, 123.0, 123.2, 123.5, 124.6, 124.8, 125.7, 125.8, 125.9, 127.3, 127.3, 127.8, 127.9, 128.8, 128.9, 129.7, 129.7, 129.8, 129.8, 129.9, 130.7, 130.7, 131.2, 131.2, 131.3, 131.3, 131.3, 132.4, 133.2, 133.2, 133.3, 133.9, 133.9, 133.9, 134.2, 134.3, 134.8, 134.9, 135.1, 135.2, 135.6, 135.7, 136.0, 136.2, 139.8, 139.8, 139.9, 140.0, 140.4, 140.4, 140.5, 140.5, 140.6, 140.7, 141.9, 142.0, 142.1, 145.7, 145.7, 145.8, 145.8, 167.3, 167.3, 167.4, 167.4, 167.8; ³¹P NMR δ 31.7; HRMS (ESI positive) m/z calcd for C₃₉H₃₃NaO₅PS ([M+Na]⁺): 667.1679. Found: 667.1678.



1-(Dibenzo[b,d]thiophen-2-yl)-4-pentyl-2,3,5-triphenyl-5H-dibenzo[b,d]phosphole 5-oxide (15if)

A white solid; mp 259 °C; IR (CH₂Cl₂) 2926, 1458, 1200, 729, 701, 527 cm⁻¹; ¹H NMR δ 0.53-0.59 (m, 4H), 0.72-0.86 (m, 4H), 1.16-1.24 (m, 1H), 2.57-2.64 (m, 1H), 2.82-2.90 (m, 1H), 6.26-6.29 (m, 1H), 6.66-7.17 (m, 13H), 7.29-7.56 (m, 5H), 7.58-7.63 (m, 1H), 7.68-7.75 (m, 1H), 7.81-8.07 (m, 5H); ¹³C NMR δ 13.8, 13.8, 21.1, 30.2, 30.2, 32.0, 32.0, 32.7, 32.7, 118.2, 121.7, 121.9, 122.7, 122.9, 123.0, 123.1, 123.3, 123.4, 124.5, 124.6, 125.3, 125.4, 125.4, 125.4, 125.4, 125.4, 125.8, 125.8, 126.5, 126.6, 126.6, 126.9, 126.9, 126.9, 127.0, 127.2, 127.2, 127.5, 128.6, 128.6, 128.6, 128.7, 128.7, 128.8, 129.0, 129.0, 129.0, 129.0, 126.9, 126.9, 126.9, 126.9, 127.0, 127.2, 127.2, 127.5, 128.6, 128.6, 128.6, 128.7, 128.7, 128.8, 129.0, 129.0, 129.0, 126.9, 126.9, 126.9, 126.9, 126.9, 127.0, 127.2, 127.2, 127.5, 128.6, 128.6, 128.6, 128.7, 128.7, 128.8, 129.0, 129.0, 129.0, 126.9, 126.9, 126.9, 126.9, 126.9, 127.0, 127.2, 127.2, 127.5, 128.6, 128.6, 128.6, 128.7, 128.7, 128.8, 129.0, 129.0, 129.0, 126.9, 126.9, 126.9, 126.9, 126.9, 126.9, 126.9, 126.9, 126.9, 126.9, 126.9, 126.9, 127.0, 127.2, 127.2, 127.5, 128.6, 128.6, 128.6, 128.7, 128.7, 128.8, 129.0, 129.0, 126.9, 127.0, 127.2, 127.2, 127.5, 128.6, 128.6, 128.6, 128.7, 128.7, 128.8, 129.0, 129.0, 126.9, 126.9, 126.9, 126.9, 126.9, 126.9, 126.9, 126.9, 126.9, 126.9, 126.9, 128.9, 126

129.6, 129.6, 129.6, 129.7, 129.7, 130.3, 130.4, 130.5, 130.5, 130.5, 130.6, 131.3, 131.5, 131.5, 131.6, 131.7, 131.7, 131.8, 132.1, 132.1, 132.1, 132.1, 132.1, 132.3, 132.3, 132.9, 133.0, 134.0, 134.1, 134.9, 134.9, 135.5, 135.6, 135.6, 135.9, 135.9, 136.0, 136.1, 136.1, 136.1, 136.2, 138.3, 138.4, 138.8, 138.8, 139.1, 139.1, 139.2, 139.3, 139.3, 139.8, 134.0, 142.4, 142.5, 142.6, 142.6, 143.0, 143.0, 143.1, 143.1, 145.1, 145.2; ³¹P NMR δ 32.9; HRMS (ESI positive) m/z calcd for C₄₇H₃₇NaOPS ([M+Na]⁺): 703.2195. Found: 703.2190.



2-Dibenzo[*b*,*d*]thiophen-2-yl-4-pentyl-1,5-diphenyl-5*H*-dibenzo[*b*,*d*]phosphole 5-oxide (15ak)

A white solid; mp 104 °C; IR (CH₂Cl₂) 3054, 2927, 1463, 1198, 703, 418 cm⁻¹; ¹H NMR δ 0.73-0.80 (m, 4H), 1.12-1.22 (m, 4H), 1.56-1.60 (m, 1H), 2.86-2.89 (m, 2H), 6.38 (dd, J = 3.2, 8.0 Hz, 2H), 7.08-7.15 (m, 2H), 7.19-7.31 (m, 6H), 7.45-7.55 (m, 5H), 7.59-7.65 (m, 2H), 7.76-7.83 (m, 3H), 7.86 (s, 1H), 7.98-8.00 (m, 1H); ¹³C NMR δ 14.0, 22.4, 22.4, 30.7, 30.7, 31.7, 33.7, 33.7, 121.5, 121.8, 122.6, 122.9, 123.5, 124.4, 125.4, 125.5, 126.8, 126.8, 127.0, 127.7, 128.4, 128.4, 128.5, 128.6, 128.8, 128.9, 129.5, 129.6, 130.4, 130.5, 130.7, 130.8, 131.1, 131.4, 131.5, 131.6, 131.7, 131.9, 131.9, 132.0, 132.0, 132.0, 132.7, 134.8, 135.0, 135.4, 135.7, 135.8, 137.1, 138.0, 138.9, 139.7, 142.5, 145.9, 146.0, 146.1, 147.5; ³¹P NMR δ 31.9; HRMS (ESI positive) m/z calcd for C₄₁H₃₃NaOPS ([M+Na]⁺): 627.1882. Found: 627.1879.



1,2-Bis(dibenzo[*b*,*d*]thiophen-2-yl)ethyne (2l)

A pale grey solid; mp 283 °C; IR (CH₂Cl₂) 1739, 1364, 1217, 417 cm⁻¹; ¹H NMR (C₂D₆OS, 495.13 MHz) δ 7.47-7.51 (m, 4H), 7.65 (dd, *J* = 1.6, 8.4 Hz, 2H), 7.92-7.95 (m, 2H), 7.98 (d, *J* = 8.3 Hz, 2H), 8.34-8.38 (m, 2H), 8.48 (d, *J* = 1.2 Hz, 2H); ¹³C NMR (CDCl₃ in CS₂, 124.5 MHz) δ 113.8, 113.8, 113.9, 115.1, 127.8, 128.0, 128.5, 133.1, 136.3, 152.3, 154.7, 159.4, 170.8; HRMS (ESI positive) m/z calcd for C₂₆H₁₅S₂ ([M+H]⁺): 361.0610. Found: 361.0605.



1,2-Bis(8-(hept-1-yl)-dibenzo[b,d]thiophen-2-yl)ethyne (2m)

A pale yellow solid; mp 83 °C; IR (CH₂Cl₂) 2924, 2852, 1736, 1457, 811 cm⁻¹; ¹H NMR δ 0.89 (t, *J* = 7.2 Hz, 6H), 1.25-1.42 (m, 16H), 1.70-1.76 (m, 4H), 2.80 (t, *J* = 7.8 Hz, 4H), 7.33 (dd, *J* = 1.5, 8.2 Hz, 2H), 7.64 (dd, *J* = 1.5, 8.2 Hz, 2H), 7.76 (d, *J* = 8.2 Hz, 2H), 7.83 (d, *J* = 8.2 Hz, 2H), 8.00 (s, 2H), 8.38 (s, 2H); ¹³C NMR δ 14.1, 22.7, 29.2, 29.3, 31.8, 31.9, 36.0, 89.6, 119.3, 121.3, 122.5, 122.9, 124.8, 128.2, 129.5, 135.2, 135.8, 137.0, 139.8, 139.9; HRMS (ESI positive) m/z calcd for C₄₀H₄₃S₂ ([M+H]⁺): 587.2801. Found: 587.2801.



2,3-Bis(dibenzo[*b,d*]thiophen-2-yl)-4-pentyl-1,5-diphenyl-5*H*-dibenzo[*b,d*]phosphole 5-oxide (15al) A pale yellow solid; mp 175 °C; IR (CH₂Cl₂) 2924, 1737, 1216, 1204, 703 cm⁻¹; ¹H NMR δ 0.44-0.55 (m, 4H), 0.65-0.88 (m, 4H), 0.92-1.00 (m, 1H), 2.65-2.78 (m, 1H), 2.84-2.97 (m, 1H), 6.27-6.34 (m, 1H), 6.95-7.05 (m, 1H), 7.07-7.15 (m, 2H), 7.18-7.30 (m, 6H), 7.35-7.39 (m, 10H), 7.63-7.72 (m, 3H), 7.79-7.94 (m, 5H); ¹³C NMR δ 13.6, 17.0, 21.9, 30.0, 37.6, 121.4, 121.5, 121.8, 122.5, 122.7, 122.9, 122.9, 123.0, 123.3, 123.5, 123.7, 124.1, 124.1, 124.2, 124.4, 124.5, 124.7, 124.8, 125.4, 125.5, 126.4, 126.5, 126.9, 126.9, 127.3, 127.4, 128.6, 128.6, 128.7, 128.7, 128.8, 128.8, 128.8, 128.9, 129.0, 129.2, 129.6, 129.8, 129.8, 130.0, 130.1, 130.2, 131.5, 131.6, 131.7, 131.9, 132.0, 132.1, 132.1, 133.2, 134.0, 134.4, 134.8, 134.9, 135.0, 135.2, 135.4, 135.8, 136.9, 137.7, 137.7, 139.3, 139.4, 139.4, 139.7, 142.3, 143.0; ³¹P NMR δ 32.8; HRMS (ESI positive) m/z calcd for C₅₃H₃₉NaOPS₂ ([M+Na]⁺): 809.2072. Found: 809.2070.



2,3-Bis(8-(hept-1-yl)dibenzo[*b,d*]thiophen-2-yl)-4-pentyl-1,5-diphenyl-5*H*-dibenzo[*b,d*]phosphole 5-oxide (15am)

A colorless solid; mp 61 °C; IR (CH₂Cl₂) 2953, 2925, 2853, 1438, 1278, 1202, 703, 418 cm⁻¹; ¹H NMR δ 0.43-0.51 (m, 4H), 0.65-0.94 (m, 16H), 1.21-1.38 (m, 12H), 1.64-1.74 (m, 3H), 2.47-2.65 (m, 1H), 2.65-2.69 (m, 4H), 2.84-2.97 (m, 1H), 6.27-6.33 (m, 1H), 6.92-6.97 (m, 1H), 7.00-7.31 (m, 10H),

7.45-7.90 (m, 13H), 7.90-8.00 (m, 1H); ¹³C NMR δ 5.8, 9.1, 10.1, 10.8, 12.6, 14.1, 14.1, 14.1, 21.9, 22.6, 22.7, 22.7, 25.4, 25.8, 28.9, 29.2, 29.2, 29.2, 29.2, 29.3, 29.3, 29.3, 31.0, 31.8, 31.9, 33.4, 35.7, 35.9, 36.0, 36.0, 39.2, 41.0, 53.4, 55.7, 63.9, 67.0, 69.0, 83.2, 106.3, 107.6, 111.7, 114.5, 121.5, 122.6, 124.8, 124.8, 126.1, 127.7, 128.9, 129.6, 130.1, 131.6, 135.8, 135.9, 137.0, 140.0, 140.7, 143.0, 143.8, 148.0, 148.4, 148.7, 153.6, 158.3, 159.1, 165.7, 168.6, 168.8, 172.1, 172.8, 176.6, 176.7, 177.6, 179.0; ³¹P NMR δ 33.1; HRMS (ESI positive) m/z calcd for C₆₇H₆₇NaOPS₂ ([M+Na]⁺): 1005.4263. Found: 1005.4260.



Synthesis of 4-Pentyl-1,5-diphenyl-2,3-dipropyl-5*H*-dibenzo[*b*,*d*]phosphole 5-sulfide (19).

Dibenzophosphole oxide **3ac** (33.8 mg, 0.068 mmol) and Lawesson's reagent (54.9 mg, 0.136 mmol, 2 equiv) were dissolved in toluene (4.9 mL), and the mixture was heated under reflux for 1 h. After removal of solvent under reduced pressure, the product was purified by silica gel column (Hexane:Acetone = 5:1) to give **19** (34.8 mg, 0.067 mmol, 98%) as a white solid; mp 147 °C; IR (CH₂Cl₂) 2922, 2851, 1634, 1463, 703, 418 cm⁻¹; ¹H NMR δ 0.60-0.67 (m, 1H), 0.74 (t, *J* = 7.3 Hz, 3H), 0.80 (t, *J* = 7.1 Hz, 3H), 1.03 (t, *J* = 7.3 Hz, 3H), 1.06-1.18 (m, 3H), 1.24-1.28 (m, 1H), 1.32-1.40 (m, 2H), 1.44-1.62 (m, 3H), 2.34-2.37 (m, 2H), 2.56-2.59 (m, 2H), 2.68 (dt, *J*_d = 4.4 Hz, *J*_t = 13.0 Hz, 1H), 3.15 (dt, *J*_d = 4.4 Hz, *J*_t = 13.0 Hz, 1H), 5.99-6.01 (m, 1H), 6.98-7.01 (m, 1H), 7.11-7.15 (m, 1H), 7.29-7.33 (m, 2H), 7.35-7.39 (m, 2H), 7.43-7.55 (m, 5H), 7.77-7.82 (m, 2H); ¹³C NMR δ 14.1, 14.9, 15.1, 22.5, 24.6, 25.1, 30.9, 31.2, 32.0, 32.1, 32.5, 32.8, 125.0, 125.1, 127.8, 128.3, 128.4, 128.5, 128.6, 128.8, 129.0, 129.3, 129.6, 129.8, 131.4, 131.5, 131.5, 131.6, 131.7, 131.9, 131.9, 132.1, 132.1, 136.7, 137.4, 137.4, 137.5, 137.5, 137.7, 140.5, 141.3, 141.4, 142.3, 142.4, 144.6, 144.7, 145.8, 145.8; ³¹P NMR δ 39.0; HRMS (ESI positive) m/z calcd for C₃₅H₃₉NaPS ([M+Na]⁺): 545.2402. Found: 545.2404.

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Synthesis of Sulfur-Containing Condensed Polycyclic Compounds by Rhodium-Catalyzed Intermolecular Cycloaddition

Backgrounds

Recently, various polycyclic compounds including sulfone moieties have attracted much attention, because of their unique photophysical properties (Figure 1).¹ For example, Bryce and co-workers reported deep-blue fluorescent compounds containing dibenzothiophene dioxide skeleton.^{1a} Mohanakrishnan and co-workers reported an anisyl substituted benzodithiophene tetraoxide-containing derivative, which showed the light-blue emission color in acetonitrile upon illumination at 365 nm.^{1g}





Results and Discussion

The author considered that catalytic intermolecular cycloaddition of α, ω -diynes with 2,3-double bond of benzothiophene dioxide provides various dibenzothiophene-dioxide-containing polycyclic compounds (Scheme 1).

Scheme 1. Cycloaddition of α, ω -diyne with the 2,3-double bond of benzothiophene dioxide



Intermolecular cycloaddition of α,ω -diynes with 1,1-disubstituted alkenes² for the synthesis of bicyclic 5,5-disubstituted cyclohexa-1,3-dienes and that with 1,2-disubstituted alkenes³ for the synthesis of

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5,6-disubstituted cyclohexa-1,3-dienes were reported (paths A and B in Scheme 2). However, there had been no report of catalytic cycloaddition, where 2,3-double bond of benzoheterole was involved as an alkene moiety (path C in Scheme 2). This protocol is attractive because heteroatom-containing condensed polycyclic system can be readily constructed.⁴

Scheme 2. Intermolecular cycloaddition of α, ω -diynes with three types of ene moieties



Nitrogen-tethered 1,6-diyne **1a** and benzothiophene 1,1-dioxide (**2a**) were used as a model diyne and benzoheterole, and an intermolecular cycloaddition was examined in the presence of Rh-BIPHEP catalyst at 80 °C in 1,2-dichloroethane (DCE) (Table 1).⁵ The desired cycloadduct **3aa** was obtained, but the yield was moderate due to the formation of self-cycloadduct of diyne **1a** (Entry 1). When diyne **1a** was added dropwisely to a heated solution of the Rh catalyst and dioxide **2a** over 1 h, the yield was dramatically improved to 90% (Entry 2). Slower dropwise addition (1.5 h) realized an excellent yield of 95% (Entry 3). This reaction was little affected by counter anions of cationic rhodium catalyst, and the author used tetrafluoroborate (BF₄) for further investigation (Entries 4 and 5).



| Ts | NMe + | 0.5 2a | [Rh(cod) ₂]X + BIPHEP (5 mol%) DCE, 80 °C | TsN Me 3aa |
|----|---------|-----------|---|------------------|
| - | Fntry | x | Time / h | Vield / % |
| - | Lifti y | | | |
| | 1 | BF_4 | 1.0 | 48 |
| | 2 | BF_4 | 1.0^{a} | 90 |
| | 3 | BF_4 | 1.5^{a} | 95 |
| | 4 | BARF | 1.5^{a} | 93 |
| | 5 | OTf | 1.5 ^{<i>a</i>} | 95 |
| | | | | |

[*a*] Time taken for the dropwise addition of diyne **1a**.

Under the optimal conditions (Table 1, entry 3), various diynes and benzothiophene dioxides were screened in the intermolecular cycloaddition (Table 2). The reaction of oxygen-tethered diyne **1b** also proceeded, and the cycloadduct **3ba** was obtained in good yield. When unsymmetrical diynes, which have methyl or phenyl group on one of its alkyne termini, were examined, the corresponding cycloadducts **3ca** and **3da** were obtained with almost perfect regioselectivity.⁶ The author examined the reaction of *ortho*-phenylene-tethered diyne **1e** with **2a** and pentacyclic compound **3ea** was obtained quantitatively. The reaction of substituted benzothiophene dioxide derivatives **2b-2d**, which have halogens or methoxy group, also proceeded to give functionalized cycloadducts **3eb-3ed** in good yield. Notably, benzothiophene dioxide **2e** with pinacolboryl group could also be used: the obtained cycloadduct **3ee** can be used for further transformation. 2,3-Naphtylene-tethered diyne **1f** was also an excellent substrate, and hexacyclic product **3fa** was obtained quantitatively.

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Table 2. Cycloaddition of various diynes 1 with substituted benzothiophene dioxides 2^[a]



[a] Diyne was added dropwise to a solution of the Rh catalyst and benzothiophene dioxide over 1.5 h.

Next, the reaction of thiophene dioxides condensed with polycyclic aromatic hydrocarbons as ene moieties were examined (Table 3). Naphtothiophene-1,1-dioxide **2f** worked well, and the reaction with diynes **1e** and **1f** proceeded to give polycyclic compounds **3ef** and **3ff**, respectively in high yields. Furthermore, the reaction of anthrathiophene-1,1-dioxide **2g** also proceeded, but the yields of the corresponding cycloadducts **3eg** and **3fg** were moderate due to its poorer reactivity than **2f**, and **2g** was not completely consumed in these reactions.



derivatives 2^[a]



[a] Diyne was added dropwise to a solution of the Rh catalyst and benzothiophene dioxide over 1.5 h.

A possible explanation for regioselective reaction was shown in Scheme 3. The first step is oxidative coupling of divne 1 and metal catalyst, and metallacyclopentadiene A is generated. The next step is insertion of 2,3-double bond of benzothiophene dioxide 2 to intermediate A. When unsymmetrical divne $(R^{L} \neq R^{S})$ is used, benzothiophene dioxide 2 inserts to less hindered C-M bond. Due to the steric repulsion of metal on the ligand with sulforyl group, the generation of metallacycloheptadiene **B1** is preferred to B2. Subsequent reductive elimination of metal catalyst, cycloadduct 3 is regioselectively obtained. Electronic factor with sulfonyl group is also conceivable, electrostatic interaction between intermediate A and benzothiophene dioxide 2 supported its regioselectivity.





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Next, the author examined the oxidative aromatization of the obtained cycloadducts. As an initial study, cycloadduct **3ea** was treated with *o*-chloranil, but desired fully aromatized product was not obtained. When 2,3-dichloro-5,6-dicyano-*p*-benzoquinone (DDQ) was used and treated with triethylamine (TEA) to induce the retro-Diels-Alder reaction of DDQ adduct with **3ea** as an intermediate,⁷ desired **4a** was obtained in moderate yield (Scheme 4). Subsequent reduction gave anthra[2,3-*b*]benzo[*d*]thiophene (**4b**).

Scheme 4. Aromatization of cycloadduct 3ea and subsequent reduction



The author further examined the consecutive cycloaddition using benzodithiophene tetraoxide (Table 4). Hexyl groups were introduced into 4 and 8 positions, respectively to increase the solubility and subjected to the reaction with diynes 1 (Table 4).⁸ Excess amounts of diynes and much slower dropwise addition of them were required, but the reaction of nitrogen-tethered diyne 1a, phenylene- and naphthylene-tethered diynes 1e and 1f proceeded to give polycyclic compounds. However, double bond isomerization of cyclohexadiene motif occurred under the reaction conditions, and the corresponding cycloadducts 6a, 6e and 6f could not be completely isolated or fully characterized.



Table 4. Consecutive [2+2+2] cycloaddition of diynes 1 with benzodithiophene tetraoxide 5

[a] The value is the total yield of cycloadducts 6 and their double bond isomers of 1,3-cyclohexadiene motif.

Finally, the author synthesized a condensed polycyclic compound containing two sulfur atoms, which

differ in the degree of oxidation by twice cycloadditions (Scheme 5). Rh-catalyzed intermolecular cycloaddition of sulfanylbenezene-tethered diyne 7 with 2-butyne-1,4-diol gave dibenzothiophene (DBT) derivative 8 in good yield. Subsequent iodination and ethynylation of two hydroxyl groups gave DBT-tethered diyne 1g. The second intermolecular [2+2+2] cycloaddition of diyne 1g with benzothiophene 1,1-dioxide 2a proceeded to give a regioisomeric mixture of cycloadducts 10 and 11 in excellent yield. The chemical structure of 10 and 11 was determined as the mixture, because separation of their cycloadducts by using PTLC purification was impossible.

Scheme 5. Synthesis of polycyclic compounds with sulfide and sulfone moieties via two types of cycloaddition



Conclusion

The author developed Rh-catalyzed intermolecular cycloaddition of benzothiophene dioxides and benzodithiophene tetraoxide with α,ω -diynes. This is the first example of catalytic cycloaddition, where the 2,3-double bond of a benzoheterole was used as an ene moiety. The present reaction provides a new protocol for the synthesis of condensed polycyclic compounds containing sulfone moieties.

Experimental Section

General

All the reactions dealing with air or moisture sensitive compounds were carried out in a dry reaction vessel under positive pressure of argon. Air- and moisture-sensitive liquids and solutions were transferred via a syringe or a stainless steel cannula. Dehydrated 1,2-dichloroethane (DCE) is commercially available, which was dried over molecular sieves 4A (MS 4A) before use. Thin layer chromatography plates were visualized by exposure to ultraviolet light (254 nm). Organic solutions were concentrated by rotary evaporation at ca. 30 mmHg. Preparative thin-layer chromatography (PTLC) was performed with silica gel-precoated glass plates (Merck 60 GF₂₅₄) prepared in the author's laboratory. Flash column chromatography was performed over silica gel 200-300. Proton nuclear magnetic resonance (¹H NMR) and carbon nuclear magnetic resonance (¹³C NMR) spectra were recorded with a JEOL ECX-400 (400 MHz) or ECX-500 (500 MHz) NMR spectrometer. Chemical shift values for protons are reported in parts per million (δ) relative to internal standard TMS (0 ppm) for CDCl₃. Carbon nuclear magnetic resonance spectra (13C NMR) were recorded at 125 MHz: chemical shifts for carbons are reported in parts per million (δ) relative to internal standard TMS (77 ppm) for CDCl₃. Data are presented as following space: chemical shift, multiplicity (s = singlet, d = doublet, t = triplet, m = multiplet, br = broad signal), coupling constant in hertz (Hz), and signal area integration in natural numbers, assignment (*italic*). High-resolution mass spectra (HRMS) were measured on ESI (Electro Spray Ionization) - orbitrap mass spectrometer. Unless otherwise noted, materials were purchased from Tokyo Kasei Co., Aldrich Inc., and other commercial suppliers and were used without further purification. Divnes $1a^9$, $1b^{10}$, $1c^{11}$, $1d^{12}$, $1e^{13}$ and 1f¹⁴ are known compounds. Benzothiphene dioxide derivatives 2a-2d, 2f, and 5 were synthesized by *m*CPBA oxidation of the corresponding benzothiphene derivatives, which were prepared by the reported protocols.¹⁵⁻²⁰

Preparation of 5-(4,4,5,5-tetramethyl-[1,3,2]dioxaborolan-2-yl)-benzothiophene S,S-dioxide 2e

A suspension of 5-bromo-benzothiophene *S*,*S*-dioxide **2c** (223.9 mg, 1.0 mmol), bis(pinacolato)diboron (305.0 mg, 1.2 mmol) and KOAc (294.0 mg, 3.0 mmol) in DMF (4.0 mL) was added to PdCl₂(dppf) (36.0 mg, 0.05 mmol), and the mixture was stirred at 100 °C for 3 hours. After cooling to room temperature, the mixture was diluted with brine. After separating the organic layer, the aqueous layer was extracted three times with AcOEt. The organic layers were combined, dried over Na₂SO₄, filtered, and concentrated under a reduced pressure. The residue was purified by silica gel column chromatography (hexane/AcOEt=1/1, then AcOEt only), and further purified by recrystallization from DCM/hexane to

give analytically pure product as pale yellow solid (143.1 mg, 49%).

General procedure for the cycloaddition in Table 2, 3 and the preparation of 10, 11 in Scheme 5

 $[Rh(cod)_2]BF_4$ (2.0 mg, 0.005 mmol) and BIPHEP (2.6 mg, 0.005 mmol) were stirred in DCE (0.3 mL) at room temperature for 10 min, and then benzothiophene *S*,*S*-dioxide derivative (0.1 mmol) was added. To the yellowish orange solution, diyne (0.3 mmol) in DCE (0.7 mL) was added dropwise over 1.5 h at 80 °C and the reaction mixture was further stirred for 5 min. After completion of the reaction, the solvent was removed under reduced pressure, and the crude product was purified by PTLC to give analytically pure compound.

General procedure for the cycloaddition in Table 4

 $[Rh(cod)_2]BF_4$ (2.0 mg, 0.005 mmol) and BIPHEP (2.6 mg, 0.005 mmol) were stirred in DCE (0.3 mL) at room temperature for 10 minutes, and 4,8-bishexylbenzo[1,2-*b*:4,5-*b*']dithiophene-*S*,*S*,*S*',*S*'-tetraoxide (5) (21.1 mg, 0.05 mmol) was added. To the yellow-orange solution, diyne (0.45 mmol) in DCE (1.0 mL) was added dropwise over 9 h at 80 °C and the mixture was stirred for 5 min. After completion of the reaction, the solvent was removed under reduced pressure, and the crude product was purified by PTLC to give analytically pure compound.

Procedure for the oxidation in Scheme 4

DDQ (81.6mg, 0.36mmol) and 7,12,5a,13a-tetrahydro-anthra[2,3-*b*]-benzo[4,5-*d*]thiophene-*S*,*S*-dioxide (**3ea**) (47.7mg, 0.15 mmol) in DCE (6 ml) were stirred at 80 °C. After completion of the reaction, the solvent was removed under reduced pressure, and triethylamine (TEA, 1.1 mL) and toluene (1.1 mL) was added.²¹ The solution was heated to 80 °C for 24 h. The solvent was removed under reduced pressure, the crude product was purified by PTLC (toluene: DCM=1:1, then DCM only) to give analytically pure **4** (18.8 mg, 0.06 mmol 40%).

Procedure for the cycloaddition in the preparation of 8 in Scheme 5

 $[Rh(cod)_2]BF_4$ (2.0 mg, 0.005 mmol) and BIPHEP (2.6 mg, 0.005 mmol) were placed in Schlenk tube, which was then evacuated and backfilled with argon. To the reaction vessel was added CH₂Cl₂ (1.0 mL). Then it was filled with H₂, and the mixture was stirred at rt for 30 min under H₂. After removal of the solvent and H₂ under reduced pressure, the reaction vessel was filled with argon. DCE (0.2 mL) was added to the flask and the mixture was stirred to give a reddish solution. Then, 2-butyne-1,4-diol (12.9 mg,

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0.15 mmol) was added and 1,2-dichloroethane solution (0.3 mL) of the compound 7 (0.05 mmol) was added dropwise for 0.5 h. The volatiles were removed under reduced pressure, and the crude product was purified by PTLC to afford the compound **8** (8.9 mg, 0.037 mmol, 74 %)

Procedure for iodination in the preparation of 9 in Scheme 5

A mixture of compound **8** (29.0 mg, 0.11 mmol) and NaI (66.0 g, 0.44 mmol) was dissolved in acetonitrile (1.2 mL). Chlorotrimethylsilane (0.055 mL, 0.44 mmol) was added to the solution and it was stirred at 70 °C for 1 h. After cooling to room temperature, the reaction mixture was quenched with water and extracted with CHCl₃ three times. The combined organic phase was washed with brine and dried over Na₂SO₄. After removal of the solvent in vacuo, the residue was washed with MeOH and dried in vacuo to afford the compound **9** (51.1 mg, 0.11 mmol, >99%).

Procedure for ethynylation in the preparation of 1g in Scheme 5

A mixture of compound **9** (46.4 mg, 0.1 mmol), copper iodide (28.6 mg, 0.15 mmol) and a THF solution of ethynyl magnesium bromide (0.50 M, 1.20 mL 0.6 mmol) are heated at 60-65 °C in 1.2 mL of THF for 48 h. After acid hydrolysis, organic materials were extracted with diethyl ether, washed several times with a 0.1 M solution of hydrochloric acid, then with sat. aqueous ammonium chloride solution and finally with brine, then dried over Na₂SO₄. The solvent is evaporated and the crude product was purified by preparative TLC to afford analytically pure compound **1g** (5.5 mg, 0.021mmol, 21 %).

Characterization of new compounds



2,3-Di(prop-2-ynyl)dibenzothiophene (1g)

Pale yellow solid; mp 112 °C; ¹H NMR δ 2.28-2.29 (m, 2H), 3.77-3.78 (m, 4H), 7.43-7.47 (m, 2H), 7.83-7.86 (m, 1H), 7.99 (s, 1H), 8.14-8.17 (m, 1H), 8.24 (s, 1H); ¹³C NMR δ 23.7, 23.8, 72.4, 72.6, 81.7, 82.0, 122.5, 122.6, 123.6, 123.8, 125.3, 127.6, 131.4, 133.9, 135.7, 136.2, 139.5, 140.7; HRMS (ESI, positive): *m/z* calcd. for C₁₈H₁₃S⁺[M + H]⁺ 261.0732, found 261.0732.



5-Methoxybenzothiophene-*S*,*S*-dioxide (2d)

White solid; mp 98-99 °C; ¹H NMR δ 3.88 (s, 3H), 6.72 (d, J = 6.7 Hz, 1H), 6.85 (d, J = 2.5 Hz, 1H),

6.94-6.97 (m, 1H), 7.14 (d, J = 6.7 Hz, 1H), 7.63 (d, J = 7.9 Hz, 1H); ¹³C NMR δ 55.9, 111.9, 114.5, 123.0, 128.4, 131.8, 132.1, 133.6, 163.9; HRMS (ESI, positive): m/z calcd. for C₉H₈O₃NaS⁺[M + Na]⁺ 219.0086, found 219.0087.



5-(4,4,5,5-Tetramethyl-[1,3,2]dioxaborolan-2-yl)-benzothiophene-S,S-dioxide (2e)

Brown solid; mp 193-193.5 °C; ¹H NMR δ 1.36 (s, 12H), 6.71 (d, *J* = 6.9 Hz, 1H), 7.22 (d, *J* = 6.9 Hz, 1H), 7.71 (d, *J* = 7.8 Hz, 1H), 7.78 (s, 1H), 7.97 (d, *J* = 7.8 Hz, 1H); ¹³C NMR δ 24.8, 84.6, 120.5, 130.2, 130.3, 131.2, 132.5, 137.3, 138.9 (a pair of peaks at the aromatic region is overlapped); HRMS (ESI, positive): *m/z* calcd. for C₁₄H₁₇O₄BNaS⁺ [M + Na]⁺ 315.0833, found 315.0831.



Anthra[2,3-b]thiophene-S,S-dioxide (2g)

Brown solid; mp 254-256 °C (decomp.); ¹H NMR δ 6.85 (d, J = 6.7 Hz, 1H), 7.41 (d, J = 6.7 Hz, 1H), 7.58-7.61 (m, 2H), 7.91 (s, 1H), 8.02-8.08 (m, 2H), 8.37 (s, 1H), 8.46 (s, 1H), 8.54 (s, 1H); ¹³C NMR δ 123.9, 125.9, 127.2, 127.3, 127.5, 128.3, 128.4, 128.5, 129.5, 130.1, 131.2, 131.8, 132.9, 133.4, 133.4, 134.0; HRMS (ESI, positive): m/z calcd. for C₁₆H₁₀O₂NaS⁺ [M + Na]⁺ 289.0294, found 289.0293.



2-Tosyl-4,10-dimethyl-2,3,4a,9b-tetrahydro-1H-benzo[**4,5**]-**thieno**[**2,3**-*f*]**isoindole**-*S,S*-**dioxide** (**3aa**) Pale brown solid; mp 201-202 °C (decomp.); ¹H NMR δ 1.65 (s, 3H), 1.99 (s, 3H), 2.43 (s, 3H), 3.75 (d, *J* = 13.2 Hz, 1H), 4.00 (d, *J* = 13.2 Hz, 1H), 4.10-4.30 (m, 4H), 7.34 (d, *J* = 8.2 Hz, 2H), 7.50-7.54 (m, 2H), 7.62 (dd, *J* = 7.4, 7.4 Hz, 1H), 7.72 (d, *J* = 8.0 Hz, 2H), 7.79 (d, *J* = 8.0 Hz, 1H); ¹³C NMR δ 17.4, 18.6, 21.4, 44.4, 50.9, 68.5, 114.4, 120.9, 121.8, 127.7, 128.9, 129.2, 129.3, 129.9, 132.6, 133.0, 134.1, 137.7, 138.0, 144.0 (a pair of peaks at the aliphatic region is overlapped); HRMS (ESI, positive): *m/z* calcd. for C₂₃H₂₃O₄NNaS₂⁺ [M + Na]⁺ 464.0961, found 464.0958.



4,10-Dimethyl-2,3,4a,9b-tetrahydro-1H-benzo[**4,5**]-**thieno**[**2,3**-*f*]**isobenzofuran-***S***,***S*-**dioxide (3ba)** Pale brown solid; mp 132-133 °C; ¹H NMR δ 1.67 (s, 3H), 2.02 (s, 3H), 4.19 (d, *J* = 10.4 Hz, 1H), 4.30 (d,

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J = 9.6 Hz, 1H), 4.45 (d, J = 12.8 Hz, 1H), 4.57 (d, J = 12.8 Hz, 1H), 4.60-4.74 (m, 2H), 7.51-7.55 (m, 2H), 7.63 (dd, J = 7.2, 7.2 Hz, 1H), 7.84 (d, J = 8.0 Hz, 1H); ¹³C NMR δ 17.4, 18.8, 45.0, 69,1, 70.6, 70.7, 111.8, 118.2, 121.8, 129.1, 129.3, 132.3, 132.9, 137.3, 138.0, 138.1; HRMS (ESI, positive): m/z calcd. for C₁₆H₁₆O₃NaS⁺ [M + Na]⁺ 311.0712, found 311.0711.



2-Tosyl-10-methyl-2,3,4a,9b-tetrahydro-1H-benzo[4,5]-thieno[2,3-f]isoindole-S,S-dioxide (3ca)

The regioisomeric structure was determined by NOESY experiment (Figure 2). Pale brown solid; mp 160-164 °C; ¹H NMR δ 1.85 (s, 3H), 2.42 (s, 3H), 3.84 (d, *J* = 9.2 Hz, 1H), 3.96 (d, *J* = 9.2 Hz, 1H), 4.12-4.18 (m, 3H), 4.32 (br, 1H), 5.65 (d, *J* = 2.0 Hz, 1H), 7.32 (d, *J* = 8.0 Hz, 2H), 7.44 (d, *J* = 7.6 Hz, 1H), 7.51 (dd, *J* = 7.4, 7.4 Hz, 1H), 7.60 (dd, *J* = 7.4, 7.4 Hz, 1H), 7.70 (d, *J* = 8.0 Hz, 2H), 7.77 (d, *J* = 7.6 Hz, 1H); ¹³C NMR δ 18.8, 21.5, 42.8, 50.2, 51.6, 63.9, 105.0, 121.6, 124.9, 127.7, 128.0, 129.1, 129.2 129.9, 132.4, 133.3, 137.5, 138.1, 139.8, 144.0; HRMS (ESI, positive): *m/z* calcd. for C₂₂H₂₁NO₄NaS₂⁺ [M + Na]⁺ 450.0804, found 450.0804.



Figure 2. NOESY experiment of 3ca



2-Tosyl-10-phenyl-2,3,4a,9b-tetrahydro-1H-benzo[4,5]-thieno[2,3-f]isoindole-S,S-dioxide (3da)

The regioisomeric structure was determined by NOESY experiment (Figure 3). Pale brown solid; mp 132-132.5 °C; ¹H NMR δ 2.43 (s, 3H), 3.85-3.89 (m, 1H), 4.06 (s, 2H), 4.13 (d, *J* = 9.9 Hz, 1H), 4.45 (br, 1H), 4.73 (d, *J* = 8.9 Hz, 1H), 5.78 (d, *J* = 2.5 Hz, 1H), 6.87 (d, *J* = 7.4 Hz, 1H), 7.22-7.46 (m, 9H), 7.66 (d, *J* = 7.9 Hz, 2H), 7.72 (d, *J* = 7.4 Hz, 1H); ¹³C NMR δ 21.5, 40.9, 51.2, 51.4, 64.3, 108.5, 121.2, 127.1, 127.4, 127.8, 128.6, 129.0, 129.0, 129.1, 129.8, 130.4, 132.4, 133.4, 136.8, 137.8, 138.5, 138.8, 144.1; HRMS (ESI, positive): *m/z* calcd. for C₂₇H₂₃O₄NNaS₂⁺ [M + Na]⁺ 512.0961, found 512.0962.



Figure 3. NOESY experiment of 3da



7,12,5a,13a-Tetrahydroanthra[2,3-b]-benzo[d]thiophene-S,S-dioxide (3ea)

Pale yellow solid; mp 99-100 °C; ¹H NMR δ 3.38-3.64 (m, 4H), 4.34 (br, 2H), 5.77 (s, 1H), 5.86 (s, 1H), 7.14-7.18 (m, 4H), 7.46–7.48 (m, 2H), 7.62 (t, *J* = 6.6 Hz, 1H), 7.81 (d, *J* = 6.0 Hz, 1H); ¹³C NMR δ 36.5, 36.7, 38.0, 61.7, 109.8, 119.1, 121.9, 126.6, 126.6, 126.7, 126.8, 127.0, 128.7, 132.9, 133.8, 135.7, 136.1 138.1, 138.6, 139.6; HRMS (ESI, positive): *m/z* calcd. for C₂₀H₁₆O₂NaS⁺ [M + Na]⁺ 343.0763, found 343.0763.



2-Chloro-7,12,5a,13a-tetrahydroanthra[2,3-b]-benzo[2,3-d]thiophene-S,S-dioxide (3eb)

White solid; mp 155-157 °C; ¹H NMR δ 3.39-3.63 (m, 4H), 4.33 (s, 2H), 5.76 (s, 1H), 5.83 (s, 1H), 7.16-7.18 (m, 4H), 7.42-7.44 (m, 2H), 7.72 (d, J = 8.4 Hz, 1H); ¹³C NMR δ 36.5, 36.7, 37.5, 62.1, 109.9, 118.1, 123.0, 126.7, 126.8, 126.8, 127.0, 129.3, 133.6, 135.6, 135.9, 136.6, 138.6, 140.1, 141.7 (a pair of peaks at the aromatic region is overlapped); HRMS (ESI, positive): m/z calcd. for C₂₀H₁₅O₂ClNaS⁺[M + Na]⁺ 377.0373, found 377.0374.



2-Bromo-7,12,5a,13a-tetrahydroanthra[2,3-b]-benzo[2,3-d]thiophene-S,S-dioxide (3ec)

White solid; mp 152-153 °C; ¹H NMR δ 3.40-3.62 (m, 4H), 4.32 (s, 2H), 5.76 (s, 1H), 5.83 (s, 1H), 7.14-7.20 (m, 4H), 7.59–7.61 (m, 2H), 7.66 (d, *J* = 7.9 Hz, 1H); ¹³C NMR δ 36.5, 36.7, 37.5, 62.0, 109.9, 118.1, 123.2, 126.7, 126.8, 126.9, 127.0, 128.4, 129.7, 132.2, 133.7, 135.6, 135.9, 137.2, 138.7, 141.8; HRMS (ESI, positive): *m/z* calcd. for C₂₀H₁₅O₂BrNaS⁺ [M + Na]⁺ 420.9868, found 420.9869.



2-Methoxy-7,12,5a,13a-tetrahydroanthra[2,3-b]-benzo[2,3-d]thiophene-S,S-dioxide (3ed)

Pale yellow solid; mp 165-165.5 °C; ¹H NMR δ 3.37-3.63 (m, 4H), 3.87 (s, 3H), 4.30 (s, 2H), 5.74 (s, 1H), 5.84 (s, 1H), 6.88 (d, *J* = 1.6 Hz, 1H), 6.95-7.18 (m, 5H), 7.70 (d, *J* = 8.8 Hz, 1H); ¹³C NMR δ 36.5, 36.7, 37.9, 55.8, 62.1, 110.1, 110.7, 115.3, 118.9, 123.3, 126.6, 126.7, 126.8, 127.0, 130.1, 133.0, 135.8, 136.1,

138.4, 142.4, 164.1; HRMS (ESI, positive): m/z calcd. for C₂₁H₁₈O₃NaS⁺ [M + Na]⁺ 373.0869, found 373.0867.



2-(4,4,5,5-Tetramethyl-[1,3,2]dioxaborolan-2-yl)-7,12,5a,13a-tetrahydroanthra[2,3-b]-

benzo[2,3-d]thiophene-S,S-dioxide (3ee)

Brown solid; mp 84-85 °C; ¹H NMR δ 1.37 (d, J = 3.0 Hz, 12H), 3.37-3.64 (m, 4H), 4.30-4.35 (m, 2H), 5.83 (d, J = 8.4 Hz, 2H), 7.12-7.19 (m, 4H), 7.79-7.81 (m, 1H), 7.88-7.90 (m, 2H); ¹³C NMR δ 24.8, 24.8, 24.9, 36.5, 36.8, 38.0, 61.7, 75.0, 84.5, 109.7, 119.3, 121.0, 126.6, 126.7, 126.8, 127.0, 132.8, 132.9, 134.9, 135.8, 136.2, 138.6, 138.7, 140.4; HRMS (ESI, positive): m/z calcd. for C₂₆H₂₇O₄BNaS⁺ [M + Na]⁺ 469.1615, found 469.1615.



8,13,6a,14a-Tetrahydroanthra[2,3-b]-naphtho[2,3-d]thiophene-S,S-dioxide (3ef)

Pale yellow solid; mp 160-160.5 °C; ¹H NMR δ 3.39-3.59 (m, 4H), 4.37 (d, *J* = 9.8 Hz, 1H), 4.47 (d, *J* = 9.8 Hz, 1H), 5.86 (s, 1H), 5.93 (s, 1H), 7.12-7.18 (m, 4H), 7.52–7.61 (m, 2H), 7.86-7.93 (m, 1H), 8.30 (s, 1H); ¹³C NMR δ 36.5, 36.7, 37.5, 62.4, 110.0, 119.7, 122.3, 125.6, 126.6, 126.7, 126.8, 127.0, 127.2, 127.9, 128.6, 129.2, 132.4, 133.1, 135.5, 135.7, 135.7, 135.9, 136.1, 138.8; HRMS (ESI, positive): *m/z* calcd. for C₂₄H₁₈O₂NaS⁺[M + Na]⁺ 393.0921, found 393.0920.



9,14,7a,15a-Tetrahydroanthra[2,3-b]-anthra[2,3-d]thiophene-S,S-dioxide (3eg)

Brown solid; mp 184-185 °C, ¹H NMR δ 3.43-3.60 (m, 4H), 4.38-4.41 (m, 1H), 4.49-4.52 (m, 1H), 5.89 (d, J = 3.7 Hz, 1H), 5.99 (d, J = 3.7 Hz, 1H), 7.13-7.18 (m, 4H), 7.49-7.56 (m, 2H), 8.00-8.04 (m, 3H), 8.43 (s, 1H), 8.50 (s, 1H), 8.55 (s, 1H); ¹³C NMR δ 36.5, 36.8, 37.6, 62.5, 110.1, 120.0, 123.4, 125.7, 126.3, 126.6, 126.7, 126.7, 126.8, 127.0, 127.0, 128.1, 128.4, 128.6, 130.0, 132.2, 132.8, 133.2, 133.4, 134.4, 135.5, 135.8, 136.1, 139.0; HRMS (ESI, positive): m/z calcd. for C₂₈H₂₀O₂NaS⁺ [M+Na] ⁺ 443.1076, found 443.1076.



6,14,4a,14a-Tetrahydrotetraceno[2,3-*b*]-benzo[2,3-*d*]thiophene-*S*,*S*-dioxide (3fa)

Pale yellow solid; mp 121 °C; ¹H NMR δ 3.57-3.82 (m, 4H), 4.32-4.39 (m, 2H), 5.81 (d, *J* = 3.9 Hz, 1H), 5.91 (d, *J* = 3.9 Hz, 1H), 7.40-7.44 (m, 2H), 7.47-7.50 (m, 2H), 7.61-7.64 (m, 3H), 7.74-7.79 (m, 2H), 7.83 (d, *J* = 7.7 Hz, 1H); ¹³C NMR δ 38.4, 38.6, 39.4, 63.1, 111.4, 120.7, 123.3, 126.2, 126.5, 126.9, 126.9, 128.0, 128.6, 128.7, 130.2, 134.0, 134.1, 134.4, 135.3, 135.7, 136.1, 139.6, 140.1, 141.0; HRMS (ESI, positive): *m/z* calcd. for C₂₄H₁₈O₂NaS⁺[M + Na]⁺ 393.0920, found 393.0917.



7,14,5a,15a-Tetrahydrotetraceno[2,3-b]-naphtho[2,3-d]thiophene-S,S-dioxide (3ff)

Pale yellow solid; mp 146 °C; ¹H NMR δ 3.60-3.78 (m, 4H), 4.39-4.52 (m, 2H), 5.93 (d, J = 4.0 Hz, 1H), 5.99 (d, J = 4.0 Hz, 1H), 7.39-7.43 (m, 2H), 7.55-7.61 (m, 4H), 7.75-7.76 (m, 2H), 7.88 (d, J = 6.6 Hz, 2H), 7.96 (d, J = 8.1 Hz, 1H), 8.34 (s, 1H); ¹³C NMR δ 37.9, 38.1, 38.5, 63.4, 111.2, 120.8, 123.4, 125.8, 126.0, 126.4, 126.4, 126.5, 128.1, 128.2, 128.3, 128.9, 129.6, 130.2, 133.5, 133.6, 133.6, 134.3, 135.2, 135.6, 136.4, 136.7, 136.9, 139.8; HRMS (ESI, positive): m/z calcd. for C₂₈H₂₀O₂NaS⁺ [M + Na]⁺ 443.1076, found 443.1076.



8,15,6a,16a-Tetrahydrotetraceno[2,3-b]-anthra[2,3-d]thiophene-S,S-dioxide (3fg)

Yellow solid; mp 152 °C; ¹H NMR δ 3.63-3.79 (m, 4H), 4.42-4.56 (m, 2H), 5.95 (d, *J* = 4.1 Hz, 1H), 6.06 (d, *J* = 4.1 Hz, 1H), 7.40-7.43 (m, 2H), 7.52-7.56 (m, 2H), 7.62 (d, *J* = 3.2 Hz, 2H), 7.75-7.77 (m, 2H), 8.00-8.05 (m, 3H), 8.47 (s, 1H), 8.54 (s, 1H), 8.59 (s, 1H); ¹³C NMR δ 37.9, 38.1, 38.5, 63.5, 111.1, 121.0, 124.4, 125.8, 126.0, 126.4, 126.4, 126.6, 127.3, 127.6, 127.9, 128.1, 128.2, 129.0, 129.3, 129.6, 130.9, 133.2, 133.6, 133.6, 133.7, 134.1, 134.4, 135.2, 135.3, 135.6, 136.4, 140.0; HRMS (ESI, positive): *m/z* calcd. for C₃₂H₂₂O₂NaS⁺ [M + Na]⁺ 493.1233, found 493.1233.



Anthra[2,3-b]-benzo[2,3-d]thiophene-S,S-dioxide (4a)

Yellow solid; mp 293-294 °C; ¹H NMR δ 7.54-7.62 (m, 3H), 7.68-7.75 (m, 1H), 7.90 (d, *J* = 7.7 Hz, 1H), 8.00-8.06 (m, 3H), 8.36 (s, 1H), 8.52 (s, 1H), 8.53 (s, 1H), 8.55 (s, 1H); ¹³C NMR δ 121.2, 121.9, 122.3, 124.4, 126.5, 126.9, 127.4, 127.9, 128.2, 128.5, 129.3, 130.3, 130.4, 132.2, 132.3, 132.7, 133.5, 134.0, 135.4, 138.5; HRMS (ESI, positive): *m/z* calcd. for C₂₀H₁₂O₂NaS⁺ [M + Na]⁺ 339.0450, found 339.0450.



4,8-Dihexylbenzo[1,2-b:4,5-b']dithiophene-S,S,S',S'-tetraoxide (5)

Yellow solid; mp 172-172.5 °C; ¹H NMR δ 0.90 (t, *J* = 6.9 Hz, 6H), 1.32-1.35 (m, 8H), 1.44 - 1.47 (m, 4H), 1.64-1.67 (m, 4H), 3.00 (t, *J* = 8.4 Hz, 4H), 6.80 (d, *J* = 7.2 Hz, 2H), 7.33 (d, *J* = 7.2 Hz, 2H); ¹³C NMR δ 14.0, 22.5, 27.4, 29.4, 31.4, 31.8, 128.4, 131.8, 132.8, 134.0, 139.4; HRMS (ESI, positive): *m/z* calcd. for C₂₂H₃₀O₄NaS₂⁺ [M + Na]⁺ 445.1478, found 445.1478.



2,3,9,10,4a,7a',11a',13a-Ocatahydro-6,13-dihexyldi[2,3-*f*:2',3'-*f*]isoindolebenzo[1,2-*b*:4,5-*b*']dithiophene-*S*,*S*,*S*',*S*'-tetraoxide (6a)

Pale yellow solid; mp 138-139 °C; ¹H NMR δ 0.87 (t, *J* = 6.8 Hz, 6H), 1.22-1.53 (m, 22H), 1.99 (s, 6H), 2.43 (s, 6H), 2.66-2.84 (m, 2H), 3.06-3.23 (m, 2H), 3.73-4.60 (m, 12H), 7.34 (d, *J* = 8.1 Hz, 4H), 7.72 (d, *J* = 8,1 Hz, 4H);¹³C NMR δ 14.0, 16.8, 18.8, 21.6, 22.5, 22.6, 29.7, 29.9, 31.4, 40.8, 50.7, 50.8, 70.2, 114.1, 120.5, 127.8, 130.0, 130.5, 132.8, 135.1, 136.8, 139.3, 141.0, 144.1; HRMS (ESI, positive): *m/z* cald. for C₅₂H₆₄N₂O₈NaS₄⁺[M+Na]⁺995.3438, found 995.3436.



5,10,15,20,6a,9a',16a',18a-Ocatahydro-7,17-dihexyldianthra[2,3-*d*:2',3'-*d*]benzo[1,2-*b*:4,5-*b*']dithiophene-*S*,*S*,*S*',*S*'-tetraoxide (6e)

Pale yellow solid; mp 183-184 °C; ¹H NMR δ 0.87 (t, *J* = 14.4 Hz, 6H), 1.24-1.81 (m, 16H), 2.89-2.97 (m, 2H), 3.06-3.14 (m, 2H), 3.34 (d, *J* = 16.1 Hz, 2H), 3.42 (d, *J* = 16.1 Hz, 2H), 3.45 (d, *J* = 17.3 Hz, 2H), 3.77 (d, *J* = 17.3 Hz, 2H), 4.23 (dd, *J* = 4.1, 4.1 Hz, 2H), 4.50 (d, *J* = 5.0 Hz, 2H), 5.46 (br, 2H), 5.88 (d, *J* = 5.4 Hz, 2H), 7.16-7.25 (m, 8H);¹³C NMR δ 13.9, 14.0, 22.4, 27.9, 29.8, 31.1, 31.3, 36.5, 36.6, 62.1, 108.1, 118.0, 126.7, 126.8, 126.8, 127.0, 134.0, 135.4, 136.1, 136.7, 138.9, 141.4, 142.5; HRMS (ESI, positive): *m/z* cald. for C₄₆H₅₀O₄NaS₂⁺[M+Na]⁺753.3043, found 753.3038.



6,11,17,22,7a,9a',18a',20a-Ocatahydro-8,19-dihexyldianthra[2,3-*d*:2',3'-*d*]benzo[1,2-*b*:4,5-*b*']dithiophene-*S*,*S*,*S*',*S*'-tetraoxide (6f)

Pale yellow solid; mp 214 °C; ¹H NMR δ 0.81-0.88 (m, 6H), 1.25-1.31 (m, 10H), 1.43-1.51 (m, 3H), 1.64-1.68 (m,1H), 1.74-1.86 (m, 2H), 2.71-2.77 (m, 1H), 2.90-2.96 (m, 1H), 3.08-3.14 (m, 1H), 3.46-3.52 (m, 1H), 3.52 (d, *J* = 15.8 Hz, 2H), 3.68 (d, *J* = 15.8 Hz, 2H), 3.79 (d, *J* = 16.7 Hz, 2H), 3.94 (dd, *J* = 6.7, 16.7 Hz, 2H), 4.22-4.27 (m, 2H), 4.49 (t, *J* = 10.2 Hz, 2H), 5.52 (d, *J* = 15.1 Hz, 2H), 5.93-5.95 (m, 2H), 7.42-7.44 (m, 4H), 7.63 (br, 2H), 7.67(s, 2H), 7.76-7.79 (m, 4H); ¹³C NMR δ 14.0, 14.0, 22.5, 27.2, 28.0, 29.9, 31.2, 31.3, 31.4, 31.7, 36.5, 36.6, 37.2, 37.2, 62.2, 62.3, 108.4, 118.3, 125.0, 125.0, 125.2, 125.2, 125.6, 125.6, 127.3, 127.3, 132.7, 132.8, 134.0, 134.0, 134.2, 134.2, 134.7, 134.7, 136.8, 137.2, 139.0, 139.1, 141.6, 141.6, 142.5, 142.7; HRMS (ESI, positive): *m/z* cald. for C₅₄H₅₄O₄NaS₂⁺[M+Na]⁺853.3356, found 853.3354.



2,3-Di(hydroxymethyl)dibenzothiophene (8)

White solid; mp 163 °C; ¹H NMR δ 2.87 (br, 2H), 4.90 (s, 2H), 4.94 (s, 2H), 7.46-7.48 (m, 2H), 7.85-7.86 (m, 1H), 7.87 (s, 1H), 8.15-8.16 (m, 1H), 8.16 (s, 2H); ¹³C NMR (Acetone-d₆) δ 63.0, 63.2, 121.9, 122.5, 122.6, 123.9, 125.6, 127.6, 135.4, 136.6, 137.9, 139.0, 140.5, 140.7; HRMS (ESI, positive): *m/z* calcd. for C₁₄H₁₂O₂NaS⁺[M + Na]⁺ 267.0450, found 267.0450.



2,3-Di(iodomethyl)dibenzothiophene (9)

Yellow solid; mp 145 °C; ¹H NMR δ 4.75 (s, 2H), 4.79 (s, 2H), 7.46-7.47 (m, 2H), 7.80 (s, 1H), 7.81-7.83 (m, 1H), 8.09 (s, 1H), 8.10-8.12 (m, 1H); ¹³C NMR δ 2.2, 2.7, 121.8, 122.9, 123.6, 124.8, 124.8, 127.3, 133.9, 134.7, 136.0, 136.2, 139.9, 140.1; HRMS (ESI, positive): *m/z* calcd. for C₁₄H₁₁S⁺ [M + H]⁺ 211.0576, found 211.0575. Iodine was eliminated.



7a, 12a-Dihydro((6, 11-dihydroanthra[2,3-*b*]-benzo[2,3-*d*]thiopheno)) [2,3-*b*]-benzo[2,3-*d*]thiophene-12, 12-dioxide (10), and 7a, 12a-Dihydro((6,11-dihydroanthra[2,3-*b*]-benzo[2,3-*d*]thiopheno)) [2,3-*b*]-benzo[2,3-*d*]-thiophene-8, 8-dioxide (11)

¹H NMR δ 3.53-3.81 (m, 8H), 4.33-4.40 (m, 4H), 5.82 (br, 2H), 5.92 (br, 2H), 7.42-7.45 (m, 4H), 7.47-7.50 (m, 4H), 7.61-7.64 (m, 3H), 7.66 (s, 1H), 7.81-7.83 (m, 4H), 7.92 (s, 1H), 7.96 (s, 1H), 8.08-8.11 (m, 2H); ¹³C NMR δ 37.0, 37.1, 37.2, 37.3, 38.1, 61.7, 110.1, 110.2, 119.3, 119.4, 119.6, 119.8, 120.7, 120.9, 121.2, 121.3, 122.0, 122.8, 122.9, 124.3, 124.4, 126.4, 126.4, 126.6, 128.8, 132.6, 132.8, 133.0, 133.0, 133.9, 134.3, 134.4, 135.1, 135.4, 135.4, 135.5, 137.8, 137.9, 138.2, 138.3, 138.5, 138.6, 139.4, 139.5, 139.6, 139.6; HRMS (ESI, positive): m/z calcd. for C₂₆H₁₈O₂NaS⁺ [M + Na]⁺ 449.0640, found 449.0640.

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Enantioselective Synthesis of Multi-Substituted Tribenzothiepin Derivatives

by Rhodium-Catalyzed Intermolecular Cycloaddition

Backgrounds

Cyclooctatetraene is a non-aromatic compound possessing an 8π electron system with non-planar, and tub-shaped sturucture (Figure 1). Its cyclic skeleton gives a unique flexible π -conjugated system. For example, an aryleno[*a*,*e*]cyclooctatetraene derivative, in which two aromatic fragments are fused to a cyclooctatetraene core, has shown to exhibit a dynamic conformational change, and perturbs the π -conjugation.¹

Figure 1. Structure of cyclooctatetraene



In contrast, tetrabenzo[a,c,e,g]cyclooctatetraene, called o,o,o,o-tetraphenylene, is a very rigid molecule possessing a saddle-shaped structure and scarcely undergoes flipping.² As a result, the introduction of at least one substituent can generate chirality, and the asymmetric synthesis of multi-substituted o,o,o,o-tetraphenylenes and their applications have been studied comprehensively (Figure 2).³

Figure 2. Structure of tetraphenylene



Heteropin is a seven-membered heterocyclic compound, which is considered to be a hetero-analogue of cyclooctatetraene, but little is known about its derivatives, probably because of their instability. In fact, thiepin itself is unstable⁴ and can be isolated by converting to an iron complex⁵. On the other hand, tribenzoheteropin, a hetero-analogue of *o*,*o*,*o*,*o*-tetraphenylene, is expected to be a more stable and unique saddle-shaped molecule.⁶ The introduction of even a single substituent can generate chirality (Figure 3).

Figure 3. Enantiomes of tribenzoheteropin



However, the synthetic methods for substituted tribenzoheteropin are quite limited. Substituted tribenzazepin and tribenzothiepin have been obtained by a photo-stimulated reaction, but in low yield.⁷ As a classical protocol, Hori and co-workers reported the synthesis of substituted tribenzothiepin derivatives via [4+2] cycloaddition of cyclopentadienone-fused dibenzothiepin with alkynes (eq. 1).⁸



Ila and co-workers reported the synthesis of substituted tribenzoxepin derivatives via the cycloaromatization of ketene dithioacetal with allyl magnesium chloride (eq. 2).⁹ These two methods are stoichiometric protocols where dibenzoheteropins were used as substrates.



With regard to catalytic protocol that includes the construction of a heteropin core, only one example of consecutive Suzuki-Miyaura coupling was recently reported by Taylor and co-workers, and both tribenzoxepin and tribenzazepin derivatives could be obtained (eq. 3).¹⁰



As only an example of asymmetric synthesis, chiral tribenzothiepin-2-carboxylic acid *S*,*S*-dioxide was prepared by optical resolution using brucine,¹¹ however, there has been no report of an enantioselective synthesis of substituted tribenzoheteropins.

Results and Discussion

The author considered that transition metal-catalyzed cycloaddition can be used for the construction of tribenzothiepin skeleton. There are two types of intermolecular cycloaddition for multi-substituted tribenzothiepin derivatives (Scheme 1). A cycloaddition of diphenyl sulfide-tethered diynes with alkynes gives 1,2,3,4-substituted tribenzothiepins (path A) and that of 2-phenyl sulfanylbenzene-tethered diynes with alkynes gives chiral 5,6,7,8-substituted tribenzothiepins (path B). The author describes the first catalytic and enantioselective synthesis of multi-substituted tribenzothiepin derivatives under mild conditions.

Scheme 1. Two types of intermolecular cycloaddition for the construction of tribenzothiepin skeleton

Cycloaddition of diphenyl sulfide-tethered diynes (path A)



Cycloaddition of 2-phenyl sulfanylbenzene-tethered diynes (path B)



First, the intermolecular cycloaddition of unsymmetrical diphenyl sulfide-tethered diynes ($\mathbb{R}^1 \neq \mathbb{R}^2$) with dimethyl acetylenedicarboxylate (DMAD) was examined (path A in Scheme 1). The author chose diyne **1a** possessing an *n*-pentyl group on one of the alkyne termini as a model substrate and investigated various chiral rhodium catalysts in 1,2-dichloroethane (DCE) (Table 1). When a rhodium catalyst consisting of tetrafluoroborate (BF₄) as a counter anion and (*S*)-BINAP as a chiral ligand was used, desired 1,2,3-tribenzothiepin derivative **3aa** was obtained in moderate yield, but in low ee (Entry 1). When (*S*,*S*)-Me-DUPHOS was examined as a chiral ligand, the reaction proceeded smoothly, and the yield and ee were improved slightly (Entry 2). When (*S*,*S*)-CHIRAPHOS was used, the ee was drastically improved (Entry 3). (*S*,*S*)-BDPP gave higher ee than (*S*,*S*)-NORPHOS (Entries 4 and 5). Lower concentration of the diyne improved the yield because the formation of self-cycloadduct of **1a** was suppressed (Entry 6). When counter anions were examined, trifluoromethanesulfonate (OTf) was the best, and chiral tribenzothiepin **3aa** was obtained in high yield with high ee (Entry 8).

Enantioselective Synthesis of Tribenzothiepins

| | S C | $H_{11} = \begin{bmatrix} Rh(\\ CO_2Me \\ + Chir \\ CO_2Me \\ + CO_2Me \end{bmatrix}$ | cod) ₂]X al Ligand (<u>mol%)</u> rt, Time | | 4 ₁₁ |
|-----------------------|--------|--|---|-----------|-----------------|
| | 1a | 2 a (3 equiv) | | 3aa | |
| Entry | Х | Chiral Ligand | Time / h | Yield / % | Ee / % |
| 1^a | BF_4 | (S)-BINAP | 15 | 52 | 6 |
| 2^a | BF_4 | (S,S)-Me-DUPHOS | 2 | 70 | 17 |
| 3 ^{<i>a</i>} | BF_4 | (S,S)-CHIRAPHOS | 4.5 | 74 | 79 |
| 4^a | BF_4 | (S,S)-NORPHOS | 6 | 70 | 78 |
| 5^a | BF_4 | (S,S)-BDPP | 3.5 | 54 | 89 |
| 6^b | BF_4 | (S,S)-BDPP | 12 | 74 | 88 |
| 7^b | BARF | (S,S)-BDPP | 12 | 67 | 90 |
| 8^b | OTf | (S,S)-BDPP | 12 | 77 | 93 |

Table 1. Screening of chiral rhodium catalysts for the reaction of 1a

[a] The concentration of divide 1a was 100 mM. [b] The concentration of divide 1a was 33 mM.

Next, various diphenyl sulfide-tethered diynes were screened under the optimal reaction conditions (Table 2). The reaction using diyne **1b** possessing a phenyl group proceeded, and the corresponding tribenzothiepin **3ba** was obtained in high yield and ee. When diynes **1c-1f**, which have a methoxy group as an electron-donating group or a bromo group as an electron-withdrawing group on the benzene ring, were used, the desired reaction proceeded efficiently to give tribenzothiepins **3ca-3fa**. Thiophene could also be installed by the reaction of **1g** and **1h**, and tribenzothiepins possessing a thienyl group **3ga** and **3ha** were obtained in high ee. Diyne **1i** possessing a naphthyl group on the alkyne termini was also a good substrate, and chiral tribenzothiepin **3ia** was obtained in high yield with 93% ee. When diynes **1j** and **1k**, which have substituents on both alkyne termini, were accepted in the reaction, but heating conditions were required for high conversion. In these cases, (*S*,*S*)-CHIRAPHOS gave better results than (*S*,*S*)-BDPP, and the reactions gave tetrasubstituted tribenzothiepins **3ja** and **3ka**. While the enantioselectivity of the reaction of trimethylsilyl (TMS), pentyl-substituted diyne **1j** decreased, the reaction of phenyl and pentyl-substituted diyne **1k** proceeded almost quantitatively with high enantioselectivity. Absolute configuration of cycloadduct **3ga** was determined by X-ray crystallographic analysis in Figure **4**, and that of other cycloadducts were relatively determined.





[a] (S,S)-CHIRAPHOS was used as a chiral ligand, and the reaction was examined at rt to 60 $^{\circ}$ C.

Furthermore, the reaction of a symmetrical diyne **11** possessing pentyl groups on both alkyne termini with methyl propiolate (**2b**) as an unsymmetrical alkyne was examined (Scheme 2). The reaction required heating conditions and excess amounts of alkyne **2b**, but chiral 1,2,4-trisubstituted tribenzothiepin derivative **3lb** was obtained in moderate yield with good ee.

Scheme 2. Cycloaddition of symmetrical diphenyl sulfide-tethered diyne with methyl propiolate



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Next, the intermolecular cycloaddition of 2-phenyl sulfanylbenzene-tethered diynes with DMAD for the synthesis of multi-substituted tribenzothiepin derivatives was examined (path B in Scheme 2). The author determined **4a**, possessing a phenyl group on one of the alkyne termini as a model substrate and investigated chiral catalysts (Table 3). A rhodium catalyst consisting of BARF as a counter anion and (S,S)-Me-DUPHOS as a chiral ligand was used, but the desired cycloadduct **5aa** was not obtained (Entry 1). When (S)-BINAP was examined, desired 6,7,8-trisubstituted tribenzothiepin **5aa** was obtained in low yield, but with good ee (Entry 2). BINAP derivatives were further examined, but both yield and ee did not exceed those by BINAP (Entries 3-5). When (S)-MeO-BIPHEP was examined, the highest yield and ee were achieved (Entry 6). After counter anion screening, BARF was the best (Entries 6-8).

| | Ph S 4a | [Rh(cod + Chiral (10 md DCE (0.1 M (3 equiv) | d) ₂]X Ligand bl%) 1), rt, 3-4 h | Ph CO ₂ Me CO ₂ Me |
|-------|---------------|--|---|--|
| Entry | Х | Chiral Ligand | Yield / % | Ee / % |
| 1 | BARF | (S,S)-Me-DUPHOS | not obtained | - |
| 2 | BARF | (S)-BINAP | 36 | 66 |
| 3 | BARF | (S)-tol-BINAP | 27 | 8 |
| 4 | BARF | (S)-H ₈ -BINAP | 27 | 72 |
| 5 | BARF | (S)-SEGPHOS | 57 | 60 |
| 6 | BARF | (S)-OMe-BIPHEP | 58 | 81 |
| 7 | BF_4 | (S)-OMe-BIPHEP | 50 | 62 |
| 8 | OTf | (S)-OMe-BIPHEP | 32 | 63 |

Table 3. Screening of chiral rhodium catalysts for path B

Substrate scope of diynes **4** was shown in Table 4. The reaction of diyne **4b**, which has a 4-methoxyphenyl group on the alkyne terminus, also proceeded to give cycloadduct **5ba**. Alkyl-substituted diyne **4c** was also transformed into the corresponding cycloadduct **5ca** with good ee. The cycloaddition of diynes possessing substituents on both alkyne termini was examined: diynes **4d** and **4e** having phenyl or pentyl groups on both alkyne termini, and diynes **4f** and **4g** having phenyl and pentyl groups on the respective alkyne termini were accepted in the reaction. While the yields of 5,6,7,8-tetrasubstituted tribenzothiepins **5da-5ga** were low to moderate, good enantioselectivity was achieved. In addition, sulfoxide-tethered diyne **6** was also a good substrate, and tribenzothiepin *S*,*S*-dioxide **7** was obtained with good ee. Absolute configuration of cycloadduct **5da** was determined by X-ray crystallographic analysis in Figure 5, and that of other products were relatively determined.





[a] The reaction was examined at 40 °C. [b] The reaction was examined at 60 °C.

Cycloadduct **3ga** in Table 2 and cycloadduct **5da** in Table 4 could be prepared as a single crystal by recrystallization, and its structure and absolute configuration were ascertained by X-ray crystallographic analysis. Tribenzothiepins **3ga** and **5da** had a saddle-shaped structure as expected, and the sulfur atom and the trisubstituted benzene ring across from it were arranged upward, while the two other benzene rings were arranged downward (Figures 4 and 5).

Figure 4. ORTEP diagram of 3ga



Figure 5. ORTEP diagram of 5da



A possible explanation for enantioselective induction of **3** (path A) was shown in Scheme 3. The first step is oxidative coupling of metal catalyst and unsubstituted alkyne moiety of diyne **1** with DMAD, and metallacyclopentadiene **A1** is generated, because intermolecular coupling is more likely to proceed than intramolecular coupling, which gives seven-membered intermediate **A2**. The next step is insertion of remaining alkyne moiety of diyne **1** to intermediate **A1**. Metallacyclopentadiene **A1** can go through the square planar coodinated **A1-1** or **A1-2**, but steric hindrance occurred between aryl group on metallacyclopentadiene and methyl group on ligand in **A1-2**. Therefore **A1-1** is preferred to **A1-2**, and (*R*)-**3** is obtained predominantly.

Scheme 3. Possible explanation for enantioselective induction of 3



On the other hand, a possible explanation for enantioselective induction of **5** was shown in Scheme 4. The first step is oxidative coupling, and metallacyclopentadiene **B1** can be generated because intermolecular coupling is more likely to proceed than intramolecular coupling, which gives seven-membered intermediate **B2**. The next step is insertion of remaining alkyne moiety of diyne **4** to intermediate **B1**. Metallacyclopentadiene **B1** can go through the square planar coodinated **B1-1** or **B1-2**, but steric hindrance occurred between sulfur atom/aryl group on metallacyclopentadiene and phenyl group on ligand in **B1-2**. Therefore **B1-1** is preferred to **B1-2**, and (*R*)-**5** is obtained mainly.





Next, the circular dichroism (CD) and ultraviolet-visible (UV-vis) spectra of **3ha** (Figures 6a and 6b) and the inversion energy of saddle-shaped compounds **3aa** and **3ka** in terms of their rates of racemization were measured (Figures 6c and 6d). Trisubstituted tribenzothiepin **3aa** was stable at 60 °C for 2 h, but racemization was observed at 80 °C. The rate constant of racemization for **3aa** at (353 K) was determined to be 6.63×10^{-6} s⁻¹, and the inversion energy (ΔG^{\ddagger}) was calculated to be 29.1 kcal per mole according to the Eyring equation, which means that the half-life of **3aa** at 20 °C is 9.2 years. On the other hand, tetrasubstituted tribenzothiepin **3ka** was more stable, as expected, and racemization was observed at 120 °C for 2 h. The rate constant of racemization energy (ΔG^{\ddagger}) were calculated to be 4.59×10⁻⁵ s⁻¹ (393 K) and 31.0 kcal per mole, respectively, which means that the half-life of **3ka** at 20 °C is 230 years.

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Figure 6. CD and UV spectra, chirality and rate constant of racemization.¹² (a) CD spectra of compound (*S*)- and (*R*)-**3ha** in 1,2-dichloroethane solution $(3.75 \times 10^{-3} \text{ M})$. Blue line and Green line are CD spectra of (*S*)-**3ha** and (*R*)-**3ha**. Maximum values of (*S*)- and (*R*)-**3ha** are 209, 248 and 276 nm. (b) UV-vis spectra of (*R*)-**3ha** in dichloromethane solution $(1.43 \times 10^{-5} \text{ M})$, λ_{max} and log ε are 252 nm and 4.51. (c) Plot of ln[a/(a-2x)] versus t (s), a is the concentration of (*R*)-**3aa** (a = 0.944) and x is the concentration of newly generated (*S*)-**3aa** at time t. A linear curve fitting equation: $y = 1.33 \times 10^{-5} \text{ x} + 0.100$, $R^2 = 0.992$. Rate constant $k_{3aa} = 6.63 \times 10^{-6} \text{ s}^{-1}$ (353 K). Saddle inversion energy $\Delta G^{\ddagger} = 29.1$ kcal mol⁻¹. (d) Plot of ln[a/(a-2x)] versus t (s), a is the concentration of (*R*)-**3ka** (a = 0.933) and x is the concentration of newly generated (*S*)-**3ka** at time t. A linear curve fitting equation: $y = 9.19 \times 10^{-5} \text{ x} + 0.017$, $R^2 = 0.979$. Rate constant $k_{3ka} = 4.59 \times 10^{-5} \text{ s}^{-1}$ (393 K). Saddle inversion energy $\Delta G^{\ddagger} = 31.0$ kcal mol⁻¹.



In addition, the cycloaddition of selenium-tethered diyne 8 was examined (Scheme 5). The reaction of 8 with DMAD 2a proceeded to give tribenzoselenepin 9 with high ee using (S,S)-BDPP as a chiral ligand and in high yield using (S,S)-CHIRAPHOS. Notably, this is the first example of the synthesis of tribenzoselenepin skeleton.





Conclusion

The author developed two-type intermolecular cycloadditions of diphenyl sulfide-tethered diynes and 2-phenyl sulfanylbenzene-tethered diynes with alkynes. The present reaction realized the first catalytic and enantioselective synthesis of multi-substituted tribenzoheteropins including tribenzothiepins, tribenzothiepin *S*,*S*-dioxide, and tribenzoselenepin.
Experimental Section

General

¹H NMR spectra were recorded on JEOL ECX-500 (500 MHz) spectrometers. The chemical shifts were reported in parts per million (δ) relative to internal standard TMS (0 ppm) for CDCl₃. The peak patterns are indicated as follows: s, singlet; d, doublet; dd, doublet of doublet; t, triplet; dt, doublet of triplet; m, multiplet; q, quartet. The coupling constants, *J*, are reported in Hertz (Hz). ¹³C NMR spectra were obtained by JEOL ECX-500 (125 MHz) spectrometers and referenced to the internal solvent signals (central peak is 77.16 ppm in CDCl₃). CDCl₃ was used as a NMR solvent. High-resolution mass spectra (HRMS) were measured on an ESI (Electro Spray Ionization) – Orbitrap mass spectrometer method. Optical rotations were measured on a JASCO DIP-1000 polarimeter. Ultraviolet spectrum was measured on a JASCO V-630 spectrometer. CD spectra were recorded on a JASCO J-820 (420W Xe) spectropolarimeter. X-ray structures were obtained by a Rigaku R-AXIS RAPID diffractometer. Preparative thin-layer chromatography (PTLC) was performed with silica gel-precoated glass plates (Merck 60 GF254) prepared in the author's laboratory, Flash column chromatography was performed over silica gel 200-300. All reagents were weighed and handled in air and backfilled under argon at room temperature. Unless otherwise noted, all reactions were performed under an argon atmosphere. All reagents were purchased from Wako, Kanto, Aldrich and TCI and used without further purification.

General procedure for the synthesis of tribenzothiepin derivatives by the intermolecular cycloaddition of diphenyl sulfide-tethered diynes with DMAD (path A)

[Rh(cod)₂]OTf (2.3 mg, 0.005 mmol) and (*S*,*S*)-BDPP (2.2 mg, 0.005 mmol) were placed in Schlenk tube, which was then evacuated and backfilled with argon ($3\times$). To the reaction vessel was added CH₂Cl₂ (1.0 mL). Then it was filled with H₂, and the mixture was stirred at room temperature for 30 min under H₂. After removal of the solvent and H₂ under reduced pressure, the reaction vessel was filled with argon. 1,2-Dichloroethane (0.6 mL) was added to the flask and the mixture was stirred to give a yellowish solution. Then, a 1,2-dichloroethane solution (0.9 mL) of diyne (0.05 mmol) and dimethyl acetylenedicarboxylate (21.3 mg, 0.15 mmol) was added and the mixture was stirred at room temperature. The volatiles were removed under reduced pressure, and the crude product was purified by preparative TLC to give a tribenzothiepin derivative.

General procedure for the synthesis of tribenzothiepin derivatives by the intermolecular cycloaddition of 2-phenyl sulfanylbenzene-tethered diynes with DMAD (path B)

[Rh(cod)₂]BARF (5.9 mg, 0.005 mmol) and (*S*)-OMe-BIPHEP (2.9 mg, 0.005 mmol) were placed in Schlenk tube. The following protocol is the same as above.

Characterization of new compounds



(2-Ethynylphenyl)(2-(hept-1-ynyl)phenyl)sulfane (1a)

Prepared Sonogashira coupling conditions were used.¹³ Tetrahydrofuran (3.1 mL) and diisopropylamine (0.3 mL) were added to a mixture of (2-iodophenyl)(2-(hept-1-ynyl)phenyl)sulfane (165.0 mg, 0.4 mmol), Pd(PPh₃)Cl₂ (69.8 mg, 0.1 mmol) and CuI (38.1 mg, 0.2 mmol) under an Ar atmosphere. Trimetylsilvlacetylene (0.2 ml, 1.2 mmol) was added, and the resulting mixture was stirred at room temperature. After 2 h, the solvents were removed, and the residue was purified by column chromatography on silica gel (hexane/CH₂Cl₂ = 3/1)to give (2-(hept-1-ynyl)phenyl)(2-trimethylsilylethynylphenyl)sulfane 1j (102.3 mg, 67%) as a yellow oil. 1j was dissolved in methanol (1.3 ml). After addition of KOH (30.5 mg, 0.5 mmol) and water (0.13 ml), the mixture was stirred overnight at room temperature. The reaction mixture was concentrated via rotary evaporation and diluted with brine. The solution was extracted with three portions of ethyl acetate. The combined organic fractions were dried over Na₂SO₄, filtered and concentrated via rotary evaporation. The crude product was purified by column chromatography on silica gel (hexane/ $CH_2Cl_2 = 3/1$) to give divne **1a** (44.0 mg, 53%) as a brown oil. ¹H NMR δ 7.53 (dd, J = 1.4, 7.5 Hz, 1H), 7.46-7.45 (m, 1H), 7.24-7.16 (m, 5H), 7.09-7.07 (m, 1H), 3.37 (s, 1H), 2.35 (t, J = 7.2 Hz, 2H), 1.50-1.46 (m, 2H), 1.36-1.26 (m, 4H), 0.87 (t, J = 7.3 Hz, 3H); ¹³C NMR δ 139.2, 136.6, 133.6, 133.1, 132.1, 130.4, 129.5, 128.3, 127.5, 126.8, 126.5, 123.2, 97.4, 83.2, 81.5, 78.7, 31.2, 28.4, 22.4, 19.8, 14.2; HRMS(ESI) calcd for C₂₁H₂₀NaS (M+Na): 327.1178; found: 327.1178.



(2-Ethynylphenyl)(2-(phenylethynyl)phenyl)sulfane (1b)

Isolated by column chromatography on silica gel (hexane/EtOAc = 20/1). The title compound was obtained as a pale yellow solid (35%). Mp 70 °C; ¹H NMR δ 7.60-7.58 (m, 1H), 7.55 (dd, *J* = 1.1, 7.3 Hz, 1H), 7.44-7.42 (m, 2H), 7.31-7.26 (m, 6H), 7.23 (dd, *J* = 1.4, 7.5 Hz, 1H), 7.20 (dd, *J* = 1.5, 7.5 Hz, 1H), 7.18-7.16 (m, 1H), 3.38 (s, 1H); ¹³C NMR δ 138.8, 137.3, 133.8, 133.1, 132.0, 131.9, 130.8, 129.6, 129.1, 128.6, 128.4, 127.5, 126.8, 125.7, 123.5, 123.5, 95.5, 87.6, 83.4, 81.5; HRMS(ESI) calcd for C₂₂H₁₄NaS (M+Na): 333.0708; found: 333.0708.



(2-Ethynylphenyl)(2-(3-methoxyphenylethynyl)phenyl)sulfane (1c)

Isolated by column chromatography on silica gel (hexane/EtOAc = 10/1). The title compound was obtained as a yellow oil (57%). ¹H NMR δ 7.60-7.58 (m, 1H), 7.54 (dd, *J* = 1.4, 7.5 Hz, 1H), 7.32-7.17 (m, 6H), 7.16-7.13 (m, 1H), 7.04-7.03 (m, 1H), 6.93-6.92 (m, 1H), 6.87-6.85 (m, 1H), 3.79 (s, 3H), 3.39 (s, 1H); ¹³C NMR δ 159.4, 138.9, 136.9, 133.6, 133.1, 132.2, 130.3, 129.5, 129.4, 129.0, 127.6, 126.6, 125.8, 124.4, 124.1, 123.1, 116.2, 115.6, 95.6, 87.3, 83.4, 81.4, 55.4; HRMS(ESI) calcd for C₂₃H₁₆NaOS (M+Na): 363.0814; found: 363.0814.



(2-Ethynylphenyl)(2-(4-methoxyphenylethynyl)phenyl)sulfane (1d)

Isolated by column chromatography on silica gel (hexane/EtOAc = 10/1). The title compound was obtained as a pale yellow solid (82%). Mp 85 °C; ¹H NMR δ 7.57-7.53 (m, 2H), 7.37-7.34 (m, 2H), 7.28-7.21 (m, 4H), 7.19 (dd, J = 1.6, 7.6 Hz, 1H), 7.16-7.14 (m, 1H), 6.82 (dd, J = 2.1, 6.9 Hz, 2H), 3.81 (s, 3H), 3.39 (s, 1H); ¹³C NMR δ 159.9, 138.9, 136.7, 133.6, 133.3, 132.8, 132.1, 130.5, 129.5, 128.6,

127.5, 126.6, 126.1, 123.2, 115.3, 114.0, 95.8, 86.3, 83.3, 81.4, 55.4; HRMS(ESI) calcd for C₂₃H₁₆NaOS (M+Na): 363.0814; found: 363.0814.



(2-(3-Bromophenylethynyl)phenyl)(2-ethynylphenyl)sulfane (1e)

Isolated by column chromatography on silica gel (hexane/EtOAc = 10/1). The title compound was obtained as a yellow oil (40%). ¹H NMR δ 7.58-7.54 (m, 3H), 7.43-7.42 (m, 1H), 7.35-7.33 (m, 1H), 7.30-7.27 (m, 3H), 7.23 (dd, J = 1.7, 7.5 Hz, 1H), 7.21 (dd, J = 1.7, 7.5 Hz, 1H), 7.18-7.14 (m, 2H), 3.39 (s, 1H); ¹³C NMR δ 138.6, 137.4, 134.5, 133.8, 133.1, 132.1, 131.6, 130.6, 130.3, 129.8, 129.6, 129.3, 127.5, 126.8, 125.2, 125.1, 123.4, 122.2, 94.0, 88.7, 83.4, 81.3; HRMS(ESI) calcd for C₂₂H₁₃BrNaS (M+Na): 410.9814; found: 410.9815.



(2-(4-Bromophenylethynyl)phenyl)(2-ethynylphenyl)sulfane (1f)

Isolated by column chromatography on silica gel (hexane/EtOAc = 10/1). The title compound was obtained as an orange solid (66%). Mp 62 °C; ¹H NMR δ 7.58-7.56 (m, 1H), 7.54 (dd, *J* = 1.3, 7.4 Hz, 1H), 7.43-7.42 (m, 2H), 7.30-7.25 (m, 5H), 7.25-7.21 (m, 1H), 7.20-7.17 (m, 1H), 7.14 (dd, *J* = 1.2, 7.9 Hz, 1H), 3.39 (s, 1H); ¹³C NMR δ 138.8, 137.3, 133.8, 133.3, 133.1, 132.2, 131.7, 130.7, 129.7, 129.3, 127.6, 126.9, 125.5, 123.4, 122.9, 122.2, 94.6, 88.7, 83.5, 81.4; HRMS(ESI) calcd for C₂₂H₁₃BrNaS (M+Na): 410.9814; found: 410.9815.



(2-Ethynylphenyl)(2-(thiophen-2-ylethynyl)phenyl)sulfane (1g)

Isolated by column chromatography on silica gel (hexane/EtOAc = 10/1). The title compound was

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obtained as a yellow solid (64%). Mp 64 °C; ¹H NMR δ 7.57-7.54 (m, 2H), 7.30-7.25 (m, 4H), 7.23 (dd, J = 1.8, 7.6 Hz, 1H), 7.21-7.18 (m, 2H), 7.18-7.15 (m, 1H), 6.97 (dd, J = 3.7, 5.2 Hz, 1H), 3.38 (s, 1H); ¹³C NMR δ 138.6, 137.0, 133.7, 132.8, 132.4, 132.1, 130.7, 129.5, 129.0, 127.8, 127.4, 127.2, 126.8, 125.4, 123.5, 123.1, 91.1, 88.9, 83.3, 81.4; HRMS(ESI) calcd for C₂₀H₁₂NaS₂ (M+Na): 339.0273; found: 339.0272.



(2-Ethynylphenyl)(2-(thiophen-3-ylethynyl)phenyl)sulfane (1h)

Isolated by column chromatography on silica gel (hexane/EtOAc = 10/1). The title compound was obtained as a brown oil (63%). ¹H NMR δ 7.57-7.53 (m, 2H), 7.42 (dd, *J* = 1.1, 3.0 Hz, 1H), 7.31-7.23 (m, 5H), 7.22-7.18 (m, 1H), 7.17-7.13 (m, 1H), 7.08 (dd, *J* = 1.1, 5.0 Hz, 1H), 3.39 (s, 1H); ¹³C NMR δ 139.0, 137.0, 133.7, 133.0, 132.3, 130.3, 130.1, 129.6, 129.3, 129.0, 127.6, 126.8, 125.9, 125.4, 123.3, 122.3, 90.9, 87.1, 83.4, 81.5; HRMS(ESI) calcd for C₂₀H₁₂NaS₂ (M+Na): 339.0273; found: 339.0272.



(2-Ethynylphenyl)(2-(naphth-2-ylethynyl)phenyl)sulfane (1i)

Isolated by column chromatography on silica gel (hexane/EtOAc = 10/1). The title compound was obtained as a pale yellow solid (73%). Mp 88 °C; ¹H NMR δ 7.93 (s, 1H), 7.80-7.74 (m, 3H), 7.64-7.62 (m, 1H), 7.57-7.56 (m, 1H), 7.48-7.46 (m, 3H), 7.32-7.22 (m, 4H), 7.20-7.17 (m, 2H), 3.42 (s, 1H); ¹³C NMR δ 138.9, 137.1, 133.7, 133.1, 133.0, 133.0, 132.2, 131.8, 130.6, 129.6, 129.0, 128.5, 128.0, 128.0, 127.9, 127.5, 126.8, 126.7, 126.6, 125.8, 123.3, 120.5, 96.2, 87.9, 83.4, 81.4; HRMS(ESI) calcd for C₂₆H₁₆NaS (M+Na): 383.0865; found: 383.0865.



(2-(Hept-1-ynyl)phenyl)(2-trimethylsilylethynylphenyl)sulfane (1j)

A brown oil; ¹H NMR δ 7.49-7.48 (m, 1H), 7.44-7.42 (m, 1H), 7.20-7.12 (m, 6H), 2.38 (t, *J* = 7.1 Hz, 2H),

1.55-1.51 (m, 2H), 1.39-1.30 (m, 4H), 0.88 (t, J = 7.1 Hz, 3H), 0.17 (s, 9H); ¹³C NMR δ 138.5, 137.6, 133.3, 132.9, 131.1, 131.0, 129.1, 128.2, 126.9, 126.8, 125.9, 124.9, 102.7, 101.2, 97.3, 78.8, 31.2, 28.5, 22.4, 19.8, 14.2, 0.0; HRMS(ESI) calcd for C₂₄H₂₈NaSSi (M+Na): 399.1573; found: 399.1571.



(2-(Hept-1-ynyl)phenyl)(2-(phenylethynyl)phenyl)sulfane (1k)

Isolated by column chromatography on silica gel (hexane/EtOAc = 20/1). The title compound was obtained as a yellow oil (80%). ¹H NMR δ 7.56 (dd, *J* = 3.3, 5.8 Hz, 1H), 7.47-7.44 (m, 3H), 7.31-7.30 (m, 3H), 7.23-7.16 (m, 6H), 2.37 (t, *J* = 7.1 Hz, 2H), 1.53-1.50 (m, 2H), 1.37-1.25 (m, 4H), 0.85 (t, *J* = 7.3 Hz, 3H); ¹³C NMR δ 138.3, 137.3, 133.0, 132.8, 131.8, 131.4, 131.0, 128.8, 128.5, 128.3, 128.2, 127.0, 126.7, 126.1, 124.8, 123.4, 97.3, 95.6, 87.6, 78.8, 31.2, 28.4, 22.3, 19.8, 14.1; HRMS(ESI) calcd for C₂₇H₂₄NaS (M+Na): 403.1491; found: 403.1492.



Bis(2-(hept-1-ynyl)phenyl)sulfane (11)

Isolated by column chromatography on silica gel (hexane/EtOAc = 20/1). The title compound was obtained as a yellow oil (69%). ¹H NMR δ 7.44-7.42 (m, 2H), 7.17-7.12 (m, 6H), 2.37 (t, *J* = 7.2 Hz, 4H), 1.55-1.49 (m, 4H), 1.40-1.28 (m, 8H), 0.88 (t, *J* = 7.1 Hz, 6H); ¹³C NMR δ 137.5, 132.6, 130.8, 127.9, 126.6, 125.7, 97.0, 78.6, 31.0, 28.2, 22.2, 19.6, 14.0; HRMS(ESI) calcd for C₂₆H₃₀NaS (M+Na): 397.1960; found: 397.1958.



(2-((2-Ethynyl)phenyl)(phenylethynyl)sulfane (4a)

Prepared Suzuki-Miyaura coupling conditions were used.¹⁴ (2-Iodophenyl)(2-phenylethynyl)sulfane (628.1 mg, 1.9 mmol), 2-(2-ethynyl)phenylboronic acid (327.3 mg, 2.2 mmol), K₂CO₃ (775.1 mg, 5.6 mmol), toluene (19.3 mL), ethanol (5.9 mL), and water (5.9 mL) were degassed and added to a 50 mL dry two-necked pear-shaped flask equipped with a rubber septum. Pd(PPh₃)₄ (53.7 mg, 0.05 mmol, 0.03

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equiv) was added as a solid with a counterflow of argon. The reaction vessel was then placed in an oil bath preheated to 65 °C. After stirring for 24 h, the reaction mixture was allowed to cool to room temperature, concentrated via rotary evaporation and diluted with H₂O. The solution was extracted with three portions of CH₂Cl₂. The combined organic fractions were dried over Na₂SO₄, filtered and concentrated via rotary evaporation. The crude product was purified by column chromatography on silica gel (hexane only) to give diyne **4a** (195.4 mg, 34%) as a yellow oil. ¹H NMR δ 7.87 (d, *J* = 8.0 Hz, 1H), 7.63 (dd, *J* = 1.1, 7.6 Hz, 1H), 7.49-7.47 (m, 2H), 7.45-7.36 (m, 3H), 7.34-7.25 (m, 6H), 2.97 (s, 1H); ¹³C NMR δ 141.8, 138.4, 133.2, 132.5, 131.7, 130.3, 129.9, 128.8, 128.7, 128.5, 128.3, 128.1, 126.4, 126.1, 123.0, 122.0, 98.0, 82.0, 80.7, 76.0; HRMS(ESI) calcd for C₂₂H₁₄NaS (M+Na): 333.0708; found: 333.0708.



(2-(2-Ethynylphenyl)(4-methoxyphenylethynyl)sulfane (4b)

Isolated by column chromatography on silica gel (hexane/EtOAc = 10/1). The title compound was obtained as a white solid (33%). Mp 113 °C; ¹H NMR δ 7.85 (dd, *J* = 0.7, 8.1 Hz, 1H), 7.63 (dd, *J* = 1.5, 7.4 Hz, 1H), 7.45-7.36 (m, 5H), 7.33-7.24 (m, 3H), 6.87-6.84 (m, 2H), 3.82 (s, 3H), 2.69 (s, 1H); ¹³C NMR δ 160.2, 142.0, 138.3, 133.9, 133.4, 133.1, 130.4, 130.1, 128.9, 128.8, 128.2, 126.4, 126.0, 122.1, 115.2, 111.4, 98.2, 82.1, 80.7, 74.1, 55.5; HRMS(ESI) calcd for C₂₃H₁₆NaOS (M+Na): 363.0814; found: 363.0814.



(2-((2-Ethynyl)phenyl)(hept-1-ynyl)sulfane (4c)

Isolated by column chromatography on silica gel (hexane only). The title compound was obtained as a yellow oil (32%). ¹H NMR δ 7.79 (dd, *J* = 0.6, 8.0 Hz, 1H), 7.61 (dd, *J* = 1.4, 7.6 Hz, 1H), 7.42-7.34 (m, 4H), 7.29-7.27 (m, 1H), 7.24-7.21 (m, 1H), 2.94 (s, 1H), 2.42 (t, *J* = 7.2 Hz, 2H), 1.61-1.54 (m, 2H), 1.44-1.30 (m, 4H), 0.91 (t, *J* = 7.2 Hz, 3H); ¹³C NMR δ 142.0, 137.9, 133.3, 133.2, 130.1, 129.9, 128.6, 128.6, 128.0, 125.9, 125.6, 122.0, 100.3, 82.0, 80.5, 65.0, 31.1, 28.4, 22.2, 20.3, 14.0; HRMS(ESI) calcd for C₂₁H₂₀NaS (M+Na): 327.1178; found: 327.1178.





(Phenylethynyl)(2-((2-phenylethynyl)phenyl)phenyl)sulfane (4d)

Isolated by column chromatography on silica gel (hexane only). The title compound was obtained as a yellow oil (33%). ¹H NMR δ 7.90 (d, *J* = 7.9 Hz, 1H), 7.66-7.64 (m, 1H), 7.47-7.43 (m, 3H), 7.42-7.36 (m, 3H), 7.34-7.29 (m, 5H), 7.24-7.17 (m, 5H); ¹³C NMR δ 141.6, 138.9, 132.8, 132.1, 131.7, 131.5, 130.6, 129.8, 128.7, 128.5, 128.3, 128.2, 128.1, 128.1, 126.6, 126.1, 123.2, 123.2, 123.1, 97.6, 93.2, 88.3, 76.4 (a pair of peaks at the aromatic region is overlapped); HRMS(ESI) calcd for C₂₈H₁₈NaS (M+Na): 409.1021; found: 409.1022.



(Hept-1-ynyl)(2-(2-(hept-1-ynyl)phenyl)phenyl)sulfane (4e)

Isolated by column chromatography on silica gel (hexane only). The title compound was obtained as a pale brown oil (60%). ¹H NMR δ 7.89 (dd, J = 0.9, 7.9 Hz, 1H), 7.62-7.59 (m, 1H), 7.50-7.47 (m, 1H), 7.44-7.41 (m, 2H), 7.37-7.31 (m, 3H), 2.54 (t, J = 7.1 Hz, 2H), 2.30 (dt, $J_d = 2.3$ Hz, $J_t = 6.9$ Hz, 2H), 1.73-1.67 (m, 2H), 1.56-1.49 (m, 2H), 1.48-1.40 (m, 4H), 1.34-1.28 (m, 2H), 1.27-1.21 (m, 2H), 1.02 (t, J = 7.2 Hz, 3H), 0.96 (t, J = 7.2 Hz, 3H); ¹³C NMR δ 141.4, 138.7, 133.4, 132.1, 130.1, 129.6, 128.2, 127.9, 127.3, 125.8, 125.4, 123.9, 99.9, 94.3, 79.2, 65.4, 31.1, 30.6, 28.4, 28.0, 22.2, 22.2, 20.3, 19.3, 13.9, 13.9; HRMS(ESI) calcd for C₂₆H₃₀NaS (M+Na): 397.1960; found: 397.1957.



(2-(2-(Hept-1-ynyl)phenyl)(phenylethynyl)sulfane (4f)

Isolated by column chromatography on silica gel (hexane only). The title compound was obtained as a brown oil (30%). ¹H NMR δ 7.85 (d, *J* = 8.0 Hz, 1H), 7.52-7.46 (m, 3H), 7.41-7.38 (m, 1H), 7.35-7.31 (m, 5H), 7.29-7.23 (m, 3H), 2.40 (dt, *J*_d = 3.1 Hz, *J*_t = 6.9 Hz, 2H), 1.35-1.29 (m, 2H), 1.22-1.10 (m, 4H), 0.84 (t, *J* = 7.1 Hz, 3H); ¹³C NMR δ 141.3, 139.2, 132.6, 132.2, 131.7, 130.4, 129.6, 128.4, 128.3, 128.0, 127.4, 126.3, 125.9, 124.0, 123.1, 97.7, 94.5, 79.2, 76.5, 30.7, 28.1, 22.2, 19.3, 13.9 (a pair of peaks at the

aromatic region is overlapped); HRMS(ESI) calcd for C₂₇H₂₄NaS (M+Na): 403.1491; found: 403.1492.



(Hept-1-ynyl)(2-((2-phenylethynyl)phenyl)phenyl)sulfane (4g)

Isolated by column chromatography on silica gel (hexane only). The title compound was obtained as a brown oil (60%). ¹H NMR δ 7.83 (d, *J* = 7.9 Hz, 1H), 7.64-7.61 (m, 1H), 7.44-7.41 (m, 1H), 7.40-7.36 (m, 2H), 7.35-7.33 (m, 1H), 7.30-7.28 (m, 2H), 7.23-7.20 (m, 3H), 7.18-7.16 (m, 2H), 2.40 (t, *J* = 7.1 Hz, 2H), 1.59-1.53 (m, 2H), 1.42-1.28 (m, 4H), 0.90 (t, *J* = 7.2 Hz, 3H); ¹³C NMR δ 141.7, 138.4, 133.6, 132.0, 131.5, 130.4, 129.7, 128.5, 128.1, 128.1, 128.0, 128.0, 126.1, 125.5, 123.3, 123.2, 100.0, 93.0, 88.3, 65.3, 31.0, 28.3, 22.2, 20.3, 14.0; HRMS(ESI) calcd for C₂₇H₂₄NaS (M+Na): 403.1491; found: 403.1490.



(Hept-1-ynyl)(2-((2-phenylethynyl)phenyl)phenyl)sulfone (6)

m-Chloroperoxybenzoic acid 30 % water (308.2 mg, 1.3 mmol, 2.5 equiv) was added to the solution of **4g** (190.3 mg, 0.5 mmol, 1 equiv) in CHCl₃ (3.5 mL) for 1 h at 25 °C. The residue was added to NaHCO₃, and the mixture was extracted with CH₂Cl₂, dried over Na₂SO₄, filtered, and the solvent removed under vacuum. The crude product was purified by column chromatography on silica gel (hexane/EtOAc = 10/1) to give diyne **6** (134.1 mg, 65%) as a white solid. Mp 95 °C; ¹H NMR δ 8.27 (d, *J* = 7.8 Hz, 1H), 7.69 (dt, *J*_d = 1.2 Hz, *J*_t = 7.4 Hz, 1H), 7.63-7.58 (m, 2H), 7.55-7.53 (m, 1H), 7.49 (dd, *J* = 1.0, 7.6 Hz, 1H), 7.44-7.39 (m, 2H), 7.24-7.19 (m, 3H), 7.02 (dd, *J* = 1.2, 7.3 Hz, 2H), 2.10 (t, *J* = 7.2 Hz, 2H), 1.39-1.32 (m, 2H), 1.17-1.13 (m, 4H), 0.80 (t, *J* = 6.8 Hz, 3H); ¹³C NMR δ 140.5, 140.1, 140.0, 133.5, 132.9, 131.4, 131.2, 131.1, 128.2, 128.1, 128.1, 127.4, 123.3, 122.9, 97.5, 93.4, 88.6, 77.3, 30.9, 26.4, 21.9, 19.0, 13.7 (two pairs of peaks at the aromatic region are overlapped); HRMS(ESI) calcd for C₂₇H₂₄Na O₂S (M+Na): 435.1389; found: 435.1390.



(2-Ethynylphenyl)(2-(hept-1-ynyl)phenyl)selenium (8)

Isolated by column chromatography on silica gel (hexane only). The title compound was obtained as a brown oil (87%). ¹H NMR δ 7.55-7.53 (m, 1H), 7.44 (dd, J = 1.4, 7.6 Hz, 1H), 7.30-7.27 (m, 2H),

7.25-7.18 (m, 3H), 7.16-7.12 (m, 1H), 3.35 (s, 1H), 2.38 (t, J = 7.0 Hz, 2H), 1.54-1.48 (m, 2H), 1.41-1.25 (m, 4H), 0.89 (t, J = 7.2 Hz, 3H); ¹³C NMR δ 134.8, 133.7, 133.4, 132.8, 132.6, 129.4, 128.2, 127.2, 127.1, 127.0, 124.8, 96.4, 82.3, 82.2, 79.5, 31.0, 28.2, 22.2, 19.5, 14.0 (a pair of peaks at the aromatic region is overlapped); HRMS(ESI) calcd for C₂₁H₂₀NaSe (M+Na): 375.0622; found: 375.0623.



2,3-Bis(methoxycarbonyl)-1-heptyltribenzo[b,d,f]thiepin (3aa)

Isolated by preparative TLC (toluene/CH₂Cl₂ = 1/7). The title compound was obtained as a yellow oil (77%). ¹H NMR δ 7.97 (s, 1H), 7.66-7.64 (m, 1H), 7.60-7.57 (m, 2H), 7.37-7.32 (m, 2H), 7.28-7.23 (m, 3H), 3.99 (s, 3H), 3.92 (s, 3H), 3.00-2.94 (m, 1H), 2.48-2.42 (m, 1H), 1.36-1.26 (m, 1H), 1.18-0.98 (m, 5H), 0.70 (t, *J* = 6.7 Hz, 3H); ¹³C NMR δ 170.1, 166.0, 144.6, 142.0, 141.9, 141.1, 140.6, 139.4, 138.8, 134.9, 132.8, 132.1, 131.4, 130.1, 129.8, 128.7, 128.4, 127.7, 126.4, 85.6, 52.6, 52.6, 31.5, 31.1, 29.7, 21.9, 13.8; HRMS(ESI) calcd for C₂₇H₂₆NaO₄S (M+Na): 469.1444; found: 469.1445; [α]²⁵_D = +12.4 (*c* 0.9, CHCl₃, 74% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IB: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 5% 2-propanol in hexane, flow rate: 1.0 mL/min, retention time: 5.9 min for major isomer and 7.2 min for minor isomer).



2,3-Bis(methoxycarbonyl)-1-phenyltribenzo[b,d,f]thiepin (3ba)

Isolated by preparative TLC (toluene/CH₂Cl₂ = 1/3). The title compound was obtained as a white solid (80%). Mp 82 °C; ¹H NMR δ 8.16 (s, 1H), 7.64-7.62 (m, 2H), 7.53-7.50 (m, 2H), 7.39 (dt, J_d = 1.2 Hz, J_t = 7.5 Hz, 1H), 7.34-7.27 (m, 2H), 7.16-7.13 (m, 1H), 7.01-6.96 (m, 2H), 6.76-6.68 (m, 3H), 3.94 (s, 3H), 3.60 (s, 3H); ¹³C NMR δ 169.3, 166.0, 144.3, 142.4, 142.0, 140.7, 140.6, 140.1, 139.8, 138.4, 135.6, 133.4, 132.3, 132.2, 131.5, 131.0, 130.6, 130.4, 129.0, 128.7, 128.1, 128.0, 127.5, 127.4, 127.3, 126.2, 52.9, 52.5; HRMS(ESI) calcd for C₂₈H₂₀Na O₄S (M+Na): 475.0975; found: 475.0976; [α]²⁵_D = -108.8 (*c* 0.9, CHCl₃, 82% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 2% 2-propanol in hexane, flow rate: 0.5 mL/min, retention time: 35.6 min for major isomer and 31.5 min for minor isomer).



2,3-Bis(methoxycarbonyl)-1-(3-methoxyphenyl)tribenzo[b,d,f]thiepin (3ca)

Isolated by column chromatography on silica gel (hexane/EtOAc = 5/1). The title compound was obtained as a white solid (90%). Mp 214 °C; ¹H NMR showed the presence of two conformers in a ratio of 1/1.3, δ 8.15 (s, 2H (both)), 7.64-7.62 (m, 4H (both)), 7.53-7.51 (m, 2H (both)), 7.40-7.37 (m, 2H (both)), 7.30-7.27 (m, 2H (both)), 7.22 (dd, *J* = 7.7, 7.7 Hz, 1H (major)), 7.11-7.09 (m, 2H (both)), 7.03-6.99 (m, 2H (both)), 6.89 (dd, *J* = 7.8, 7.8 Hz, 1H (minor)), 6.80-6.75 (m, 4H (both)), 6.71-6.68 (m, 2H (both)), 6.28 (d, *J* = 7.7 Hz, 1H (minor)), 6.21-6.20 (m, 1H (major)), 3.94 (s, 6H (both)), 3.83 (s, 3H (minor)), 3.66 (s, 3H (major)), 3.64 (s, 3H (minor)), 3.44 (s, 3H (major)); ¹³C NMR both conformers shown δ 169.3, 166.0, 165.9, 159.2, 159.1, 144.2, 142.5, 142.5, 142.0, 142.0, 140.7, 140.6, 140.6, 140.6, 140.0, 139.9, 139.7, 139.6, 135.5, 135.4, 133.2, 133.1, 132.4, 132.3, 132.2, 132.0, 131.5, 131.5, 130.6, 130.6, 129.1, 129.0, 128.7, 128.1, 128.1, 127.5, 127.4, 126.3, 126.3, 123.6, 123.0, 116.4, 115.4, 114.6, 112.9, 55.5, 55.2, 52.9, 52.6; HRMS(ESI) calcd for C₂₉H₂₂NaO₅S (M+Na): 505.1080; found: 505.1073; [α]²⁵_D = -67.9 (*c* 1.1, CHCl₃, 75% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 1% 2-propanol in hexane, flow rate: 1.0 mL/min, retention time: 27.7 min for major isomer and 30.9 min for minor isomer).



2,3-Bis(methoxycarbonyl)-1-(4-methoxyphenyl)tribenzo[b,d,f]thiepin (3da)

Isolated by column chromatography on silica gel (hexane/EtOAc = 5/1). The title compound was obtained as a pale yellow solid (89%). Mp 177 °C; ¹H NMR δ 8.15 (s, 1H), 7.65-7.62 (m, 2H), 7.53 (dd, J = 1.1, 7.8 Hz, 1H), 7.44-7.37 (m, 2H), 7.30-7.27 (m, 1H), 7.00 (dt, $J_d = 1.6$ Hz, $J_t = 7.1$ Hz, 1H), 6.86 (dd, J =2.6, 8.4 Hz, 1H), 6.81-6.78 (m, 1H), 6.75-6.73 (m, 1H), 6.61-6.59 (m, 1H), 6.54-6.51 (m, 1H), 3.94 (s, 3H), 3.75 (s, 3H), 3.66 (s, 3H); ¹³C NMR δ 169.5, 166.0, 158.8, 144.5, 142.4, 142.1, 140.6, 140.6, 140.0, 139.8, 135.8, 133.4, 132.3, 132.3, 132.2, 131.4, 131.3, 130.6, 130.6, 129.0, 128.6, 127.9, 127.4, 126.1, 113.8, 112.8, 55.3, 52.9, 52.5; HRMS(ESI) calcd for C₂₉H₂₂NaO₅S (M+Na): 505.1080; found: 505.1072; [α]²⁵_D = -156.3 (*c* 0.9, CHCl₃, 92% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 2.5% 2-propanol in hexane, flow

rate: 1.0 mL/min, retention time: 17.5 min for major isomer and 27.5 min for minor isomer).



1-(3-Bromophenyl)-2,3-bis(methoxycarbonyl)tribenzo[b,d,f]thiepin (3ea)

Isolated by preparative TLC (hexane/EtOAc = 5/1). The title compound was obtained as a yellow oil (70%). ¹H NMR showed the presence of two conformers in a ratio of 1/1.3, δ 8.18 (s, 1H (major)), 8.17 (s, 1H (minor)), 7.71 (dd, J = 1.8, 1.8 Hz, 1H (major)), 7.64-7.62 (m, 4H (both)), 7.57 (dd, J = 1.0, 7.8 Hz, 1H (minor)), 7.53 (dd, J = 1.0, 7.7 Hz, 1H (major)), 7.47-7.45 (m, 1H (minor)), 7.39 (dd, J = 7.6, 7.6 Hz, 2H (both)), 7.31-7.28 (m, 4H (both)), 7.20 (dd, J = 7.8, 7.8 Hz, 1H (minor)), 7.06-7.00 (m, 2H (both)), 6.87-6.80 (m, 2H (both) + 1H (major)), 6.78 (dd, J = 1.2, 7.5 Hz, 1H (minor)), 6.74 (dd, J = 1.3, 7.9 Hz, 1H (major)), 6.68 (dd, J = 1.2, 7.8 Hz, 1H (minor)), 6.63-6.62 (m, 1H (major)), 3.94 (s, 6H (both)), 3.72 (s, 3H (major)), 3.63(s, 3H (minor)); ¹³C NMR both conformers shown δ 168.9, 168.8, 165.6, 165.6, 144.0, 142.4, 142.4, 141.6, 141.6, 140.7, 140.6, 140.4, 140.4, 140.3, 140.2, 139.3, 139.0, 138.3, 138.2, 135.3, 135.2, 133.7, 133.1, 132.9, 132.8, 132.3, 132.2, 132.2, 131.7, 131.6, 130.5, 130.4, 130.3, 129.6, 129.4, 128.9, 128.9, 128.9, 128.6, 128.2, 128.1, 127.4, 127.2, 126.2, 126.2, 121.8, 124.5, 52.8, 52.4, 52.4; HRMS(ESI) calcd for C₂₈H₁₉BrNaO₄S (M+Na): 553.0080; found: 553.0075; [α]²⁵_D = -64.0 (*c* 1.2, CHCl₃, 51% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 1% 2-propanol in hexane, flow rate: 0.5 mL/min, retention time: 41.3 min for major isomer and 44.7 min for minor isomer).



1-(4-Bromophenyl)-2,3-bis(methoxycarbonyl)tribenzo[*b*,*d*,*f*]thiepin (3fa)

Isolated by preparative TLC (hexane/EtOAc = 5/1). The title compound was obtained as a pale yellow solid (83%). Mp 102 °C; ¹H NMR δ 8.17 (s, 1H), 7.63-7.62 (m, 2H), 7.53 (dd, J = 1.1, 7.8 Hz, 1H), 7.48-7.46 (m, 1H), 7.41-7.37 (m, 2H), 7.29 (dt, $J_d = 1.4$ Hz, $J_t = 7.4$ Hz, 1H), 7.11 (dd, J = 2.1, 8.3 Hz, 1H), 7.03 (dt, $J_d = 1.5$ Hz, $J_t = 7.6$ Hz, 1H), 6.80 (dt, $J_d = 1.2$ Hz, $J_t = 7.7$ Hz, 1H), 6.69 (dd, J = 2.1, 8.3 Hz, 1H), 6.56 (dd, J = 2.1, 8.3 Hz, 1H), 3.94 (s, 3H), 3.65 (s, 3H); ¹³C NMR δ 169.9, 165.6, 144.0, 142.4, 141.6, 140.5, 140.3, 139.3, 138.6, 137.2, 135.2, 133.1, 132.5, 132.2, 132.2, 131.7, 131.6, 131.1, 130.6, 130.3, 128.9, 128.6, 128.1, 127.4, 126.2, 121.7, 52.8, 52.5; HRMS(ESI) calcd for C₂₈H₁₉BrNaO₄S

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(M+Na): 553.0080; found: 553.0076; $[\alpha]^{29}{}_{D} = -130.9$ (*c* 0.5, CHCl₃, 86% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 2.5% 2-propanol in hexane, flow rate: 1.0 mL/min, retention time: 13.4 min for major isomer and 16.1 min for minor isomer).



2,3-Bis(methoxycarbonyl)-1-(thiophen-2-yl)tribenzo[b,d,f]thiepin (3ga)

Isolated by preparative TLC (hexane/EtOAc = 5/1). The title compound was obtained as a white solid (45%). Mp 163 °C; ¹H NMR δ 8.18 (s, 1H), 7.63-7.61 (m, 2H), 7.55 (dd, J = 1.1, 7.8 Hz, 1H), 7.38 (dt, $J_d = 1.4$ Hz, $J_t = 7.7$ Hz, 1H), 7.29 (dt, $J_d = 1.4$ Hz, $J_t = 7.6$ Hz, 1H), 7.19 (dd, J = 1.1, 5.0 Hz, 1H), 7.07 (dt, $J_d = 1.5$ Hz, $J_t = 7.8$ Hz, 1H), 6.95-6.93 (m, 2H), 6.88-6.85 (m, 2H), 3.94 (s, 3H), 3.72 (s, 3H); ¹³C NMR δ 168.9, 165.5, 145.2, 142.2, 141.6, 140.6, 140.5, 139.6, 139.0, 136.5, 132.6, 132.2, 132.1, 132.0, 132.0, 130.3, 129.7, 128.8, 128.5, 128.1, 127.7, 127.3, 126.2, 126.0, 52.7, 52.4; HRMS(ESI) calcd for C₂₆H₁₈NaO₄S₂ (M+Na): 481.0539; found: 481.0536; [α]²⁵_D = -95.2 (*c* 0.6, CHCl₃, 95% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IC-3: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 2.5% 2-propanol in hexane, flow rate: 1.0 mL/min, retention time: 43.6 min for major isomer and 40.5 min for minor isomer).

Crystal data of **3ga**; C26H18O4S2, M = 458.55, monoclinic, Space Group C2/c, a = 37.625(2) Å, b = 8.0468(3) Å, c = 14.9241(7) Å, $\beta = 103.5446(17)$ °, V = 4392.8(4) Å3, T = 173.0 K, Z = 8, μ (MoK α) = 2.737 cm-1, Number of Reflections Measures: Total 20796, Unique: 9937 (R*int* = 0.0576), R1 = 0.0592, wR2 = 0.2019. Flack parameter = 0.08(4)



2,3-Bis(methoxycarbonyl)-1-(thiophen-3-yl)tribenzo[b,d,f]thiepin (3ha)

Isolated by preparative TLC (hexane/EtOAc = 3/1). The title compound was obtained as a pale yellow solid (83%). Mp 147 °C; ¹H NMR δ 8.16 (s, 1H), 7.63-7.61 (m, 2H), 7.55-7.54 (m, 1H), 7.39 (dt, J_d = 1.4 Hz, J_t = 7.4 Hz, 1H), 7.29 (dt, J_d = 1.4 Hz, J_t = 7.7 Hz, 2H), 7.08-7.04 (m, 3H), 6.86-6.80 (m, 2H), 3.94 (s, 3H), 3.70 (s, 3H); ¹³C NMR δ 169.5, 165.8, 142.3, 141.9, 140.6, 140.5, 139.9, 138.3, 135.8, 135.2, 132.7, 132.4, 132.3, 131.6, 130.6, 129.9, 129.1, 128.7, 128.2, 127.5, 126.2, 125.4, 125.4, 124.7, 52.9, 52.7;

HRMS(ESI) calcd for $C_{26}H_{18}NaO_4S_2$ (M+Na): 481.0539; found: 481.0539; $[\alpha]^{25}{}_D = -115.0$ (*c* 0.6, CHCl₃, 94% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak ID-3: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 2.5% 2-propanol in hexane, flow rate: 1.0 mL/min, retention time: 35.1 min for major isomer and 43.6 min for minor isomer).



2,3-Bis(methoxycarbonyl)-1-(naphth-2-yl)tribenzo[b,d,f]thiepin (3ia)

Isolated by preparative TLC (hexane/EtOAc = 3/1). The title compound was obtained as a white solid (76%). Mp 120 °C; ¹H NMR showed the presence of two conformers in a ratio of 1/2, δ 8.19 (s, 2H (both)), 8.00 (s, 1H (major)), 7.86 (d, *J* = 7.7 Hz, 1H (major)), 7.81 (d, *J* = 8.3 Hz, 1H (minor)), 7.77 (d, *J* = 8.2 Hz, 1H (minor)), 7.70 (d, *J* = 8.2 Hz, 1H (major)), 7.67-7.63 (m, 4H (both)), 7.53 (d, *J* = 8.6 Hz, 1H (major)), 7.50-7.38 (m, 8H (both) + 1H (major)), 7.67-7.63 (m, 2H (both) + 1H (minor)), 7.19 (s, 1H (minor)), 6.95-6.92 (m, 1H (major)), 6.85-6.82 (m, 1H (minor)), 6.79-6.78 (m, 2H (both)), 6.74-6.72 (m, 1H (minor)), 6.60-6.57 (m, 2H (both)), 3.94 (s, 6H (both)), 3.54 (s, 3H (minor)), 3.51 (s, 3H (major)); ¹³C NMR both conformers shown δ 169.3, 169.3, 166.0, 166.0, 144.8, 144.2, 142.5, 142.5, 142.1, 142.0, 140.7, 140.5, 140.1, 139.9, 139.9, 139.6, 136.2, 135.9, 135.8, 135.4, 133.5, 133.1, 132.9, 132.4, 132.4, 132.4, 132.3, 132.2, 131.7, 131.5, 130.6, 130.5, 129.4, 129.1, 129.1, 128.7, 128.7, 128.5, 128.5, 128.4, 128.2, 128.1, 127.9, 127.7, 127.5, 127.5, 127.4, 127.1, 126.5, 126.4, 126.4, 126.3, 126.3, 126.1, 52.9, 52.6, 52.5; HRMS(ESI) calcd for C₃₂H₂₂NaO₄S (M+Na): 525.1131; found: 525.1127; [α]²⁵_D = -156.0 (*c* 0.7, CHCl₃, 93% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 2.5% 2-propanol in hexane, flow rate: 1.0 mL/min, retention time: 15.1 min for major isomer and 18.6 min for minor isomer).



2,3-Bis(methoxycarbonyl)-1-pentyl-4-trimethylsilyltribenzo[b,d,f]thiepin (3ja)

Isolated by preparative TLC (hexane/EtOAc = 5/1). The title compound was obtained as a pale yellow oil (78%). ¹H NMR δ 7.64-7.61 (m, 1H), 7.55-7.51 (m, 1H), 7.36-7.32 (m, 1H), 7.29-7.27 (m, 1H), 7.25-7.17 (m, 4H), 3.93 (s, 3H), 3.89 (s, 3H), 2.99-2.93 (m, 1H), 2.29-2.17 (m, 1H), 1.60-1.23 (m, 2H), 1.09-1.00 (m, 4H), 0.73 (t, *J* = 6.3 Hz, 3H), -0.14 (s, 9H); ¹³C NMR δ 170.4, 169.8, 149.6, 143.6, 142.7, 142.7,

141.9, 139.1, 138.7, 138.3, 137.5, 133.2, 133.2, 132.7, 132.2, 132.0, 128.8, 128.2, 128.1, 127.5, 52.7, 52.6, 32.1, 31.6, 30.1, 22.1, 14.1, 1.8; HRMS(ESI) calcd for $C_{30}H_{34}NaO_4SSi$ (M+Na): 541.1839; found: 541.1841; $[\alpha]_{D}^{25} = -81.0$ (*c* 1.0, CHCl₃, 68% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IB: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 2% 2-propanol in hexane, flow rate: 0.5 mL/min, retention time: 10.6 min for major isomer and 12.0 min for minor isomer).



2,3-Bis(methoxycarbonyl)-4-pentyl-1-phenyltribenzo[b,d,f]thiepin (3ka)

Isolated by preparative TLC (hexane/EtOAc = 5/1). The title compound was obtained as a white oil (98%). ¹H NMR δ 7.62 (dd, J = 1.4, 7.5 Hz, 1H), 7.49 (d, J = 8.3 Hz, 1H), 7.40 (dd, J = 1.6, 7.5 Hz, 1H), 7.34 (d, J = 7.7 Hz, 1H), 7.30-7.23 (m, 2H), 7.21-7.18 (m, 1H), 7.13-7.09 (m, 1H), 6.94-6.90 (m, 2H), 6.67-6.63 (m, 2H), 6.50 (d, J = 7.9 Hz, 1H), 3.90 (s, 3H), 3.47 (s, 3H), 2.97-2.90 (m, 1H), 2.83-2.74 (m, 1H), 1.28-1.20 (m, 2H), 1.12-0.95 (m, 4H), 0.71 (t, J = 7.1 Hz, 3H); ¹³C NMR δ 169.2, 169.1, 142.8, 142.7, 142.4, 141.7, 139.3, 139.3, 139.1, 138.3, 137.0, 133.1, 133.0, 132.4, 132.0, 131.9, 131.6, 130.3, 129.6, 128.0, 127.6, 127.5, 127.1, 126.7, 126.7, 52.6, 52.2, 31.4, 30.6, 30.1, 21.9, 13.8; HRMS(ESI) calcd for C₃₃H₃₀NaO₄S (M+Na): 545.1757; found: 545.1757; [α]²⁵_D = -62.4 (*c* 0.6, CHCl₃, 74% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 5% 2-propanol in hexane, flow rate: 0.5 mL/min, retention time: 11.4 min for major isomer and 13.1 min for minor isomer).



2-Methoxycarbonyl-1,4-dipentyltribenzo[*b,d,f*]thiepin (3lb)

Isolated by preparative TLC (hexane/EtOAc = 10/1). The title compound was obtained as a yellow oil (50%). ¹H NMR δ 7.69 (s, 1H), 7.60-7.59 (m, 2H), 7.35-7.33 (m, 2H), 7.23-7.19 (m, 2H), 7.17-7.14 (m, 2H), 3.92 (s, 3H), 2.92-2.79 (m, 2H), 2.70-2.64 (m, 1H), 2.46-2.39 (m, 1H), 1.56-1.30 (m, 3H), 1.15-1.02 (m, 9H), 0.78 (t, *J* = 6.7 Hz, 3H), 0.73 (t, *J* = 6.7 Hz, 3H); ¹³C NMR δ 169.7, 143.0, 142.9, 142.9, 141.4, 140.4, 140.0, 138.4, 137.7, 132.5, 132.4, 132.1, 131.5, 131.0, 130.8, 127.7, 127.6, 127.4, 127.4, 52.4, 33.9, 31.9, 31.7, 31.0, 30.8, 30.2, 22.4, 22.3, 14.2, 14.1; HRMS(ESI) calcd for C₃₀H₃₄NaO₂S (M+Na): 481.2172; found: 481.2174; [α]²⁵_D = +35.2 (*c* 1.1, CHCl₃, 75% ee). Ee was determined by HPLC analysis

using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: hexane, flow rate: 1.0 mL/min, retention time: 18.7 min for major isomer and 15.4 min for minor isomer).



6,7-Bis(methoxycarbonyl)-8-phenyltribenzo[*b,d,f*]thiepin (5aa)

Isolated by preparative TLC (toluene/CH₂Cl₂ = 1/3). The title compound was obtained as a pale yellow solid (58%). Mp 222 °C; ¹H NMR δ 8.13 (s, 1H), 7.58-7.49 (m, 6H), 7.46-7.44 (m, 2H), 7.39 (dt, J_d = 1.5 Hz, J_t = 7.4 Hz, 1H), 7.32-7.26 (m, 3H), 7.23-7.21 (m, 1H), 3.87 (s, 3H), 3.52 (s, 3H); ¹³C NMR δ 168.5, 165.5, 144.7, 144.5, 143.2, 142.2, 140.5, 139.6, 137.8, 137.5, 135.0, 132.7, 131.3, 130.5, 130.3, 130.0, 129.3, 129.1, 128.4, 128.3, 128.0, 127.9, 127.8, 127.7, 126.6, 52.7, 52.2 (a pair of peaks at the aromatic region is overlapped); HRMS(ESI) calcd for C₂₈H₂₀NaO₄S (M+Na): 475.0975; found: 475.0972; [α]²⁵_D = +98.6 (*c* 0.6, CHCl₃, 81% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 2% 2-propanol in hexane, flow rate: 1.0 mL/min, retention time: 13.2 min for major isomer and 16.3 min for minor isomer).



6,7-Bis(methoxycarbonyl)-8-(4-methoxyphenyl)tribenzo[b,d,f]thiepin (5ba)

Isolated by preparative TLC (toluene/CH₂Cl₂ = 1/3). The title compound was obtained as a yellow solid (59%). Mp 193 °C; ¹H NMR δ 8.12 (s, 1H), 7.58-7.51 (m, 5H), 7.39 (dt, J_d = 1.4 Hz, J_t = 7.4 Hz, 1H), 7.34 (dd, J = 1.3, 7.7 Hz, 1H), 7.27 (dd, J = 1.4, 7.5 Hz, 1H), 7.23 (dd, J = 2.2, 8.5 Hz, 1H), 7.23 (dd, J = 2.2, 8.4 Hz, 1H), 7.04 (dd, J = 2.7, 8.5 Hz, 1H), 6.97 (dd, J = 2.7, 8.4 Hz, 1H), 3.90 (s, 3H), 3.87 (s, 3H), 3.57 (s, 3H); ¹³C NMR δ 168.6, 165.5, 159.3, 145.0, 144.6, 143.2, 141.9, 140.5, 139.7, 137.5, 135.2, 132.7, 131.5, 131.1, 130.5, 130.3, 130.3, 130.0, 129.9, 129.2, 128.4, 128.3, 127.8, 126.4, 113.4, 112.9, 55.2, 52.6, 52.3; HRMS(ESI) calcd for C₂₉H₂₂NaO₅S (M+Na): 505.1080; found: 505.1082; $[\alpha]^{25}_{D}$ = +199 (*c* 0.7, CHCl₃, 71% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 1% 2-propanol in hexane, flow rate: 2.0 mL/min, retention time: 23.6 min for major isomer and 35.1 min for minor isomer).



6,7-Bis(methoxycarbonyl)-8-pentyltribenzo[*b,d,f*]thiepin (5ca)

Isolated by preparative TLC (toluene/CH₂Cl₂ = 3/1). The title compound was obtained as a yellow solid (64%). Mp 140 °C; ¹H NMR δ 7.96 (s, 1H), 7.63 (dd, J = 1.1, 7.7 Hz, 1H), 7.53-7.48 (m, 5H), 7.39 (dt, $J_d = 1.3$ Hz, $J_t = 7.5$ Hz, 1H), 7.29 (dt, $J_d = 1.4$, $J_t = 7.6$ Hz, 1H), 3.95 (s, 3H), 3.85 (s, 3H), 3.12 (dt, $J_d = 5.0$ Hz, $J_t = 12.0$ Hz, 1H), 2.88 (dt, $J_d = 4.8$ Hz, $J_t = 11.6$ Hz, 1H), 1.76-1.67 (m, 1H), 1.61-1.52 (m, 1H), 1.51-1.38 (m, 4H), 0.96 (t, J = 7.2 Hz, 3H); ¹³C NMR δ 169.5, 165.7, 145.0, 144.9, 143.4, 141.6, 140.4, 140.1, 137.5, 134.3, 132.9, 130.5, 130.2, 130.0, 129.9, 129.3, 128.2, 128.2, 127.7, 127.0, 52.6, 52.5, 32.8, 32.2, 31.3, 22.4, 14.0; HRMS(ESI) calcd for C₂₇H₂₆NaO₄S (M+Na): 469.1444; found: 469.1445; [α]²⁵_D = +149 (*c* 0.7, CHCl₃, 80% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 2.5% 2-propanol in hexane, flow rate: 1.0 mL/min, retention time: 7.8 min for major isomer and 8.9 min for minor isomer).

Crystal data of **5ca**; C₃₄H₂₄O4S, M = 528.62, monoclinic, Space Group C2/c, a = 11.0057(6) Å, b = 14.3571(8) Å, c = 17.4259(9) Å, $\beta = 94.5351(17)$ °, V = 2744.9(2) Å3, T = 173.0 K, Z = 4, μ (MoK α) = 1.555 cm-1, Number of Reflections Measures: Total 25663, Unique: 12232 (R*int* = 0.0227), RI = 0.0342, wR2 = 0.0908. Flack parameter = 0.01(15)



6,7-Bis(methoxycarbonyl)-5,8-diphenyltribenzo[b,d,f]thiepin (5da)

Isolated by preparative TLC (toluene/CH₂Cl₂ = 1/2). The title compound was obtained as a yellow oil (37%). ¹H NMR δ 7.63 (d, *J* = 7.5 Hz, 1H), 7.51-7.42 (m, 4H), 7.38-7.30 (m, 4H), 7.24-7.19 (m, 4H), 7.14 (dd, *J* = 7.4, 7.4 Hz, 1H), 6.98 (br, 1H), 6.94 (dt, *J*_d = 1.4 Hz, *J*_t = 7.7 Hz, 1H), 6.81 (dd, *J* = 1.1, 7.9 Hz, 1H), 6.43 (br, 1H), 3.46 (s, 3H), 3.43 (s, 3H); ¹³C NMR δ 168.5, 168.0, 144.4, 143.9, 143.4, 141.6, 141.4, 140.4, 139.0, 138.7, 138.6, 138.6, 132.8, 132.8, 131.8, 131.7, 130.9, 129.5, 129.2, 128.9, 128.0, 128.0, 127.9, 127.8, 127.4, 127.1, 126.0, 77.2, 52.3 (four pairs of peaks at the aromatic region are overlapped); HRMS(ESI) calcd for C₃₄H₂₄NaO₄S (M+Na): 551.1288; found: 551.01288 [α]²⁵_D = +24.3 (*c* 0.7, CHCl₃, 75% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA:

4.6 x 250 mm, 254 nm UV detector, rt, eluent: 1% 2-propanol in hexane, flow rate: 1.0 mL/min, retention time: 16.4 min for major isomer and 28.3 min for minor isomer).

Crystal data of **5da**; C₃₄H₂₄O4S, M = 528.62, monoclinic, Space Group C2/c, a = 11.0057(6) Å, b = 14.3571(8) Å, c = 17.4259(9) Å, $\beta = 94.5351(17)$ °, V = 2744.9(2) Å3, T = 173.0 K, Z = 4, μ (MoK α) = 1.555 cm-1, Number of Reflections Measures: Total 25663, Unique: 12232 (R*int* = 0.0227), R1 = 0.0342, wR2 = 0.0908. Flack parameter = 0.01(15)



6,7-Bis(methoxycarbonyl)-5,8-dipentyltribenzo[b,d,f]thiepin (5ea)

Isolated by preparative TLC (toluene/CH₂Cl₂ = 3/1). The title compound was obtained as a pale yellow oil (52%). ¹H NMR δ 7.58 (dd, *J* = 1.1, 7.8 Hz, 1H), 7.55 (dd, *J* = 1.4, 7.7 Hz, 1H), 7.50-7.46 (m, 2H), 7.42-7.38 (m, 2H), 7.30 (d, *J* = 7.5 Hz, 1H), 7.24 (dd, *J* = 1.4, 7.6 Hz, 1H), 3.86 (s, 3H), 3.82 (s, 3H), 3.14 (dt, *J*_d = 5.1 Hz, *J*_t = 13.0 Hz, 1H), 2.90 (dt, *J*_d = 4.8 Hz, *J*_t = 12.8 Hz, 1H), 2.79-2.73 (m, 1H), 2.43-2.37 (m, 1H), 1.76-1.67 (m, 1H), 1.54-1.50 (m, 1H), 1.48-1.36 (m, 4H), 1.21-1.12 (m, 1H), 0.99-0.93 (m, 5H), 0.90-0.77 (m, 3H), 0.66 (t, *J* = 7.2 Hz, 3H); ¹³C NMR δ 169.1, 168.9, 145.0, 144.8, 143.5, 141.4, 139.5, 139.4, 139.0, 138.6, 132.7, 132.6, 131.1, 130.2, 129.6, 129.4, 128.9, 127.8, 127.8, 126.4, 52.5, 52.4, 32.4, 32.1, 31.4, 31.4, 30.1, 22.5, 21.9, 14.1, 13.7 (a pair of peaks at the aliphatic region is overlapped); HRMS(ESI) calcd for C₃₂H₃₆NaO₄S (M+Na): 539.2227; found: 539.2227; [α]²⁵_D = +153 (*c* 0.8, CHCl₃, 73% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 1% 2-propanol in hexane, flow rate: 0.5 mL/min, retention time: 17.6 min for major isomer and 15.3 min for minor isomer).



6,7-Bis(methoxycarbonyl)-5-pentyl-8-phenyltribenzo[b,d,f]thiepin (5fa)

Isolated by preparative TLC (toluene/CH₂Cl₂ = 3/1). The title compound was obtained as a pale yellow oil (52%). ¹H NMR δ 7.54 (dd, *J* = 1.3, 7.7 Hz, 1H), 7.52-7.36 (m, 8H), 7.26-7.18 (m, 4H), 3.83 (s, 3H), 3.43 (s, 3H), 2.91-2.85 (m, 1H), 2.48-2.42 (m, 1H), 1.32-1.23 (m, 2H), 1.03-0.86 (m, 4H), 0.70 (t, *J* = 7.2 Hz, 3H); ¹³C NMR δ 168.8, 168.3, 144.5, 144.3, 143.2, 141.5, 140.3, 139.8, 138.9, 138.8, 138.8, 132.6,

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132.3, 131.7, 130.5, 130.1, 129.6, 129.3, 129.0, 128.5, 128.0, 127.9, 127.8, 127.7, 126.4, 52.6, 52.2, 31.6, 31.5, 30.1, 22.0, 13.7 (a pair of peaks at the aromatic region is overlapped); HRMS(ESI) calcd for $C_{33}H_{30}NaO_4S$ (M+Na): 545.1757; found: 545.1757; $[\alpha]^{25}_{D} = +142$ (*c* 0.3, CHCl₃, 65% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 1% 2-propanol in hexane, flow rate: 1.0 mL/min, retention time: 14.2 min for major isomer and 12.2 min for minor isomer).



6,7-Bis(methoxycarbonyl)-8-pentyl-5-phenyltribenzo[b,d,f]thiepin (5ga)

Isolated by preparative TLC (toluene/CH₂Cl₂ = 1/3). The title compound was obtained as a pale yellow oil (42%). ¹H NMR δ 7.66-7.63 (m, 2H), 7.46 (dt, $J_d = 1.1 \text{ Hz}$, $J_t = 7.5 \text{ Hz}$, 1H), 7.37 (dd, J = 1.1, 7.7 Hz, 1H), 7.30 (dt, $J_d = 1.4 \text{ Hz}$, $J_t = 7.7 \text{ Hz}$, 1H), 7.28 (br, 2H), 7.22 (dt, $J_d = 1.2 \text{ Hz}$, $J_t = 7.5 \text{ Hz}$, 1H), 7.11-7.08 (m, 1H), 6.94 (br, 1H), 6.90 (dt, $J_d = 1.2 \text{ Hz}$, $J_t = 7.7 \text{ Hz}$, 1H), 6.72 (dd, J = 1.1, 7.9 Hz, 1H), 6.37 (br, 1H), 3.87 (s, 3H), 3.40 (s, 3H), 3.28 (dt, $J_d = 4.3 \text{ Hz}$, $J_t = 12.3 \text{ Hz}$, 1H), 2.92-2.86 (m, 1H), 1.86-1.78 (m, 1H), 1.60-1.40 (m, 5H), 0.97 (t, J = 7.2 Hz, 3H); ¹³C NMR δ 168.9, 168.6, 145.0, 144.1, 143.7, 141.6, 141.1, 139.3, 139.2, 139.0, 138.7, 133.1, 132.9, 131.9, 131.2, 130.1, 129.5, 129.2, 129.2, 128.9, 128.0, 127.8, 127.3, 126.9, 125.9, 52.6, 52.2, 32.8, 32.2, 31.5, 22.5, 14.1 (a pair of peaks at the aromatic region is overlapped); HRMS(ESI) calcd for C₃₃H₃₀NaO₄S (M+Na): 545.1757; found: 545.1758; [α]²⁵_D = +28.9 (*c* 0.4, CHCl₃, 74% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 2.5% 2-propanol in hexane, flow rate: 1.0 mL/min, retention time: 6.5 min for major isomer and 8.7 min for minor isomer).



6,7-Bis(methoxycarbonyl)-8-pentyl-5-phenyltribenzo[*b,d,f*]thiepin-*S*,*S*-dioxide (7)

Isolated by preparative TLC (toluene/CH₂Cl₂ = 1/1). The title compound was obtained as a white solid (50%). Mp 176 °C; ¹H NMR δ 8.17 (d, *J* = 7.7 Hz, 1H), 7.76-7.71 (m, 2H), 7.58-7.54 (m, 1H), 7.48 (d, *J* = 7.7 Hz, 1H), 7.28-7.25 (m, 3H), 7.14-7.10 (m, 1H), 6.96-6.92 (m, 2H), 6.77 (d, *J* = 7.8 Hz, 1H), 6.21 (d, *J* = 7.6 Hz, 1H), 3.91 (s, 3H), 3.57 (dt, *J*_d = 4.8 Hz, *J*_t = 12.6 Hz, 1H), 3.40 (s, 3H), 3.08 (dt, *J*_d = 4.6 Hz, *J*_t = 12.4 Hz, 1H), 1.90-1.80 (m, 1H), 1.76-1.68 (m, 1H), 1.54-1.37 (m, 4H), 0.96 (t, *J* = 7.2 Hz, 3H); ¹³C

NMR δ 167.9, 167.8, 145.1, 143.6, 140.8, 140.4, 138.7, 138.2, 138.0, 137.7, 137.0, 136.6, 134.2, 134.0, 132.5, 129.9, 129.6, 129.3, 128.2, 128.2, 127.9, 127.3, 127.2, 127.1, 52.9, 52.5, 33.1, 32.4, 30.3, 22.3, 14.0 (a pair of peaks at the aromatic region is overlapped); HRMS(ESI) calcd for C₃₃H₃₀NaO₆S (M+Na): 577.1655; found: 577.1655; $[\alpha]^{25}{}_{D} = -7.3$ (*c* 0.7, CHCl₃, 65% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 1% 2-propanol in hexane, flow rate: 1.5 mL/min, retention time: 23.7 min for major isomer and 29.6 min for minor isomer).



2,3-Bis(methoxycarbonyl)-1-heptyltribenzo[b,d,f]selenepin (9)

Isolated by preparative TLC (hexane/EtOAc = 5/1). The title compound was obtained as a white solid (91%). Mp 108 °C; ¹H NMR δ 7.92 (s, 1H), 7.77 (dd, J = 0.9, 7.5 Hz, 1H), 7.72 (dd, J = 1.0, 7.7 Hz, 1H), 7.55 (dd, J = 1.2, 7.7 Hz, 1H), 7.35 (dt, $J_d = 0.9$ Hz, $J_t = 7.5$ Hz, 1H), 7.31-7.27 (m, 1H), 7.24-7.16 (m, 2H), 3.99 (s, 3H), 3.91 (s, 3H), 2.92-2.85 (m, 1H), 2.43-2.36 (m, 1H), 1.39-1.27 (m, 1H), 1.19-0.92 (m, 5H), 0.70 (t, J = 6.5 Hz, 3H); ¹³C NMR δ 170.1, 165.9, 145.6, 143.3, 142.4, 139.7, 138.6, 136.7, 136.6, 134.8, 134.3, 133.7, 131.3, 130.2, 129.8, 128.7, 128.1, 127.9, 127.7, 126.1, 52.6, 52.5, 31.6, 31.1, 29.4, 21.8, 13.8; HRMS(ESI) calcd for C₂₇H₂₆NaO₄Se (M+Na): 517.0889; found: 517.0889; [α]²⁵_D = -13.1 (*c* 1.1, CHCl₃, 62% ee). Ee was determined by HPLC analysis using a chiral column (Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 2% 2-propanol in hexane, flow rate: 1.0 mL/min, retention time: 10.3 min for minor isomer and 12.1 min for major isomer).

Chirality and saddle inversion energy measurement¹²

In Schlenk tubes, each sample (**3aa**, **3ka**) was dissolved in xylene under Ar atmosphere. The solutions were then degassed and sealed. The solutions were immersed into a digital controlled oil bath preheated to 353 K or 393 K. During heating, **3aa** was taken out for chiral HPLC analysis at 1 h, 2 h, 3 h, 4 h, 5 h, 6 h, 7 h, 8 h, 10 h, 11 h and 24 h (HPLC conditions: Daicel Chiralpak IA, 4.6 x 250 mm, 254 nm UV detector, rt, eluent: 5% 2-propanol in hexane, flow rate: 1.0 mL/min), **3ka** was taken out for chiral HPLC analysis at 1 h, 2 h, 3 h, 4 h, 5 h, 6 h and 7 h (HPLC conditions: Daicel Chiralpak IA: 4.6 x 250 mm, 254 nm, 254 nm UV detector, rt, eluent: 5% 2-propanol in hexane, flow rate: 0.5 mL/min). Bowl inversion process follows the equation (1):

$$\ln[a/(a-2x)] = 2kt \tag{1}$$

where a is the initial concentration of (*R*)-3aa or 3ka, x is the concentration of gradually generated (*S*)-3aa or 3ka at time t, and k is the inversion rate constant.

 $k_{3aa} = 6.63 \times 10^{-6} \text{ s}^{-1}$. Saddle inversion energy $\Delta G^{\ddagger} = \text{RTln}(k_{\text{B}}\text{Th}^{-1}\text{k}^{-1}) = 29.1$ kcal mol⁻¹. Half-lifetime at 353 K : $t_{1/2} = (\ln 2)/2\text{k} = 14.5$ h; Half-lifetime at 293 K : $t_{1/2} = 9.2$ years.

 $k_{3ka} = 4.59 \times 10^{-5} \text{ s}^{-1}$. Saddle inversion energy $\Delta \text{G}^{\ddagger} = 31.0 \text{ kcal mol}^{-1}$. Half-lifetime at 393 K : $t_{1/2} = 2.1$ h; Half-lifetime at 293 K : $t_{1/2} = 230$ years.

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Concluding Remarks

In this thesis, the author disclosed that synthesis of optically active amino acids and heteroatom-containing polycyclic compounds using iridium-catalyzed C-H bond activation and rhodium-catalyzed cycloaddition. As α -amino acids, 4-substituted Trp derivatives were synthesized by iridium-catalyzed cyclodehydration via sp² C-H activation, and total synthesis of *cis*-clavicipitic acid was achieved using the transformation as a key step (Chapter 2). Chiral tethered Aic derivatives were synthesized by rhodium-catalyzed enantioselective intramolecular cycloaddition of amino acid-tethered triynes, and the synthetic transformation gave chiral Aic derivatives (Chapter 3). In addition, 4-substituted γ -amino acid derivatives were synthesized via iridium-catalyzed sp³ C-H bond activation of γ -butyrolactam and subsequent synthetic transformation. Enantioselective formal total synthesis of pyrrolam A was also achieved by using the sp³ C-H alkylation as a key step (Chapter 4).

With regard to the synthesis of heteroatom-containing polycyclic compounds, multi-substituted DBT and DBP oxide derivatives were prepared by rhodium-catalyzed intermolecular cycloaddition. These protocols were expanded to enantioselective reaction, and axially chiral bi-dibenzothiophene skeleton and chiral dibenzophosphole oxide skeleton were constructed in high ee (Chapter 6). The first catalytic intermolecular cycloaddition of α , ω -diynes with benzothiophene dioxide derivatives was achieved by a rhodium complex. The reaction gave sulfur-containing condensed polycyclic compounds (Chapter 7). The first catalytic and enantioselective synthesis of multi-substituted tribenzothiepin derivatives was accomplished by rhodium-catalyzed intermolecular cycloaddition of two types of sulfur-containing diynes (Chapter 8).

The catalytic and enantioselective synthesis of various chiral amino acids create a new library of potentially biologically important compounds. The synthesis of various heterocycles by cycloaddition affords a facile protocol for the preparation of multi-substituted condensed polycyclic compounds with high tolerability of functional groups. Therefore, these achievements virtually contribute to the synthesis of diverse compounds oriented toward life science and material science fields.

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N o . 1

早稲田大学 博士(理学) 学位申請 研究業績書

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